

Information Booklet on
**North East Industrial and Investment
Promotion Policy (NEIIPP) 2007**

(Special Incentives Provided by Government of India for Investment in North East India)

Fourth & Revised Edition

(Revised upto 31st August 2008)



**Department of Industrial Policy & Promotion
Ministry of Commerce & Industry
Govt. of India**



North Eastern Development Finance Corporation Ltd.
(Nodal Agency for Disbursement of Central Incentives)

Information Booklet on North East Industrial and Investment Promotion Policy - 2007

(Special incentives provided by Govt. of India for Investment in North-East India including Sikkim)

Fourth and Revised Edition

(Revised upto 31st August 2008)

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Foreword

In pursuit of creating an enabling environment for investment and industrial development in the North Eastern region, including Sikkim, the Government of India, has unveiled the North East Industrial and Investment Promotion Policy (NEIIPP), 2007. This new policy package has renewed for another ten years with substantially enhanced benefits the fiscal incentives and other concessions granted under NEIP-1997. While the policy continues with the 100 per cent excise duty exemption on finished products made in the North East, capital investment subsidy on plant and machinery has been raised to 30 per cent from the existing level of 15 per cent, subject to a maximum of Rs.1.5 crore. The reimbursement of premium on comprehensive insurance, 100% income tax exemption and 3% working capital interest subsidy have been retained under the new policy.

The policy has dispensed with the distinction between ‘thrust’ and ‘non-thrust’ industries and has brought under its ambit, bio-technology, power-generating units, the services sector including hotels, adventures and leisure sports, ropeways, medical and health services, vocational training institutes etc. Inclusion of the service sector has increased the relevance of the policy considering the huge potential of North Eastern region in tourism, manpower training for BPOs etc. Another novelty in the new policy is the removal of locational restrictions on setting up of units to be eligible for incentives, a long standing demand of the entrepreneurial community of the region. The new package with matching support from the state governments is expected to create a positive industrial climate.

The Fourth and Revised Edition has now been compiled with inclusion of Operational Guidelines for implementation of NEIIPP, 2007 so that a comprehensive document can be handed over to all the stake holders. I hope that this edition will be a useful document not only for investors and business community, but also academia and policy planners and will help them in getting relevant information about the NEIIPP 2007 at one place.

I also take this opportunity to acknowledge the unstinted support of Department of Industrial Policy and Promotion, Ministry of Commerce & Industry, Govt. of India and also Ministry of DoNER, Govt. of India in our efforts to bring about industrial and economic development of North-Eastern Region including Sikkim.

Date : 1st September 2008

K.N. Hazarika
Chairman and Managing Director

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**File No:10(3)/2007-DBA-II/NER
Government of India
Ministry of Commerce and Industry
Department of Industrial Policy and Promotion**

New Delhi , Dated the 1st April, 2007

OFFICE MEMORANDUM

Sub: North East Industrial and Investment Promotion Policy (NEIIPP), 2007

The Government has approved a package of fiscal incentives and other concessions for the North East Region namely the “**North East Industrial and Investment promotion Policy (NEIIPP), 2007**”, effective from 1.4.2007, which, inter-alia, envisages the following :

(i) Coverage:

The North East Industrial Policy (NEIP), 1997 announced on 24.12.1997 covered the States of Arunachal Pradesh, Assam, Manipur, Meghalaya, Mizoram, Nagaland and Tripura. Under NEIIPP, 2007, Sikkim will also be included. Consequently, the “New Industrial Policy and other concessions for the State of Sikkim” announced vide O.M No.14(2)/2002-SPS dated 23.12.2002 and the schemes thereunder i.e Central Capital Investment Subsidy Scheme, 2002, Central Interest Subsidy Scheme, 2002 and Central Comprehensive Insurance Scheme, 2002, notified vide Notifications No.F.No.14(2)/2002-SPS dated the 24.12.2002 will be discontinued from 1.4.2007.

(ii) Duration:

All new units as well as existing units which go in for substantial expansion, unless otherwise specified and which commence commercial production within the 10 year period from the date of notification of NEIIPP, 2007 will be eligible for incentives for a period of ten years from the date of commencement of commercial production.

(iii) Neutrality of location:

Incentives will be available to all industrial units, new as well as existing units on their substantial expansion, located anywhere in the North Eastern Region. Consequently, the distinction between “thrust” and “non-thrust” industries made in NEIP, 1997 will be discontinued from 1.4.2007.

(iv) Substantial Expansion:

Incentives on substantial expansion will be given to units effecting “an increase by not less than 25% in the value of fixed capital investment in plant and machinery for the purpose of expansion of capacity / modernization and diversification” as against an increase by 33 ½ % which was prescribed in NEIP, 1997.

(v) Excise Duty Exemption:

100% Excise Duty exemption will be continued, on finished products made in the North Eastern Region, as was available under NEIP, 1997. However, in cases, where the CENVAT paid on the raw materials and intermediate products going into production of finished products (other than the products which are otherwise exempt or subject to nil rate of duty) is higher than the excise duties payable in the finished products, ways and means to refund such overflow of CENVAT credit will be separately notified by the Ministry of Finance.

(vi) Income Tax Exemption:

100% Income Tax exemption will continue under NEIIPP, 2007 as was available under NEIP, 1997.

(vii) Capital Investment Subsidy:

Capital Investment Subsidy will be enhanced from 15% of the investment in plant and machinery to 30% and the limit for automatic approval of subsidy at this rate will be Rs.1.5 crores per unit, as against Rs.30 lakhs as was available under NEIP, 1997. Such subsidy will be applicable to units in the private sector, joint sector, cooperative sector as well as the units set up by the State Governments of the North Eastern Region. For grant of Capital Investment Subsidy higher than Rs.1.5 crore but upto a maximum of Rs.30 crores, there will be an Empowered Committee Chaired by Secretary, Department of Industrial Policy & Promotion with Secretaries of Department of Development of North Eastern Region (DONER), Expenditure, Representative of Planning Commission and Secretary of the concerned Ministries of the Government of India dealing with the subject matter of that industry as its members as also the concerned Chief Secretary/Secretary (Industry) of the North Eastern State where the claiming unit is to be located.

Proposals which are eligible for a subsidy higher than Rs.30 crores, will be placed by Department of Industrial Policy and Promotion before the Union Cabinet for its consideration and approval.

(viii) Interest Subsidy:

Interest Subsidy will be made available @ 3% on working capital loan under NEIIPP, 2007 as was available under NEIP, 1997.

(ix) Comprehensive Insurance:

New industrial units as well as the existing units on their substantial expansion will be eligible for reimbursement of 100% insurance premium.

(x) Negative List:

The following industries will not be eligible for benefits under NEIIPP, 2007:-

- (i) *All goods falling under Chapter 24 of the First Schedule to the Central Excise Tariff Act, 1985 (5 of 1986) which pertains to tobacco and manufactured tobacco substitutes.*
- (ii) *Pan Masala as covered under Chapter 21 of the First Schedule to the Central Excise Tariff Act, 1985 (5 of 1986).*
- (iii) *Plastic carry bags of less than 20 microns as specified by Ministry of Environment and Forests Notification No.S.O. 705(E) dated 02.09.1999 and S.O.698 (E) dated 17.6.2003.*
- (iv) *Goods falling under Chapter 27 of the First Schedule to the Central Excise tariff Act, 1985 (5 of 1986) produced by petroleum oil or gas refineries.*

(xi) Incentives for Service/other Sector Industries

Incentives under NEIIPP, 2007 will be applicable to the following service sector activities/industries:-

I. Service Sector:

- (i) Hotels (not below Two Star category), adventure and leisure sports including ropeways ;
- (ii) Medical and health services in the nature of nursing homes with a minimum capacity of 25 beds and old-age homes ;
- (iii) Vocational training institutes such as institutes for hotel management, catering and food crafts, entrepreneurship development , nursing and paramedical , civil aviation related training , fashion design and industrial training.

A number of tax concessions under the existing provisions of Section 10A and 10AA of the Income Tax Act are already available to the IT sector. However, one of the important impediments to the development of Software Technology Parks or IT related SEZs in the North Eastern Region is the non-availability of trained human resources in the North Eastern Region. Accordingly, tax benefits as is availed under Section 80IC of the Income Tax Act would be extended to IT related training centers and IT hardware units.

II. Incentives for Bio-technology industry:

The biotechnology industry will be eligible for benefits under NEIIPP, 2007 as applicable to other industries.

III. Incentives for Power Generating Industries:

Power Generating plants will continue to get incentives as governed by the provisions of Section 81A of the Income Tax Act. In addition, power generating plants upto 10 MW based on both conventional and non-conventional sources will also be eligible for capital investment subsidy, interest subsidy and comprehensive insurance as applicable under NEIIPP, 2007.

(xii) Establishment of a monitoring mechanism for implementation of the NEIIPP,2007.

In order to establish a monitoring mechanism for implementation of NEIIPP, 2007, a High Level Committee / an Advisory Committee under the Chairmanship of Secretary, Department of Industrial Policy & Promotion and comprising Secretaries of the Ministries / Departments of Revenue, Department of Development of North Eastern Region (DONER), Banking and Insurance, representative of Planning Commission, CMD, NEDFi as well as major stakeholders including the industry associations of the North Eastern region would be constituted. In addition, an Oversight Committee will be constituted under the Chairmanship of the Union Commerce and Industry Minister with Industry Ministers of NE States as its members.

(xiii) Value Addition:

In order to ensure genuine industrial activities in the North Eastern Region, benefits under NEIIPP, 2007 will not be admissible to goods in respect of which only peripheral activities like preservation during storage, cleaning operations, packing, repacking, labelling or re-labelling, sorting, alteration of retail sale price etc take place.

(xiv) Transport Subsidy scheme:

The Transport Subsidy Scheme would continue beyond 31.3.2007, on the same terms and conditions. However, an early evaluation of the scheme will be carried out with a view to introducing necessary safeguards to prevent possible leakages and misuse.

(xv) Nodal agency:

The North East Industrial Development Finance Corporation (NEDFi) will continue to act as the nodal agency for disbursement of subsidies under NEIIPP, 2007.

2. The New Industrial Policy and other concession in the North Eastern Region announced vide OM. No.EA/1/2/96-IPD dated 24.12.1997 (NEIP, 1997) will cease to operate with effect from 1.4.2007. Industrial units, which have commenced commercial production on or before 31.3.2007 will continue to get benefits/incentives under NEIP, 1997.

3. Government reserves the right to modify any part of the policy in Public interest.

4. All concerned Ministries / Departments of the Government of India are requested to amend their respective Acts/rules/notifications etc and issue necessary instructions for giving effect to these decisions.

(N N Prasad)

Joint Secretary to the Government of India

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MINISTRY OF COMMERCE & INDUSTRY, GOVT. OF INDIA
(Department of Industrial Policy & Promotion)

No.217

New Delhi, Tuesday

July 31, 2007/Sravana 9,1929

NOTIFICATION

New Delhi, the 27th July, 2007

F.No.10(3)/2007-DBA-II/NER – In pursuance of the North East Industrial and Investment Promotion Policy, 2007 (NEIIPP, 2007) issued by the Ministry of Commerce and Industry (Department of Industrial Policy and Promotion) vide O.M. No.10 (3)/2007-DBA-II/NER, dated the 1st April, 2007, the Government of India is pleased to make the following Scheme of Capital Investment Subsidy for industrial units in the North Eastern Region (NER) comprising the States of Arunachal Pradesh, Assam, Manipur, Meghalaya, Mizoram, Nagaland, Sikkim and Tripura with a view to accelerating the industrial development in the NER.

1. Short Title:-This Scheme may be called the '**Central Capital Investment Subsidy Scheme, 2007**'.

2. Commencement and duration: - It will come into effect from the *1st April, 2007* and remain in force upto and inclusive of *31.3.2017*.

3. Applicability:- Unless otherwise specified, all new industrial units as well as existing units which go in for substantial expansion and are located anywhere in NER, will be eligible for capital investment subsidy under this Scheme. The Scheme will also be applicable to the following service sector activities/industries: -

I. Service Sector:

- (i) Hotels (not below Two Star category), adventure and leisure sports including ropeways ;
- (ii) Medical and health services in the nature of nursing homes with a minimum capacity of 25 beds and old-age homes ;
- (iii) Vocational training institutes such as institutes for hotel management, catering and food crafts, entrepreneurship development, nursing and para-medical, civil aviation related training, fashion, design and industrial training.

II. Bio-technology industry

III. Power Generating Industries:

Power Generating plants upto 10 MW based on both conventional and non-conventional sources.

3.(a). The Scheme shall not be applicable to the industries listed in **Annexure-I**

4. Definitions:

- (a) 'Industrial unit' means any industrial undertaking, suitable servicing unit other than that run departmentally by Government.
- (b) 'New industrial unit' means an industrial unit for the setting up of which effective steps were not taken prior to *1.4.2007*
- (c) 'Existing Industrial Unit' means an industrial unit for the setting up of which effective steps were taken prior to *1.4.2007*.
- (d) 'Substantial expansion' means increase in the value of fixed capital investment in plant and machinery of an industrial unit by not less than 25 %, for the purpose of expansion of capacity /modernization and diversification.
- (e) 'Effective steps' means one or more of the following steps:-
 - (i) that 10% or more of the capital issued for the industrial unit has been paid up.

(ii) that any part of the factory building has been constructed.

(iii) that a firm order has been placed for any plant and machinery required for the industrial unit.

(f) 'Fixed Capital Investment' means investment in 'plant and machinery', for the purpose of this scheme.

5. Extent of admissible Subsidy

All eligible industrial units located anywhere in the North Eastern Region shall be given capital investment subsidy at the rate of 30% of their investment in Plant and Machinery or additional investment in Plant and Machinery. Such subsidy will be applicable to units in the private sector, joint sector, cooperative sector as well as the units set up by the State Governments concerned of the North Eastern Region. The limit for automatic approval of subsidy at this rate would be Rs.1.5 crore. For grant of capital investment subsidy higher than Rs.1.5 crore but upto a maximum of Rs.30 crore, there will be an Empowered Committee set up vide O.M. F.No.10(3)/2007-DBA-II/NER dated the 21st May 2007. (**Annexure-II**)

Proposals which are eligible for a subsidy higher than Rs.30 crore will be placed by Department of Industrial Policy and Promotion before the Union Cabinet for its consideration and approval.

6. Plant and Machinery (for manufacturing sector)

(i) In calculating the value of plant and machinery, the cost of industrial plant and machinery as erected at site will be taken into account which will include the cost of productive equipments, such as tools, jigs, dies & moulds, insurance premium etc.

(ii) The amount invested in goods carriers to the extent they are actually utilized for transport of raw materials and marketing of the finished products, will be taken into account.

(iii) Working capital including raw materials and other consumables stores, will be excluded for computing the value of plant and machinery.

6(a). Definition of 'Plant and Machinery' and 'components' which should be taken in to account for the purpose of this Scheme in respect of Service Sector, Bio-technology industry & Power Generating industries referred to in para 3 (I), (II) & (III) above would be notified separately.

7. Designated Agency for disbursement of subsidy

North Eastern Development Financial Corporation (NEDFi), Guwahati shall be the designated nodal agency for disbursement of capital investment subsidy under the Scheme on the basis of the recommendation of State Level Committee (SLC) of the concerned State Government, the Empowered Committee and the Union Cabinet, as the case may be.

8. Procedure for claiming capital investment subsidy

Industrial units eligible for subsidy under the Scheme will be required to get themselves registered with the State Industry Department concerned prior to taking effective steps for setting up new industrial units or undertaking substantial expansion of existing units and to indicate their assessment of the total new or additional fixed capital likely to be invested by them in the plant and machinery.

9. Procedure for disbursement of capital investment subsidy

Each State Government concerned will set up a State Level Committee (SLC) consisting of a representative of each of the State Finance Department, State Industries Department, State Directorate of Industries, NEDFi and the Financial Institution concerned (if the industrial unit is to be assisted by a financial institution), to go into details of each case to decide whether the unit qualifies for the grant of subsidy and also about the quantum of subsidy.

10. In respect of a new industrial unit set up without assistance from a Financial Institution or the State Government concerned, the subsidy will be disbursed to the unit by NEDFi on the recommendation of the State Government, the Empowered Committee and the Union Cabinet, as the case may be, at the time the unit goes into production. Similarly, in respect of substantial expansion by an existing industrial unit without assistance

from the financial institutions or the State Government concerned, the subsidy will be disbursed to the unit by NEDFi on the recommendation of the State Government concerned, the Empowered Committee and the Union Cabinet, as the case may be after substantial expansion has been effected and the unit has commenced enhanced commercial production.

However, in such cases where the concerned State Government is satisfied about the safety of the public funds, not more than half of the amount of the estimated subsidy may be released prior to the unit going into production on the entrepreneur's furnishing a proof of having taken effective steps to the satisfaction of State Director of Industries and the remaining amount be released only after the unit goes into production.

11. In respect of an industrial unit assisted/ to be assisted by a Financial Institution or the State Government concerned, the subsidy will be disbursed to the unit by NEDFi on the recommendation of the State Government concerned, the Empowered Committee and the Union Cabinet, as the case may be. However, in such cases, the contract/agreement to be drawn up between the Financial Institution/ State Government and the unit concerned, may cover mortgage, pledge, hypothecation of the assets upto the amount of the subsidy payable/paid to the units. In respect of new industrial unit or in respect of substantial expansion of an existing industrial unit to be assisted by a financial institution, the subsidy will be disbursed to the unit by NEDFi in as many installments in which the loan is disbursed by the financial institution and simultaneously claimed by the Financial Institution/State Government from NEDFi.

12. Rights of the Centre/State Government/Financial Institutions

If the Central Government/State Government/Financial Institution concerned is satisfied that the subsidy to an industrial unit has been obtained by misrepresentation as to an essential fact, furnishing of false information or if the unit goes out of production within 5 years after commencement of commercial production, the Central Government/State Government/NEDFi may ask the unit to refund the grant or subsidy after giving an opportunity to the unit of being heard.

13. Without taking prior approval of the Union Ministry of Commerce & Industry (Department of Industrial Policy and Promotion) /State Government/Financial Institution concerned, no owner of an industrial unit after receiving a part or the whole of the subsidy will be allowed to change the location of the whole or any part of industrial unit or effect any substantial contraction or dispose of a substantial part of its total fixed capital investment within a period of 5 years after its going into commercial production.

14. In respect of all units to whom the subsidy is disbursed by the NEDFi, certificate of utilisation of the subsidy for the purpose for which it was given shall be furnished to the Central Ministry of Industry (Department of Industrial Policy and Promotion) by the financial institution/State Government concerned, within a period of one year from the date of receipt of the last installment/full amount.

15. After receiving the subsidy, each industrial unit shall submit Annual Progress Report (APR) to the Union Ministry of Industry (Department of Industrial Policy and Promotion)/ State Government concerned, about its working for a period of 5 years after going into production.

16. A High Level Monitoring Committee has been set up as in **Annexure-III** which would monitor implementation of the Scheme.

(N.N.Prasad)
Joint Secretary

ANNEXURE-I

Negative List:

The following industries will not be eligible for benefits under NEIIPP, 2007:-

- (i) All goods falling under Chapter 24 of the First Schedule to the Central Excise Tariff Act, 1985 (5 of 1986) which pertains to tobacco and manufactured tobacco substitutes.
- (ii) Pan Masala as covered under Chapter 21 of the First Schedule to the Central Excise Tariff Act, 1985 (5 of 1986).

(iii) Plastic carry bags of less than 20 microns as specified by Ministry of Environment and Forests Notification No.S.O. 705(E) dated 02.09.1999 and S.O.698 (E) dated 17.6.2003.

(iv) Goods falling under Chapter 27 of the First Schedule to the Central Excise tariff Act, 1985 (5 of 1986) produced by petroleum oil or gas refineries.

Value Addition

Benefits under NEIIPP, 2007 will not be admissible to goods in respect of which only peripheral activities like preservation during storage, cleaning operations, packing, re-packing, labelling or relabelling, sorting, alteration of retail sale price etc. take place.

ANNEXURE-II

Empowered Committee:

I	Secretary, Department of Industrial Policy and Promotion, Ministry of Commerce and Industry, Government of India	Chairman
II	Secretary, Ministry of Development of North Eastern Region, Government of India.	Member
III	Secretary, Department of Expenditure, Ministry of Finance, Government of India.	Member
IV	Representative of Planning Commission	Member
V	Secretary of the concerned Ministry/ Department of Government of India dealing with the subject matter pertaining to the proposal under consideration of the Empowered Committee.	Member
VI	Chief Secretary/ Secretary (Industry) of the concerned North East State where the claiming unit is located/ proposed to be located.	Member
VII	Chairman-cum-Managing Director, North Eastern Development Finance Corporation (NEDFi), Guwahati.	Member
VIII	Joint Secretary, Department of Industrial Policy and Promotion, Ministry of Commerce and Industry, Government of India.	Member Secretary

ANNEXURE-III

High Level Committee/Advisory Committee

I	Secretary, Department of Industrial Policy and Promotion, Ministry of Commerce and Industry, Government of India	: Chairman
II	Secretary, Department of Revenue, Ministry of Finance, Government of India.	: Member
III	Secretary, Department of Banking and Insurance, Ministry of Finance, Government of India.	: Member
IV	Secretary, Ministry of Development of North Eastern Region, Government of India.	: Member
V	Adviser (Industry), Planning Commission	: Member
VI	Adviser (NE), Planning Commission	: Member
VII	Chairman-cum-Managing Director, North Eastern Development Finance Corporation (NEDFi), Guwahati.	: Member
VIII	Principal Secretary/Secretary (Industry), of all the State Governments of North Eastern Region.	: Member
IX	Development Commissioner, Small Scale Industries, Government of India.	: Member

- X Additional Secretary & Financial Adviser, Department of Industrial Policy and Promotion, Ministry of Commerce and Industry, Government of India. : Member
- XI Chief Controller of Accounts, Department of Industrial Policy and Promotion, Government of India : Member
- XII Joint Secretary, Department of Industrial Policy and Promotion, Ministry of Commerce and Industry, Government of India. : Member Secretary

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New Delhi, Thursday

September 13, 2007/Bhadra 22,1929

NOTIFICATION

New Delhi, the 12th September, 2007

No.10(3)/2007-DBA-II/NER.- The Central Government hereby makes the following amendments in the Government of India Notification No.10(3)/2007-DBA-II/NER dated the 27th July, 2007 titled '**Central Capital Investment Subsidy Scheme, 2007**'.

2. In place of existing sub-para (b) and (c) under para 4 thereof titled 'Definitions', the following shall be substituted:

"4. Definitions:

- (b) 'New industrial unit' means an industrial unit which commences commercial production on or after the first day of April, 2007 but not later than 31st day of March, 2017.
- (c) 'Existing industrial unit' means an industrial unit which commenced commercial production before 1.4.2007."

(N.N. Prasad)

Joint Secretary to the Government of India

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New Delhi, Monday

September 24, 2007/Asvina 2,1929

NOTIFICATION

New Delhi, the 21st September, 2007

No.10(3)/2007-DBA-II/NER. The Central Government hereby notifies the following Addenda in the Government of India Notification No.10(3)/2007-DBA-II/NER dated the 27th July, 2007 titled '**Central Capital Investment Subsidy Scheme, 2007**'.

2. Under para 4 titled 'Definitions', sub-para (g) shall be inserted as under:

(g) For the purpose of this Scheme, all units falling under Service Sectors, Bio-technology and Power Generation Industries mentioned in para 3 I, II and III will be treated as 'industrial units'. Since these units are not engaged in any manufacturing activity, the date of their being operational/functional will be treated as the date of commencement of commercial production for the purpose of their eligibility for benefits/incentives under this Scheme.

3. Under para 4 titled 'Definitions', sub-para (h) shall be inserted as under:

(h) For the purpose of this Scheme in respect of Service Sector, Bio-technology Industry and Power Generating Industries referred to in para 3 I, II and III, the 'components'/ 'items' as indicated below against each sector will be taken into account for determining the eligible amount of subsidy, if any, under this Scheme :

I. SERVICE SECTORS

(i) Hotels (Not below 'Two Star' category): The cost of construction of building (excluding land) and all the items, except consumables and disposables, which are basic to the running of a hotel.

(ii) Adventure and leisure sports including ropeways: The entire cost of items/components (excluding land) essential for commissioning the project.

(iii) Nursing Homes (with a minimum capacity of 25 beds): The cost of construction of building (excluding land) and other equipments relating to emergency services, general surgery, maternity facilities, pathology, radiology, E.C.G., ambulance, except consumables and disposables.

(iv) Oldage Homes: The cost of construction of building (excluding land) and other equipments for medical care like oxygen cylinders, suction apparatus, wheel chairs, equipments for entertainment like television, video players, computers with internet connections, books, sport-activity items like tennis, table tennis, billiards, card tables etc.

(v) Vocational Training Institutes for :

- hotel management :
- catering and foodcrafts:
- entrepreneurship development:
- nursing and paramedical:
- civil aviation related training:

- fashion:
- design:
- industrial training

For all these Vocational Training Institutes, the cost of construction of building (excluding land) all instruments, office machines and such other electro-mechanical or electronic appliances/equipments which are directly related to the service rendered including class room equipments, machine room equipments, laboratory equipments and essential furniture and fixtures but excluding consumables & disposable items/components, will be taken in account.

II. BIOTECHNOLOGY INDUSTRY:

Equipment, accessories, spares essential for carrying out biotechnical process (s) at laboratory, pilot or commercial scale and the civil infrastructure necessary to adequately have the same shall collectively be defined as "Plant & Machinery" (except the cost of land). It, however, does not include the solvents, chemicals, reagents and other consumables and disposables, required for biotechnology process (s).

III. POWER GENERATING INDUSTRIES:

In calculating the value of generating plant and machinery of a power station, the cost of plant and machinery as erected at site and required for plant operation will be taken into account which will include the cost of main plant, any building associated systems, auxiliary equipment, tools and initial spares but excluding the cost of land.

(a) The amount invested in step-up transformer, switch-gear, switch yard, cables or other appurtenant equipment, if any, will be taken into account but does not include any sub-station.

(b) The amount invested in ash disposal system, railway siding at site or merry-go-round system for transport of raw material/fuel or the carrier to the extent they are actually utilized for transport of ash or raw material/fuel or other appurtenant equipment if any, will be taken into account where electricity is generated by a thermal power generation unit.

(c) The amount invested in dam & reservoir regulating system (hoists and gates etc) head and tail water conductor system or EOT crane or other appurtenant equipment if any, will be taken into account where electricity is generated by using water power in a power generating unit.

(d) Working capital including cost of raw material/fuel and other consumables will be excluded for computing the value of plant and machinery.

[Only essential items in the Service Sector, Bio-technology Industry and Power Generating Industries would be admissible for determining the eligible amount of subsidy]

(NOTE:)

- (1) All transactions in respect of the cost of the project must be through cheque/demand draft.
- (2) All expenses/cost of the project submitted by the unit must be certified by a registered Chartered Accountant.
- (3) The building plan and the cost of construction of building must be certified by a registered Architect.
- (4) The cost of construction of the building should be relatable to the CPWD rates/norms as prescribed from time to time.
- (5) The building should not be in violation of the prescribed norms/guidelines by the concerned authority.
- (6) If the structure of a particular unit is carved out of a building already existing, only that structure will be taken into account for the purpose of determining the quantum of subsidy. In such cases, the cost of building will not be taken into account. However, expenditure incurred only on the required renovation/conversion of such structure will be taken into account.

(7) Minimum standards prescribed by the concerned authorities should be strictly adhered to for setting up of the units.

(8) All the units under service sectors, bio-technology and power generation must be registered under the relevant Act/Rules etc. of the State concern e.g. Factory Act, Shop and Establishment Act etc.) and will be governed by the relevant guidelines in force in the Centre/ States.

(9) Before submission of the claims to SLC, NEDFi shall scrutinize the details of the cost of the project to facilitate consideration of the project in SLC meetings. If necessary, NEDFi can call for additional documents/ evidence in support of the details of expenses.

(10) The subsidy claims will be considered by SLC only after the unit has become operational/functional. A certificate of the unit having become functional/operational must be accompanied with the subsidy claims. The State Government will undertake physical verification of the unit before considering the claims.

(11) In case there is a dispute about an item/ component of the project being 'essential', the matter would be referred to the Department of Industrial Policy and Promotion who will decide the matter in consultation with the concerned Central Ministry/Department and such decision will be final.

4. The footnote under Para 6(a) of the Notification dated 27th July, 2007, shall stand deleted.

(N.N. Prasad)

Joint Secretary to the Government of India

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(Department of Industrial Policy & Promotion)**

No.217

New Delhi, Tuesday

July 31, 2007/Sravana 9,1929

NOTIFICATION

New Delhi, the 27th July, 2007

No.10(3)/2007-DBA-II/NER— In pursuance of the North Eastern Industrial and Investment Promotion Policy, 2007 (NEIIPP, 2007) issued by Ministry of Commerce and Industry vide O.M. No.10 (3)/2007-DBAII/NER, dated the 1st April, 2007, the Government of India is pleased to make the following Scheme of interest subsidy on the working capital loans for industrial units in the North-Eastern Region (NER) comprising the States of Arunachal Pradesh, Assam, Manipur, Meghalaya, Mizoram, Nagaland, Sikkim and Tripura with a view to accelerating industrial development in the region.

1. Short title: This Scheme may be called **the Central Interest Subsidy Scheme, 2007**.

2. Commencement and duration: It will be effective from the 1st April, 2007 and remain in force upto and inclusive of 31.3.2017.

3. Applicability: Unless otherwise specified, all new industrial units as well as existing units which go in for substantial expansion and are located anywhere in NER, will be eligible for interest subsidy under this Scheme. The Scheme will also be applicable to the following service sector activities/industries: -

I. Service Sector:

- (i) Hotels (not below Two Star category), adventure and leisure sports including ropeways;
- (ii) Medical and health services in the nature of nursing homes with a minimum capacity of 25 beds and old-age homes ;
- (iii) Vocational training institutes such as institutes for hotel management, catering and food crafts, entrepreneurship development, nursing and para-medical, civil aviation related training, fashion, design and industrial training.

II. Bio-technology industry

III. Power Generating Industries:

Power Generating plants upto 10 MW based on both conventional and non-conventional sources.

3.(a). The Scheme shall not be applicable to the industries listed in **Annexure-I**

4. Definitions:

(a) **'Industrial unit'** means any industrial undertaking, suitable servicing unit other than that run departmentally by Government.

(b) **'New industrial unit'** means an industrial unit for the setting up of which effective steps were not taken prior to 1.4.2007.

(c) **'Existing Industrial Unit'** means an industrial unit set-up prior to the operative date of the scheme i.e.1.4.2007.

(d) **'Substantial expansion'** means increase in the value of fixed capital investment in plant and machinery of an industrial unit by not less than 25 % for the purpose of expansion of capacity/modernization and diversification.

(e) **'Effective steps'** means one or more of the following steps:-

- (i) that 10% or more of the capital issued for the industrial unit has been paid up.
- (ii) that any part of the factory building has been constructed.
- (iii) that a firm order has been placed for any plant and machinery required for the industrial unit.

(f) **‘Fixed Capital Investment’** means investment in land, building and plant and machinery. Total fixed capital investment will be assessed as follows:

(A) Land: The actual price paid for the land to the extent needed for the purposes of the plant. Charges for the leased land will not be taken into account.

(B) Building: Same as in the case of land. Rent of a hired building will not be taken into account.

(C) Plant and machinery (for manufacturing sector).

(a) In calculating the value of plant and machinery, the cost of plant and machinery as erected at site will be taken into account which will include the cost of productive equipment, such as tools, jigs, dies & moulds; transport charges, insurance premium, etc. will also be taken into account.

(b) The amount invested on goods carriers to the extent they are actually utilized for transport of raw materials and marketing of the finished products, will be taken into account.

(c) Working capital including raw materials and other consumable stores will be excluded for computing the value of plant and machinery.

(g) Fixed Capital represents the depreciated value of fixed assets owned by the factory on the closing day of the accounting year. ‘Fixed assets’ are those which have a normal productive life of more than one year. ‘Fixed capital’ covers all types of assets, new or used or own constructed, deployed for production, transportation, living or recreational facilities, hospitals, schools etc. for factory personnel. It includes the fixed assets of the head office allocable to the factory and also the full value of assets taken on hire purchase basis (whether fully paid or not) excluding interest element. It excludes intangible assets and assets solely used for post manufacturing activities such as sale, storage, distribution etc.

(h) Physical Working Capital is defined to include all physical inventories owned, held or controlled by the factory as on the closing day of the accounting year such as the materials, fuels & lubricants, stores etc, that enter into products manufactured by the factory itself or supplied by the factory to other for processing. Physical working capital also includes the stock of materials, fuels & stores etc., purchased expressly for re-sale, semi-finished goods and work in progress on account of others and goods made by the factory which are ready for sale at the end of the accounting year.

However, it does not include the stock of the materials, fuels, stores etc. supplied by others to the factory for processing. Finished goods processed by others from raw materials supplied by the factory and held by them are included and finished goods processed by the factory from raw materials supplied by others are excluded.

(i) Working Capital is the sum total of the physical working capital as defined in para (h) above and the cash deposits in hand and at bank and the net balance of amounts receivable over amounts payable at the end of the accounting year. Working capital, however, excludes unused overdraft facility, fixed deposits irrespective of duration, advances for acquisition of fixed assets, loans and advances by proprietors and partners irrespective of their purpose and duration, long-term loans including interest thereon and investments.

[*Note: Definition of Plant and Machinery and other components which could be taken into account for the purpose of this Scheme in respect of Service Sector, Bio-Technology industry & Power Generating industries referred to in para 3 (I, II & III) would be notified separately*]

5. Extent of admissible subsidy:

All eligible industrial units located anywhere in the North Eastern Region shall be given an interest subsidy to the extent of 3% on the working capital advanced to them by the Scheduled Banks or Central /State financial institutions, for a maximum period of 10(ten) years from the date of commencement of commercial production.

6. Norms for Working Capital calculation:

For the purpose of this Scheme, the minimum working capital requirement of a unit shall be worked out @ 25 % of their annual turnover. Inventory norms may be applied, if necessary, after providing for aforesaid minimum

level. In respect of such units for which norms have not been laid down/are not applicable (due to the units coming below the cut off point of Rs.10 lakhs of working capital), the request of working capital should be considered favourably so long as the working capital is not very much above such minimum level. Special norms can also be evolved for inventory and receivables. Working capital below the minimum level may be justified under special circumstances in which the requirement is demonstrably lower, as in the case of ancillary units in the small scale sector with assured supply of inputs and off-take of output.

7. Designated Agency for disbursement of Subsidy

North Eastern Development Financial Corporation (NEDFi), Guwahati shall be the designated agency for disbursement of interest subsidy on the basis of the recommendation of the State Level Committee (SLC) of the concerned State Government.

8. Procedure for claiming interest subsidy:

New industrial units eligible for subsidy under the Scheme will be required to get them registered with the State Industry Department concerned prior to taking effective steps for setting up. Similarly, existing industrial units undertaking substantial expansion should also get themselves registered with the State Industry Department prior to such expansion. All the units, whether new or existing would be required to indicate their assessment of the total new or additional fixed capital likely to be invested by them in the plant and machinery.

9. Procedure for disbursement of Interest Subsidy:

(i) Each State Government concerned will set up a State Level Committee consisting of a representative each of the State Department concerned, State Finance Department, State Industry Department, State Directorate of Industries, NEDFi and the Financial Institution concerned from where working capital loan has been raised by the unit, to go into each case to decide whether the unit qualifies for the grant of interest subsidy under the scheme and also about the quantum of eligible subsidy under the scheme.

10. The subsidy will be disbursed to the industrial unit by NEDFi on the recommendation of the State Level Committee of the State Government concerned.

11. Rights of the Centre/State Government/Financial Institutions:

If the Central government/State Government/Financial Institution concerned is satisfied that the subsidy or grant to an industrial unit has been obtained by misrepresentation of the essential facts, furnishing of false information or if the unit goes out of production within 2 years after commencement of commercial production or after having availed the subsidy under the scheme, the Central Government/State Government/Financial Institution concerned, may ask the unit to refund the subsidy after giving opportunity to the concerned to be heard.

12. Without taking prior approval of the Ministry of Industry, Department of Industrial Policy & Promotion/State Government/Financial Institution concerned, no owner of an industrial unit will be allowed to change the location of the whole or any part of the industrial unit or effect any substantial contraction or dispose of a substantial part of its total fixed capital investment within a period of 2 years of receiving a part or the whole of the subsidy under the scheme.

13. In respect of all units to whom the grant or subsidy is disbursed by the NEDFi/State Government, certificate of utilization of the grant or subsidy for the purpose for which it was given shall be furnished to the Central Ministry of Industry (Department of Industrial Policy and Promotion) by the financial institution/State Government concerned within a period of one year from the date of receipt of the last installment /full amount.

14. After receiving the subsidy, each industrial unit shall submit annual progress report to the Ministry of Industry, Department of Industrial Policy and Promotion/State Government concerned, about its working for a period of 5 years after going into production.

15. A High Level Monitoring Committee has been set up as in **Annexure-II** which would monitor implementation of the Scheme.

(N.N.Prasad)
Joint Secretary

ANNEXURE-I**Negative List:**

The following industries will not be eligible for benefits under NEIIPP, 2007:-

- (i) All goods falling under Chapter 24 of the First Schedule to the Central Excise Tariff Act, 1985 (5 of 1986) which pertains to tobacco and manufactured tobacco substitutes.
- (ii) Pan Masala as covered under Chapter 21 of the First Schedule to the Central Excise Tariff Act, 1985 (5 of 1986).
- (iii) Plastic carry bags of less than 20 microns as specified by Ministry of Environment and Forests Notification No.S.O. 705(E) dated 02.09.1999 and S.O.698 (E) dated 17.6.2003.
- (iv) Goods falling under Chapter 27 of the First Schedule to the Central Excise tariff Act, 1985 (5 of 1986) produced by petroleum oil or gas refineries.

Value Addition

Benefits under NEIIPP, 2007 will not be admissible to goods in respect of which only peripheral activities like preservation during storage, cleaning operations, packing, re-packing, labelling or relabelling, sorting, alteration of retail sale price etc. take place.

ANNEXURE-II**High Level Committee/Advisory Committee**

- | | | | |
|------|--|---|------------------|
| I | Secretary, Department of Industrial Policy and Promotion, Ministry of Commerce and Industry, Government of India | : | Chairman |
| II | Secretary, Department of Revenue, Ministry of Finance, Government of India. | : | Member |
| III | Secretary, Department of Banking and Insurance, Ministry of Finance, Government of India. | : | Member |
| IV | Secretary, Ministry of Development of North Eastern Region, Government of India. | : | Member |
| V | Adviser (Industry), Planning Commission | : | Member |
| VI | Adviser (NE), Planning Commission | : | Member |
| VII | Chairman-cum-Managing Director, North Eastern Development Finance Corporation (NEDFi), Guwahati. | : | Member |
| VIII | Principal Secretary/Secretary (Industry), of all the State Governments of North Eastern Region. | : | Member |
| IX | Development Commissioner, Small Scale Industries, Government of India. | : | Member |
| X | Additional Secretary & Financial Adviser, Department of Industrial Policy and Promotion, Ministry of Commerce and Industry, Government of India. | : | Member |
| XI | Chief Controller of Accounts, Department of Industrial Policy and Promotion, Government of India | : | Member |
| XII | Joint Secretary, Department of Industrial Policy and Promotion, Ministry of Commerce and Industry, Government of India. | : | Member Secretary |

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No.267

New Delhi, Tuesday September 13, 2007/Bhadra 22,1929

NOTIFICATION

New Delhi, the 12th September, 2007

No.10(3)/2007-DBA-II/NER.- The Central Government hereby makes the following amendments in the Government of India Notification No.10(3)/2007-DBA-II/NER dated the 27th July, 2007 titled '**Central Interest Subsidy Scheme, 2007**'.

2. In place of existing sub-para (b) and (c) under para 4 thereof titled 'Definitions', the following shall be substituted:

"4. Definitions:

(b) 'New industrial unit' means an industrial unit which commences commercial production on or after the first day of April, 2007 but not later than 31st day of March, 2017.

(c) 'Existing industrial unit' means an industrial unit which commenced commercial production before 1.4.2007."

(N.N. Prasad)
Joint Secretary to the Government of India

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(Department of Industrial Policy & Promotion)**

No.279

New Delhi, Monday September 24, 2007/Asvina 2,1929

NOTIFICATION

New Delhi, the 21st September, 2007

No. 10(3)/2007-DBA-II/NER. The Central Government hereby notifies the following Addenda in the Government of India Notification No.10(3)/2007-DBA-II/NER dated the 27th July, 2007 titled ‘**Central Interest Subsidy Scheme, 2007**’.

2. Under para 4 titled ‘Definitions’, sub-para (f) (D) shall be inserted as under:

4(f)(D) For the purpose of this Scheme, all units falling under Service Sectors, Bio-technology and Power Generation Industries mentioned in para 3 I, II and III will be treated as ‘industrial units’. Since these units are not engaged in any manufacturing activity, the date of their being operational/functional will be treated as the date of commencement of commercial production for the purpose of their eligibility for benefits/incentives under this Scheme.

3. Under para 4 titled ‘Definitions’, sub-para (f) (E) shall be inserted as under:

4(f)(E) For the purpose of this Scheme in respect of Service Sector, Bio-technology Industry and Power Generating Industries referred to in para 3 I, II and III, the ‘components’/ ‘items’ as indicated below against each sector will be taken into account for determining the eligible amount of subsidy, if any, under this Scheme :

I. SERVICE SECTORS

i. Hotels (Not below ‘Two Star’ category): The cost of construction of building (excluding land) and all the items, except consumables and disposables, which are basic to the running of a hotel.

ii. Adventure and leisure sports including ropeways: The entire cost of items/components (excluding land) essential for commissioning the project.

iii. Nursing Homes (with a minimum capacity of 25 beds): The cost of construction of building (excluding land) and other equipments relating to emergency services, general surgery, maternity facilities, pathology, radiology, E.C.G., ambulance, except consumables and disposables.

iv. Oldage Homes: The cost of construction of building (excluding land) and other equipments for medical care like oxygen cylinders, suction apparatus, wheel chairs, equipments for entertainment like television, video players, computers with internet connections, books, sport-activity items like tennis, table tennis, billiards, card tables etc.

v. Vocational Training Institutes for :

- hotel management :
- catering and foodcrafts:
- entrepreneurship development:
- nursing and paramedical:
- civil aviation related training:
- fashion:

- design:
- industrial training

For all these Vocational Training Institutes, the cost of construction of building (excluding land) all instruments, office machines and such other electro-mechanical or electronic appliances/equipments which are directly related to the service rendered including class room equipments, machine room equipments, laboratory equipments and essential furniture and fixtures but excluding disposable items/components, will be taken in account.

II. BIOTECHNOLOGY INDUSTRY:

Equipment, accessories, spares essential for carrying out biotechnical process (s) at laboratory, pilot or commercial scale and the civil infrastructure necessary to adequately have the same shall collectively be defined as "Plant & Machinery" (except the cost of land). It, however, does not include the solvents, chemicals, reagents and other consumables, required for biotechnology process (s).

III. POWER GENERATING INDUSTRIES:

In calculating the value of generating plant and machinery of a power station, the cost of plant and machinery as erected at site and required for plant operation will be taken into account which will include the cost of main plant, any building associated systems, auxiliary equipment, tools and initial spares but excluding the cost of land.

- (a) The amount invested in step-up transformer, switch-gear, switch yard, cables or other appurtenant equipment, if any, will be taken into account but does not include any sub-station.
- (b) The amount invested in ash disposal system, railway siding at site or merry-go-round system for transport of raw material/fuel or the carriers to the extent they are actually utilized for transport of ash or raw material/fuel or other appurtenant equipment if any, will be taken into account where electricity is generated by a thermal power generation unit.
- (c) The amount invested in dam & reservoir regulating system (hoists and gates etc) head and tail water conductor system or EOT crane or other appurtenant equipment if any, will be taken into account where electricity is generated by using water power in a power generating unit.
- (d) Working capital including cost of raw material/fuel and other consumables will be excluded for computing the value of plant and machinery.

[Only essential items in the Service Sector, Bio-technology Industry and Power Generating Industries would be admissible for determining the eligible amount of subsidy]

(NOTE:)

- (1) All transactions in respect of the cost of the project must be through cheque/demand draft.
- (2) All expenses/cost of the project submitted by the unit must be certified by a registered Chartered Accountant.
- (3) The building plan and the cost of construction of building must be certified by a registered Architect.
- (4) The cost of construction of the building should be relatable to the CPWD rates/norms as prescribed from time to time.
- (5) The building should not be in violation of the prescribed norms/guidelines by the concerned authority.
- (6) If the structure of a particular unit is carved out of a building already existing, only that structure will be taken into account for the purpose of determining the quantum of subsidy. In such cases, the cost of building will not be taken into account. However, expenditure incurred only on the required renovation/conversion of such structure will be taken into account.
- (7) Minimum standards prescribed by the concerned authorities should be strictly adhered to for setting up of the units.

(8) All the units under service sectors, bio-technology and power generation must be registered under the relevant Act/Rules etc. of the State concern e.g. Factory Act, Shop and Establishment Act etc.) and will be governed by the relevant guidelines in force in the Centre/ States.

(9) Before submission of the claims to SLC, NEDFi shall scrutinize the details of the cost of the project to facilitate consideration of the project in SLC meetings. If necessary, NEDFi can call for additional documents/ evidence in support of the details of expenses.

(10) The subsidy claims will be considered by SLC only after the unit has become operational/functional. A certificate of the unit having become functional/operational must be accompanied with the subsidy claims. The State Government will undertake physical verification of the unit before considering the claims.

(11) In case there is a dispute about an item/ component of the project being 'essential', the matter would be referred to the Department of Industrial Policy and Promotion who will decide the matter in consultation with the concerned Central Ministry/Department and such decision will be final.

4. The footnote under sub para (i) of para 4 titled 'Definitions', shall stand deleted.

(N.N. Prasad)
Joint Secretary to the Government of India

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(Department of Industrial Policy & Promotion)**

No.217

New Delhi, Tuesday

July 31, 2007/Sravana 9,1929

NOTIFICATION

New Delhi, the 27th July, 2007

No.10(3)/2007-DBA-II/NER— In pursuance of the North East Industrial and Investment Promotion Policy, 2007 (NEIIPP, 2007) issued by the Ministry of Commerce and Industry (Department of Industrial Policy and Promotion) vide O.M. No.10 (3)/2007-DBA-II/NER, dated the 1st April, 2007, the Government of India is pleased to make the following scheme of Comprehensive Insurance Subsidy for industrial units in the North Eastern Region (NER) comprising the States of Arunachal Pradesh, Assam, Manipur, Meghalaya, Mizoram, Nagaland, Sikkim and Tripura with a view to accelerating the industrial development in the NER.

1. **Short Title:** This Scheme may be called **Central Comprehensive Insurance Scheme, 2007**.
2. **Commencement and Duration:** It will come into effect from 1st April, 2007 and remain in force upto and inclusive of 31.3.2017.
3. **Applicability:** Unless otherwise specified, all new manufacturing industrial units as well as existing units which go in for substantial expansion and are located anywhere in NER, will be eligible for reimbursement of 100% insurance premium under Comprehensive Insurance Scheme. The Scheme will also be applicable to the following service sector activities/industries: -

I. Service Sector:

- (i) Hotels (not below Two Star category), adventure and leisure sports including ropeways ;
- (ii) Medical and health services in the nature of nursing homes with a minimum capacity of 25 beds and old-age homes ;
- (iii) Vocational training institutes such as institutes for hotel management, catering and food crafts, entrepreneurship development, nursing and para-medical, civil aviation related training, fashion, design and industrial training.

II. Bio-technology industry

III. Power Generating Industries:

Power Generating plants upto 10 MW based on both conventional and non-conventional sources. 3(a). The Scheme shall not be applicable to the industries listed in **Annexure-I**

4. **Definitions:**

- (a) **Industrial Unit** means any industry which is included in Fire Policy 'C' as per All India Fire Tariffs.
- (b) **'New industrial unit'** means an industrial unit for the setting up of which effective steps were not taken prior to 1.4.2007
- (c) **'Existing Industrial Unit'** means an industrial unit for the setting up of which effective steps were taken prior to 1.4.2007.
- (d) **'Substantial expansion'** means increase in the value of fixed capital investment in plant and machinery of an industrial unit by not less than 25 %, for the purpose of expansion of capacity/modernization and diversification

[**Note:** 'Plant and Machinery' and 'other components' which could be taken into account for the purpose of this Scheme in respect of Service Sector, Bio-Technology industry & Power Generating industries referred to in para 3 (I, II & III) would be notified separately]

5. **Fixation of Sum Insured:** The policy shall be issued by the Insurance Company on market valuation to be declared by the proposer.
6. **Designated Agency for disbursement of Subsidy:** North Eastern Development Financial Corporation (NEDFi) Guwahati shall be the designated agency for disbursement of insurance premium on the basis of the recommendations of the State Level Committee (SLC) of the concerned State Government.
7. **Mode of Operation:** The insured party shall pay the initial premium which shall be reimbursed by the nodal agency (NEDFi) out of the revolving fund maintained by it. Funds for this revolving fund shall be contributed by Ministry of Commerce and Industry, Department of Industrial Policy & Promotion. Insurance policies issued by all general insurance companies (private as well as PSU) registered with Insurance Regulatory and Development Authority (IRDA) will be treated as eligible for the benefit under the Scheme.
8. In respect of all units to whom the subsidy is disbursed by the NEDFi/State Government, Certificate of Utilization of subsidy for the purpose for which it was given shall be furnished to the Central Ministry of Industry (Department of Industrial Policy and Promotion) by the financial Institution//State Government concerned within a period of one year from the date of receipt of last installment/full amount.
9. The Insurance policy envisaged under the Scheme will be as indicated in **Annexure-A**.
10. A High Level Monitoring Committee has been set up as in **Annexure-II** which would monitor implementation of the Scheme.

(N.N.Prasad)
Joint Secretary

ANNEXURE-A

COMPREHENSIVE INSURANCE POLICY FOR INDUSTRIAL UNIT IN NORTH EAST REGION
IN CONSIDERATION OF the Insured named in the schedule hereto having paid to the _____
_____ Insurance Company Limited (hereinafter called the company) the premium mentioned in the said schedule, THE COMPANY AGREES, (subject to the conditions and exclusions contained herein or endorsed or otherwise expressed hereon) that if after payment of the premium the property insured described in the said schedule or any part of such property be destroyed or damaged by the following:-

- i. Fire
- ii. Lightning
- iii. Explosion/Implosion but excluding loss of or damage
 - (a) to boilers (other than domestic boilers), economizers or other vessels, machinery or apparatus in which steam is generated or their contents resulting from their own explosion/implosion,
 - (b) caused by centrifugal force.
- iv. Riot, Strike, Malicious and terrorist Damage as per Riot, Strike, Malicious and terrorist Damage clause printed hereon.
- v. Impact by any Rail/Road vehicle or animal.
- vi. Aircraft and other aerial and/or space devices and/or articles dropped therefrom, excluding destruction or damage occasioned by pressure waves caused by such devices,
- vii. Storm, Cyclone, Typhoon, Tempest, Hurricane, Tornado, Flood and Inundation.
- viii. Subsidence and Landslide (including Rockslide) resulting in collapse of the entire building or part of
- ix. Earthquake Fire and Shock.

During the period of insurance named in the said schedule or of any subsequent period in respect of which the insured shall have paid and the Company shall have accepted the premium required for the renewal of the policy, the Company will pay to the Insured the value of the Property at the time of the happening of its destruction or the amount of such damage or at its option reinstate or replace such Property or any part thereof.

PROVIDED that the liability of the Company shall in no case exceed in respect of each item the sums expressed in the said Schedule to be insured thereon or in the whole the total sum insured hereby or such other sum or sums as may be substituted thereof by memorandum hereon or attached hereto signed by or on behalf of the company.”

RIOT, STRIKE, MALICIOUS AND TERRORIST DAMAGE CLAUSE.

This Policy covers Riot, Strike Malicious and Terrorist Damage as under:-

I) Loss of or visible physical damage by external violent means to the property insured directly caused by:

1) The act of any person taking part together with others in any disturbance of the public peace (whether in connection with a strike or lock-out or not) not being an occurrence mentioned in exclusion 7(a), (b).

2) The action of any lawfully constituted authority in suppressing or attempting to suppress any such disturbance or in minimising the consequence of any such disturbance.

3) The willful act of any striker or locked out worker done in furtherance of strike or in resistance to a lock out resulting in visible physical damage by external violent means.

4) The action of any lawfully constituted authority in preventing or attempting to prevent any such act or in minimizing the consequences of any such act.

5) Any malicious act but excluding any omission of any kind of any person (whether or not such act is committed in the course of a disturbance of public peace) provided that the Company shall not be liable for any loss or damage arising out of or in the course of burglary, housebreaking, theft or larceny or any attempt by any person taking part therein.

II) Loss of or Damage to the property insured by explosion or otherwise directly caused by:

1. An act of terrorism committed by a person or persons acting on behalf of or in connection with any organization.

2. The action of any lawfully constituted authority in suppressing or attempting to suppress any such act, of terrorism or in minimizing the consequences thereof.

For the purpose of this clause “terrorism” shall mean the use of violence for political ends and shall include any use of violence for the purpose of putting the public or any section of the public in fear.

EXCLUSIONS

THIS INSURANCE DOES NOT COVER

1. Loss by theft during or after the occurrence of any insured peril except as provided for the Riot, Strike and Malicious Damage Clause.

2. Loss or damage to property occasioned by its undergoing any heating or drying process.

3. Loss or damage occasioned by or through or in consequence of

(a) The burning of property on order of any public authority

(b) Subterranean fire.

4. Loss of damage directly or indirectly caused by or arising from or in consequence of or contributed to by nuclear weapons material.

5. Loss or damage directly or indirectly caused by or arising from or in consequence of or contributed to by ionising radiations or contaminations by radio-activity from any nuclear fuel or from any nuclear waste from the combustion of nuclear fuel. For the purpose of this Exclusion only, combustion shall include any self-sustaining process of nuclear fission.

6. Loss or damage to any electrical machine, apparatus, fixture or fitting (including electric fans, electric household or domestic appliances, wireless sets etc. television sets and radios) or to any portion of the electrical installation, arising from or occasioned by over-running, excessive pressure, short circuiting, arcing, self-heating or leakage or electricity, from whatever cause (lightning included) provided that this exemption shall apply only to the particular electrical machine, apparatus, fixture, fitting, or portions of the electrical installation so affected and not to other machines, apparatus, fixtures, fittings, or portions of the electrical installation which may be destroyed or damaged by fire so set up.

7. Loss or damage occasioned by or through or in consequence directly or indirectly, of any of the following occurrences, namely,

(a) War, invasion, act of foreign enemy hostilities or warlike operations (whether war be declared or not), civil war,

(b) Mutiny, civil commotion assuming the proportions of or amounting to a popular rising, military rising, insurrection, rebellion, revolution, military or usurped power.

In any action, suit or other proceeding where the company alleges that by reason of the provisions of the above exclusions any loss or damage is not covered by this insurance, the burden of proving that such loss or damage is covered shall be upon the insured.

8. Loss or damage to bullions or unset precious stones, any curios or work of art, for an amount exceeding Rs. 1000/-, manuscripts plans, drawings, patterns, models or moulds, securities, obligations or documents of any kind, stamps, coins or paper money, cheques, books of account or other business books, computer system records, explosives, unless otherwise expressly stated in the policy.

9. Property insured if removed to any building or place other than in which it is herein stated to be insured except Machinery and Equipments temporarily removed for repairs, cleaning, renovation or other similar purposes for a period not exceeding 60 days.

This insurance does not cover:

(a) Loss of earnings, loss by delay, loss of market or other consequential or indirect loss or damage of any kind or description whatsoever.

(b) Loss or damage resulting from total or partial cessation of work or the retarding or interruption or cessation of any process or operation or omissions of any kind.

(c) Loss or damage occasioned by permanent or temporary dispossession resulting from confiscation commandeering or requisition by any lawfully constituted authority.

(d) Loss or damage occasioned by permanent or temporary dispossession of any building or plant or unit or machinery resulting from the lawful occupation by any person of such building or plant or unit or machinery or prevention of access to the same.

PROVIDED nevertheless that the Company is not relieved under © or (d) above of any liability to the Insured in respect of physical damage to the property insured occurring before dispossession or during temporary dispossession.

CONDITIONS

1. THIS POLICY shall be voidable in the event of mis-representation, mis-description or nondisclosure of any material particular.

2. All insurance under this policy shall cease on expiry of seven days from the date of fall or displacement of any building or part thereof or of the whole or any part of any range of building or of any structure of which such building forms part.

PROVIDED such a fall or displacement is not caused by insured perils loss or damage by which is covered this policy or would be covered is such building, range of buildings or structure were insured under this policy.

Notwithstanding the above, the company, subject to an express notice being given as soon as possible but not later than 7 days of any such fall or displacement, may agree to continue the insurance subject to revised rates, terms and conditions as may be decided by it and confirmed in writing to this effect.

3. This insurance may be terminated at any time at the request of the insured, in which case the company will retain the premium at customary short period rate for the time the policy has been in force. This insurance may also at any time be terminated at the option of the Company on 15 days notice to that effect being given to the Insured, in which case the company shall be liable to repay on demand a retable proportion of the premium for the un-expired term from the date of the cancellation.

4. (i) On the happening of any loss or damage the insured shall forthwith give notice thereof to the company and shall within 15 days after the loss or damage or such further time as the company may in writing allow in that behalf, deliver to the company.

(a) A claim in writing for the loss or damage containing as particular an account as may be reasonably practicable of all the several articles or items or property damage or destroyed, and of the amount of the loss or damage thereto respectively, having regard to their value at the time of the loss or damage not including profit of any kind.

(b) Particulars of all other insurances, if any.

The insured shall also at all time at his own expense produce, procure and give to the company all such further particulars, plans, specification books, vouchers, invoices, duplicates or copies thereof, documents, investigation reports (internal/external), proofs and information with respect to the claim and origin and cause of the fire and the circumstances under which the loss or damage occurred and any matter touching liability or the amount of the liability of the Company as may be reasonably required by or on behalf of the Company together with a declaration and oath or in other legal form of the truth of the claims and of any matters connected therewith. No claim under this policy shall be payable unless the terms of this condition have been complied with.

(ii) The Company reserves the right to treat the claim as no claim if no information/documents are submitted by the insured within a period of 6 months from the date of loss.

(iii) In no case whatsoever shall the Company be liable for any loss or damage after the expiration of 12 months from the happening of the loss or damage unless the claim is the subject of pending action or arbitration; it being expressly agreed and declared that if the Company shall disclaim liability for any claim hereunder and such claim shall not within 12 calendar months from the date of the disclaimer have been made the subject matter of a suit in a court of law then the claim shall for all purposes be deemed to have been abandoned and shall not thereafter be recoverable hereunder.

5. On the happening of loss or damage to any of the property insured by this policy, the Company may:-

(a) enter and take and keep possession of the building or premises where the loss or damage has happened.

(b) take possession of or require to be delivered to it any property of the insured in the building or on the premises at the time of the loss or damage.

(c) keep possession of any such property and examine, sort, arrange, remove or otherwise deal with the same.

(d) sell any such property or dispose of the same for account of whom it may concern.

The powers conferred by this condition shall be exercisable by the Company any time until notice in writing is given by the insured that he makes no claim under the policy, or if any claim is made, until such claim is finally determined or withdrawn, and the company shall not by any act done in the exercise or purported exercise of its powers hereunder, incur any liability to the insured or diminish its rights to rely upon any of the conditions of this policy in answer to any claim etc. If the insured or any person on his behalf shall not comply with the requirements of the Company or shall hinder or obstruct the Company, in the exercise of its powers hereunder, all benefits under this policy shall be forfeited.

The Insured shall not in any case be entitled to abandon any property to the Company whether taken possession of by the Company or not.

6. If the claim be in any respect fraudulent, or if any false declaration be made or used in support thereof or if any fraudulent means or devices are used by the insured or any one acting on his behalf to obtain any benefit under the policy or if the loss or damage be occasioned by the willful act, or with the connivance of the insured, all benefits under this policy be forfeited.

7. If the company at its option, reinstate or replace the property damaged or destroyed or any part thereof, instead of paying the amount of the loss or damages, or join with any other Company or Insure(s) in so doing, the Company shall not be bound to reinstate exactly or completely but only as circumstances permit and in reasonably sufficient manner, and in no case shall the Company be bound to expend more in reinstatement than it would have cost to reinstate such property as it was at the time of the occurrence of such loss or damage nor more than the sum insured by the Company thereon.

If the Company so elect to reinstate or replace any property, the insured shall at his own expense furnish the Company with such plans. Specifications, measurements, quantities and such other particulars as the Company,

may require, and no acts done, or caused to be done, by the company with a view to reinstatement or replacement shall be deemed an election by the company to reinstate or replace.

If in any case the Company shall be unable to reinstate or repair the property hereby insured, because of any municipal or other regulations in force affecting the alignment of streets or the construction of buildings or otherwise, the Company shall in every such case, only be liable to pay such sum as would be requisite to reinstate to its former condition.

8. The insured shall at the expense of the Company do and concur in doing, and permit to be done, all such acts and things as may be necessary or reasonably required by the Company for the purpose of enforcing any rights and remedies or of obtaining relief or indemnity from other parties to which the Company shall be or would become entitled or subrogated, upon its paying for or making good any loss or damage under this policy, whether such acts and things shall be or become necessary or required before or after his indemnification by the Company.

9. If at any time of any loss or damage happening to any property hereby insured there be any other subsisting insurance or insurances, whether effected by the insured or by any other person or persons covering the same property, this Company shall not be liable to pay or contribute more than its retable proportion of such loss or damage.

10. If the property hereby insured shall at the breaking out of any insured peril, be collectively of greater value than the sum insured thereon, then the insured shall be considered as being his own insurer for the difference, and shall bear a retable proportion of the loss accordingly. Every item, if more than one of the policy shall be separately subject to this condition.

Provided, however, that if the sum insured hereby on the property insured shall at the operation of any of the perils insured under this Policy or at the commencement of such destruction or damage be not less than 85% (eight-five per cent) of the collective value of the property insured, this condition shall be of no purpose and effect.

11. If any dispute or difference shall arise as to the quantum to be paid under this Policy (liability being otherwise admitted) such difference shall independently of all other questions be referred to the decision of a sole arbitrator to be appointed in writing by the parties to or they cannot agree upon a single arbitrator within 30 days of any party invoking arbitration the same shall be referred to a panel of three arbitrators, comprising of two arbitrators, one to be appointed by each of the parties to the dispute/difference and the third arbitrator to be appointed by such two arbitrators and arbitration shall be conducted under and in accordance with the provisions of the Arbitration and Conciliation Act, 1996.

It is clearly agreed and understood that no difference or dispute shall be referable to arbitration as herein before provided, if the company had disputed or not accepted liability under or in respect of this policy.

It is hereby expressly stipulated and declared that it shall be a condition precedent to any right of action or suit upon this policy that the award by such arbitrator/arbitrators of the amount of the loss or damage shall be first obtained.

12. At all times during the period of insurance of this policy the insurance cover will be maintained to the full extent of the respective sum insured in consideration of which, upon the settlement of any loss under this policy, pro rata premium for the unexpired period from the date of such loss to the expiry period of insurance for the amount of such loss shall be payable by the Insured to the Company.

The additional premium referred above shall be deducted from the net claim payable under the policy. This continuous cover to the full extent will be available notwithstanding any previous loss for which the Company may have paid hereunder and irrespective of the fact whether the additional premium as mentioned above has been actually paid or not following such loss. The intention of this condition is to ensure continuity of the cover to the insured subject only to the right of the company for deduction from the claim amount, when settled of pro-rata premium to be calculated from the date of loss till expiry of the policy.

Notwithstanding what is stated above, the Sum Insured shall stand reduced by the amount of loss in case the insured immediately on occurrence of the loss exercises his option not to reinstate the sum insured as above.

ANNEXURE-I**Negative List:**

The following industries will not be eligible for benefits under NEIIPP, 2007:-

- (i) All goods falling under Chapter 24 of the First Schedule to the Central Excise Tariff Act, 1985 (5 of 1986) which pertains to tobacco and manufactured tobacco substitutes.
- (ii) Pan Masala as covered under Chapter 21 of the First Schedule to the Central Excise Tariff Act, 1985 (5 of 1986).
- (iii) Plastic carry bags of less than 20 microns as specified by Ministry of Environment and Forests Notification No.S.O. 705(E) dated 02.09.1999 and S.O.698 (E) dated 17.6.2003.
- (iv) Goods falling under Chapter 27 of the First Schedule to the Central Excise tariff Act, 1985 (5 of 1986) produced by petroleum oil or gas refineries.

Value Addition

Benefits under NEIIPP, 2007 will not be admissible to goods in respect of which only peripheral activities like preservation during storage, cleaning operations, packing, re-packing, labelling or relabelling, sorting, alteration of retail sale price etc. take place.

ANNEXURE-II**High Level Committee/Advisory Committee**

I	Secretary, Department of Industrial Policy and Promotion, Ministry of Commerce and Industry, Government of India	:	Chairman
II	Secretary, Department of Revenue, Ministry of Finance, Government of India.	:	Member
III	Secretary, Department of Banking and Insurance, Ministry of Finance, Government of India.	:	Member
IV	Secretary, Ministry of Development of North Eastern Region, Government of India.	:	Member
V	Adviser (Industry), Planning Commission	:	Member
VI	Adviser (NE), Planning Commission	:	Member
VII	Chairman-cum-Managing Director, North Eastern Development Finance Corporation (NEDFi), Guwahati.	:	Member
VIII	Principal Secretary/Secretary (Industry), of all the State Governments of North Eastern Region.	:	Member
IX	Development Commissioner, Small Scale Industries, Government of India.	:	Member
X	Additional Secretary & Financial Adviser, Department of Industrial Policy and Promotion, Ministry of Commerce and Industry, Government of India.	:	Member
XI	Chief Controller of Accounts, Department of Industrial Policy and Promotion, Government of India	:	Member
XII	Joint Secretary, Department of Industrial Policy and Promotion, Ministry of Commerce and Industry, Government of India.	:	Member Secretary

2. The terms of reference of this Committee will be as under:

The Committee would monitor the following:-

- (i) Notification of various Schemes under NEIIPP, 2007 in a timely manner.
- (ii) Monitoring of various Schemes/measures under NEIIPP, 2007 including release and utilization of funds.
- (iii) To overcome the difficulties in implementation of NEIIPP, 2007, which may arise from time to time.

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EXTRAORDINARY
PART-I, SECTION-I
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MINISTRY OF COMMERCE & INDUSTRY, GOVT. OF INDIA
(Department of Industrial Policy & Promotion)**

No.217

New Delhi, Thursday September 13, 2007/Bhadra 22,1929

NOTIFICATION

New Delhi, the 12th September, 2007

No.10(3)/2007-DBA-II/NER.- The Central Government hereby makes the following amendments in the Government of India Notification No.10(3)/2007-DBA-II/NER dated the 27th July, 2007 titled '**Central Comprehensive Insurance Scheme, 2007**'.

2. In place of existing sub-para (b) and (c) under para 4 thereof titled 'Definitions', the following shall be substituted:

"4. Definitions:

(b) 'New industrial unit' means an industrial unit which commences commercial production on or after the first day of April, 2007 but not later than 31st day of March, 2017.

(c) 'Existing industrial unit' means an industrial unit which commenced commercial production before 1.4.2007."

(N.N. Prasad)

Joint Secretary to the Government of India

**The Gazette of India
EXTRAORDINARY
PART-I, SECTION-I
PUBLISHED BY AUTHORITY
MINISTRY OF COMMERCE & INDUSTRY, GOVT. OF INDIA
(Department of Industrial Policy & Promotion)**

No.217

New Delhi, Monday September 24, 2007/Asvina 2,1929

NOTIFICATION

New Delhi, the 21st September, 2007

No. 10(3)/2007-DBA-II/NER. The Central Government hereby notifies the following Addenda in the Government of India Notification No.10(3)/2007-DBA-II/NER dated the 27th July, 2007 titled '**Central Comprehensive Insurance Scheme, 2007**'.

2. Under para 4 titled 'Definitions', sub-paras (e) & (f) shall be inserted as under:

(e) For the purpose of this Scheme, all units falling under Service Sectors, Bio-technology and Power Generation Industries mentioned in para 3 I, II and III will be treated as 'industrial units'. Since these units are not engaged in any manufacturing activity, the date of their being operational/functional will be treated as the date of commencement of commercial production for the purpose of their eligibility for benefits/incentives under this Scheme.

(f) For the purpose of this Scheme in respect of Service Sector, Bio-technology Industry and Power Generating Industries referred to in para 3 I, II and III, the 'components'/ 'items' as indicated below against each sector will be taken into account for determining the eligible amount of subsidy, if any, under this Scheme :

I. SERVICE SECTORS

(i) Hotels (Not below 'Two Star' category): The cost of construction of building (excluding land) and all the items, except consumables and disposables, which are basic to the running of the hotel.

(ii) Adventure and leisure sports including ropeways: The entire cost of items/components (excluding land) essential for commissioning the project.

(iii) Nursing Homes (with a minimum capacity of 25 beds): The cost of construction of building (excluding land) and other equipments relating to emergency services, general surgery, maternity facilities, pathology, radiology, E.C.G., ambulance, except consumables and disposables.

(iv) Oldage Homes: The cost of construction of building (excluding land) and other equipments for medical care like oxygen cylinders, suction apparatus, wheel chairs, equipments for entertainment like television, video players, computers with internet connections, books, sport-activity items like tennis, table tennis, billiards, card tables etc.

(v) Vocational Training Institutes for :

- hotel management :
- catering and foodcrafts:
- entrepreneurship development:
- nursing and paramedical:
- civil aviation related training:
- fashion:
- design:
- industrial training

For all these Vocational Training Institutes, the cost of construction of building (excluding land) all instruments, office machines and such other electro-mechanical or electronic appliances/equipments which are directly related to the service rendered including class room equipments, machine room equipments, laboratory equipments and essential furniture and fixtures but excluding disposable items/components, will be taken in account.

II. BIOTECHNOLOGY INDUSTRY:

Equipment, accessories, spares essential for carrying out biotechnical process (s) at laboratory, pilot or commercial scale and the civil infrastructure necessary to adequately have the same shall collectively be defined as “Plant & Machinery” (except the cost of land). It, however, does not include the solvents, chemicals, reagents and other consumables, required for biotechnology process (s).

III. POWER GENERATING INDUSTRIES:

In calculating the value of generating plant and machinery of a power station, the cost of plant and machinery as erected at site and required for plant operation will be taken into account which will include the cost of main plant, any building associated systems, auxiliary equipment, tools and initial spares but excluding the cost of land.

- i. The amount invested in step-up transformer, switch-gear, switch yard, cables or other appurtenant equipment, if any, will be taken into account but does not include any sub-station.
- ii. The amount invested in ash disposal system, railway siding at site or merry-go-round system for transport of raw material/fuel or the carriers to the extent they are actually utilized for transport of ash or raw material/fuel or other appurtenant equipment if any, will be taken into account where electricity is generated by a thermal power generation unit.
- iii. The amount invested in dam & reservoir regulating system (hoists and gates etc) head and tail water conductor system or EOT crane or other appurtenant equipment if any, will be taken into account where electricity is generated by using water power in a power generating unit.
- iv. Working capital including cost of raw material/fuel and other consumables will be excluded for computing the value of plant and machinery.

[Only essential items in the Service Sector, Bio-technology Industry and Power Generating Industries would be admissible for determining the eligible amount of subsidy]

(NOTE:)

- (1) All transactions in respect of the cost of the project must be through cheque/demand draft.
- (2) All expenses/cost of the project submitted by the unit must be certified by a registered Chartered Accountant.
- (3) The building plan and the cost of construction of building must be certified by a registered Architect.
- (4) The cost of construction of the building should be relatable to the CPWD rates/norms as prescribed from time to time.
- (5) The building should not be in violation of the prescribed norms/guidelines by the concerned authority.
- (6) If the structure of a particular unit is carved out of a building already existing, only that structure will be taken into account for the purpose of determining the quantum of subsidy. In such cases, the cost of building will not be taken into account. However, expenditure incurred only on the required renovation/conversion of such structure will be taken into account.
- (7) Minimum standards prescribed by the concerned authorities should be strictly adhered to for setting up of the units.

(8) All the units under service sectors, bio-technology and power generation must be registered under the relevant Act/Rules etc. of the State concern e.g. Factory Act, Shop and Establishment Act etc.) and will be governed by the relevant guidelines in force in the Centre/ States.

(9) Before submission of the claims to SLC, NEDFi shall scrutinize the details of the cost of the project to facilitate consideration of the project in SLC meetings. If necessary, NEDFi can call for additional documents/ evidence in support of the details of expenses. This procedure would apply on those projects the cost of which exceeds Rs. 1 crore but does not exceed Rs. 30 crore.

(10) The subsidy claims will be considered by SLC only after the unit has become operational/functional. A certificate of the unit having become functional/operational must be accompanied with the subsidy claims. The State Government will undertake physical verification of the unit before considering the claims.

(11) In case there is a dispute about an item/ component of the project being 'essential', the matter would be referred to the Department of Industrial Policy and Promotion who will decide the matter in consultation with the concerned Central Ministry/Department and such decision will be final.

4. The footnote under para 4 titled 'Definitions', shall stand deleted.

(N.N. Prasad)

Joint Secretary to the Government of India

The Gazette of India Extraordinary
(Part-I, Section-I)
Ministry of Industry
(Department of Industrial Policy & Promotion)

Published in Part I, Section I of the Gazette of India Extra-ordinary dated 27.07.1971 (No. 102) vide Notification No. F. 6(26)/71-IC dated 23.07.1971 and amended vide Notification No. 6(26)/71-IC dated 28.02.1974 published in the Part I, Section I of the Gazette of India Extra-ordinary dated 28.02.1974 (No. 103) and Notification No. 6/3/75-RD dated 19.07.1978 Notification No. 11/1/90-DBA.II dt. 28.07.1993 & 29.09.1995 and No. 11(1)/98-DBA.II dated 29.01.1998.

NOTIFICATION

New Delhi, the 23rd July, 1971

No. 6(26)/71-IC – The Government of India are pleased to make the following scheme for grant of subsidy on the transport of raw materials and finished goods to and from certain selected areas with a view to promoting growth of industries there:-

1. Short title - This Scheme may be called the Transport Subsidy Scheme, 1971.

2. @ Commencement and duration : It comes into effect from 15.07.1971, for selected areas (A), with effect from 24.08.1973 for selected areas (B), with effect from 1.12.1976 for selected areas (C) and with effect from 5.12.1977 for selected areas (D) and will remain in operation till 31.03.2007.

3. @ It is applicable to all industrial units (barring plantations, refineries and power generating units) both in the public and the private sectors, irrespective of their size in the selected areas (A), (B), (C) and (D).

4. Definitions – (a) ‘Industrial Unit’ means an industrial unit where a manufacturing programme is carried on.

(b) ‘New Industrial Unit’ means an industrial unit which has set up manufacturing capacity and come into production on or after the date of commencement of the Scheme.

(c) ‘Existing Industrial Unit’ means an industrial unit which has set up manufacturing capacity and came into production before the date of commencement of the Scheme.

(d) ‘Substantial expansion’ means increase in production of an industrial unit by 25 per cent or more of the licenced or approved capacity.

(e) ‘Diversification’ means manufacture of new article or articles by an industrial units by 25 per cent or more (by value) of the approved or licensed capacity of the article or articles already manufactured by it during the preceding year.

@ Amended vide Notification No. 11(1)/95-DBA.II dated 28.07.93 dated 29.09.1995 and No. 11(1)/98-DBA.II dated 29.01.1998.

(f) The Selected Areas (A)** means the State of Jammu & Kashmir and the North-Eastern Region comprising the States of Assam, Meghalaya, Manipur, Nagaland and Tripura and the Union Territories of Arunachal Pradesh and Mizoram, the Selected Areas (B) means the State of Himachal Pradesh and Hilly areas of Uttar Pradesh State comprising the districts of Dehradun, Nainital, Almora, Pauri Garhwal, Tehri Garhwal, Pithorgarh, Uttarakashi and Chamoli, the Selected Areas (C) means the Union Territories of Andaman & Nicobar Islands and Lakshadweep and the Selected Areas (D) means the State of Sikkim. g. %

(h) ‘Raw Material’ means any raw material actually required and used by an industrial unit in its manufacturing programme as approved by the Government of India and/or by the Government of State/Union Territory in which the industrial unit is located.

(i) ‘Finished Goods’ means the goods actually produced by an industrial unit in accordance with the manufacturing programme approved by the Government of India and/or the Government of State/Union Territory in which the industrial unit is located.

5. %

6. Details of the Scheme- (i) A transport subsidy will be given to the industrial units located in the selected areas in respect of raw materials which are brought into and finished goods which are taken out of such areas.

(ii) @ Industrial units will not be eligible for the transport subsidy for internal movement of raw materials and finished goods within the State of Jammu & Kashmir, the North-Eastern Region, the State of Himachal Pradesh, the hilly areas of Uttar Pradesh, the Union Territories of Andaman & Nicobar Islands and Lakshadweep and the State of Sikkim.

(iii) @ In the case of Jammu & Kashmir, transport subsidy will be given on transport costs between the location of the industrial unit and rail head of Jammu or Pathankot, whichever is nearer.

In the case of Himachal Pradesh, the transport subsidy will be given on transport costs between the location of the industrial unit in the States and the nearest rail-head viz. (i) Pathankot, (ii) Kiratpur Sahib, (iii) Nangal, (iv) Kalka, (v) Ghanauli, (vi) Yamuna Nagar, (vii) Barara and (viii) Hoshiarpur.

In the case of hilly areas of Uttar Pradesh State, the transport subsidy will be given on the transport costs between the location of the industrial unit and the nearest rail-head viz., (I) Dehradun, (ii) Rishikesh, (iii) Moradabad, (iv) Bareilly, (v) Kotdwara, (vi) Shahajhanpur and (vii) Rampur.

** Amended vide Notification No. 6/3/75-RD dated 19.07.1978.

@ Amended vide Notification No. F.6(26)/71-IC dated 28.02.1974 and No. 6/3/75-RD dated 19.07.1978.

% Deleted vide Notification No. 6(26)/74-IC dated 28.02.1974.

(iv)* In the case of North-Eastern region comprising the States of Assam, Meghalaya, Nagaland, Manipur, Tripura and the Union Territories of Arunachal Pradesh and Mizoram the transport subsidy will be given on the transport costs between Siliguri and the location of the industrial unit in these States/Union Territories. While calculating the transport costs of raw materials the cost of movement by rail from Siliguri to the railway station nearest to the location of the industrial unit and thereafter the cost of movement by road to the location of the industrial unit will be taken into account. Similarly, while calculating the transport costs of finished goods the costs of movement by road from the location of industrial unit to the nearest railway station and thereafter the cost of movement by rail to Siliguri will be taken into account. In the case of North-Eastern region, for raw materials moving entirely by road or other mode of transport the transport costs will be limited to the amount which the industrial unit might have paid had the raw materials moved from Siliguri by rail upto the railway station nearest to the location of the industrial unit and thereafter by road. Similarly, in the case of movement of finished goods moving entirely by road or other mode of transport in the North Eastern region, the transport costs will be limited to the amount which the industrial unit might have paid had the finished goods moved from the location of the industrial units to the nearest railway station by road and thereafter by rail to Siliguri.

(v) & In the case of Andaman & Nicobar Islands, the transport subsidy will be given on transport costs by sea and road between Madras Port and the location of the industrial unit in the Union Territory. In the case of Lakshadweep, the transport subsidy will be given on transport costs by sea and road between Cochin Port and the location of the industrial unit in the Union Territory. If any other port on the mainland is used for the purpose of transport subsidy, the transport costs will be taken at the industrial unit would have incurred had Madras or Cochin Port, as the case may be, been used, or the actual transport costs, whichever are less.

(vi) & In the case of Sikkim, the transport subsidy will be given on transport costs between the location of the industrial unit in the State and the rail head of Siliguri.

(vii) + Freight charges for movement by road/sea will be determined on the basis of transport/transshipment rates fixed by the Central Government/State Government/Union Territory Administration concerned from time to time or the actual freight paid, whichever is less.

(viii) \$ Cost of loading or unloading and other handlings charges such as from railway station to the site of the industrial unit will not be taken into account for the purpose of determining transport costs.

& Inserted vide Notification No. 6/3/75-RD dated 19.07.1978.

* Amended vide Notification No. F. 6(26)/71-IC dated 28.02.1974.

+ Renumbered and amended vide Notification No. 6/3/75-RD dated 19.07.1978.

\$ Renumbered vide Notification No. 6/3/75-RDM dated 19.07.1978.

(ix)§ All new industrial units located in the selected areas will be eligible for transport subsidy equivalent to 50 per cent of the transport costs of both raw materials as well as finished goods.

(x)§ Existing industrial units in the selected areas are also eligible for transport subsidy in respect of the additional transport costs of raw materials and finished goods arising as a result of substantial expansion or diversification effect by them after the commencement of the Scheme. Transport Subsidy in such cases will be restricted to 50 per cent of the transport costs of the additional raw materials required and finished goods produced as a result of the substantial expansion or diversification.

(xi)+ Transport Subsidy will also cover 50 per cent of the transport charges for movement of steel from Gauhati stockyard of M/s Hindustan Steel Ltd. to the site of the industrial units in the North-Eastern region and for movement of industrial raw materials from the State Corporation's depots situated in the hill districts of Uttar Pradesh to the sites of the industrial units located in the hill districts of the State.

(xii)*§ The State Govt./Union Territory Admn. will set up a Committee consisting of the Director of Industries, a representative each of the State Industries Department and the State Finance Deptt. etc. on which a representative of the Ministry of Industrial Development will also be nominated. The Committee will operate at the State/Union Territory level and scrutinize and settle all claims of transport subsidy arising in the State/Union Territory. The claimants should be asked to provide proof of raw material, 'imported' into and finished goods 'exported' out of the selected States/Union Territory/areas where the unit is situated from the registered Chartered Accountants. The Committee may also lay down the production of any other documents which in their opinion is necessary to decide the eligibility of claimant for the transport subsidy. However, in the case of small units with a capital investment of Rs. 1 lakh or less the requirement of production of certificate from Chartered Accountant may be waived subject to the condition that such claims are properly verified by the State Government authorities before the subsidy is sanctioned/dispensed. After having scrutinized and settled the claims, the amount dispensed to industrial unit should first be adjusted against the outstanding ways and means of advances made to the State Government/Union Territory Administration for Centrally Sponsored Scheme in accordance with the procedure outlined in the Ministry of Finance letter No. 2(17)/PII/58 dated 12.05.1958 and the balance, if any, shall be paid in cash to the State Govt./Union Territory Administration. Provided that in the case of small units with a capital investment of Rs. 1,00,000 and less, the requirement of production of proof of import of raw material and export of finished products from registered Chartered Accountant will be substituted by a appropriate verification by the State Govt. authorities.

(xiii)§ In order to check any misuse of transport subsidy Directorate of Industries in the State/Union Territories will carry out periodical checks to ensure that the raw materials and the finished goods in respect of which transport subsidy has been given were actually used for the purpose by a system of scrutinising of consumption of the raw materials and the output of the finished goods.

§ Renumbered vide Notification No. 6/3/75-RDM dated 19.07.1978.

(xiv)§ Directorate of Industries of the State and Union Territories concerned will draw up procedures and arrangements not only for scrutinising the claims for transport subsidy but also arrange for prompt payment of the claims. The number of transport subsidy claims that may be preferred by an industrial unit should not ordinarily exceed one in a quarter. However, the Director of Industries may at his discretion entertain more number of claims in a financial year, if the financial position of the industrial unit so warrants.

(xv)§ Directorates of Industries of the States and Union Territories concerned will lay down a system of pre-registration of the industrial units which are eligible for transport subsidy. At the time of registration the Directorate of Industries will fix and indicate the capacity of such units. They will also lay down procedure to ensure regular inflow of information regarding the movement of raw material and finished goods to and from the industrial units. The Directorate of Industries of the States and Union Territories should also lay down that statistics of production and utilization of raw materials should be maintained and kept open for inspection on request by the Directorate of Industries.

(xvi)\$ The Ministry of Industrial Development will continuously review the arrangements made by the Directorate of Industries of the concerned States and Union Territories and suggest modifications in the procedure for scrutinizing the claim, payment of transport subsidy etc.

(xvii)\$ Notwithstanding the provisions of the Scheme Government of India and/or the Govt. State/ Union Territory concerned have full discretion to refused to entertain or reject any claim for transport subsidy.

(xviii)\$ Any false statement made deliberately by an industrial unit or any mis-representation of facts by it will disqualify it from the grant of transport subsidy for such period of time as the Government of India and/or the Government of State/Union Territory concerned may decide after giving a reasonable opportunity to the industrial unit to State its case.

\$ Renumbered vide Notification No. 6/3/75-RDM dated 19.07.1978.

[Source: <http://dipp.nic.in>]

**The Gazette of India Extraordinary
(Part-I, Section-I)
Ministry of Industry
(Department of Industrial Policy & Promotion)**

NOTIFICATION

New Delhi, the 29th January,1998

No:II(I)/98-DBA-II: The Central Government hereby makes the following further amendment in the Notification of the Government of India in the erstwhile Ministry of Industrial Development Notification No:6/26/71-IC, dated 23rd July,1971 , namely the Transport Subsidy Scheme, 1971 as published in the Gazette of India Extraordinary, Part-I, Section-I , dated 27th July, 1971 as amended from time to time:-

1. In para 2 of the said Scheme under the heading “commencement and duration” the following shall be added at the end:-

“The Scheme shall however, remain in operation till 31st March,2007 for the North Eastern Region.”

2. In para 6 of the said Scheme, after sub-para (xii-a) the following shall be inserted namely:-

“ The North Eastern Development Financial Corporation (NEDFi) shall act as a nodal agency for release of transport subsidy on the basis of the recommendations of the State level Committee, for the North East Region, as per existing terms & conditions of the said scheme”.

Smt. Prativa Karan
Jt. Secretary

(COPY)

*All communications should be addressed to the
Government of India, Ministry of Industry by title
NOT by name*

Telegram : **INDMINISTRY**
Telex : **031-66565**
Fax : **011-23062626**

*No.10(6)/2008-DBA-II/NER
Government of India
Ministry of Commerce & Industry
(Deptt. of Industrial Policy & Promotion)
Udyog Bhawan, New Delhi -110 011*

Dated the 21st August, 2008

To

The Secretary (Industries)
Department of Industries,
State Government of NER
(Assam, Arunachal Pradesh, Manipur, Meghalaya, Mizoram, Nagaland, Tripura and Sikkim)

Sub: Operational Guidelines in respect of various subsidy schemes under NEIIPP 2007.

Sir,

1. I am directed to say that the application forms for claiming subsidy under various subsidy schemes under NEIIPP, 2007 viz. Capital Investment Subsidy Scheme, Central Interest Subsidy Scheme and Comprehensive Insurance Scheme have been devised alongwith necessary checklists to be strictly followed for scrutiny of claims. In addition, general Operational Guidelines have also been evolved which are to be strictly followed by all the State Governments concerned. These have been '**e-mailed**' to the North Eastern Development Finance Corporation (NEDFi) and may kindly be obtained from them. Specific guidelines have already been provided under the respective subsidy schemes under NEIIPP, 2007.
2. The Directorate of Industries of all the State Government are requested to obtain the formats of application form and operational guidelines from NEDFi.
3. It is requested that the industrial unit may be advised that henceforth the application for claims under various subsidy schemes may be submitted only in these prescribed formats. If an industrial unit has submitted any claim in the old Application Form, it may be asked to furnish the claims in the new formats alongwith necessary details/documents/information.

Yours faithfully,

Sd/-

(P. Bhardwaj)

Under Secretary to the Government of India

Tel. No. 23063148

Copy to: 1. Director (Industries), Directorate of Industries of NE States (Assam, Arunachal Pradesh, Manipur, Meghalaya, Mizoram, Nagaland, Tripura and Sikkim)
2. CMD, NEDFi, Guwahati, Assam.

**GENERAL OPERATIONAL GUIDELINES FOR REGISTRATION OF
INDUSTRIAL UNITS AND PROCEDURE FOR HANDLING SUBSIDY CLAIMS UNDER
VARIOUS SUBSIDY SCHEMES**

- (i) The unit should get itself registered with the District Industries Centre (DIC) concerned under NEIIPP,2007 prior to taking effective steps as defined for setting up of new or undertaking expansion / modernization/diversification of the existing unit.
The application form for such registration would be **Form-1.A** (new unit) and **Form-1.B** (expansion).
- (ii) The Registration Certificate would be issued within 15 days of the receipt of Application Form.
- (iii) The officer concerned in District Industry Centre must visit the location of the unit physically and verify all the relevant facts and details of the setting up of the industrial unit or the substantial expansion undertaken by the unit.
- (iv) The General Manager of the concerned District Industry Centre shall be the competent authority to issue the Registration Certificate.
- (v) The registration form should be filled in quadruplicate and one copy should be endorsed to DIPP (without enclosures), after registration has been granted to the industrial unit.
- (vi) The registration should not be granted by the District Industries Centre on the basis of incomplete information/documents.
- (vii) It may be ensured that no industrial unit should be registered for benefits under NEIIPP, 2007 if it is covered under Negative List provided in Annexure-1 to the Policy.
- (viii) For submission of claims the unit should apply for the Central Capital Investment Subsidy, Central Interest Subsidy or Central Comprehensive Insurance Subsidy (as the case may be) in the prescribed Application Forms.
- (ix) The DIC/Directorate of Industries must ensure that the claim Application Form for subsidies is complete in all respects and accompanied by all the documents required as per the respective check lists appended therewith.
- (x) Incomplete application or applications without the required documents should not be considered under any circumstances.
- (xi) All entries in the claim application form will be carefully checked up by the dealing officer with reference to the original documents submitted with the claim application. It will also be ensured that the entries are not based on wrong information.
- (xii) The subsidy under any Scheme should not be disbursed if the claim under that Scheme is sub-judice.
- (xiii) The quantum of subsidy payable to the unit should be worked out/calculated on the eligible components as laid down in the respective Schemes. In case of any doubt in this regard, the matter may be referred to DIPP for clarification. The decision of DIPP will be final in this regard.
- (xiv) All transactions in respect of the cost of plant and machinery/project, as the case may be, must be through cheque or demand draft only.
- (xv) All expenses must be certified by the registered Chartered Accountant.
- (xvi) The cost of land will not be taken into account for the purpose of determining the eligibility of subsidy under any Scheme.
- (xvii) All mandatory 'no objection certificates' (e.g. pollution control certificate etc.) must be obtained by the unit from the concerned Department/Authority before setting up a new unit or undertaking substantial expansion.

- (xvii) All mandatory 'no objection certificates' (e.g. pollution control certificate etc.) must be obtained by the unit from the concerned Department/Authority before setting up a new unit or undertaking substantial expansion.
- (xviii) The subsidy claim will be considered by SLC/DLC only after the unit has started commercial production or has become operational / functional, unless otherwise provided in the respective Subsidy Scheme. A certificate of the unit having started the production or having become functional/ operational must be accompanied with the subsidy claims.
- (xix) After issue of this notification, the claims for subsidy under any Scheme will be submitted within one year from the date of commercial production.
- (xx) In the case of capital investment subsidy exceeding Rs.1.5 crore, the complete claim file of the unit along with minutes of the SLC must be forwarded to DIPP for its consideration or for the consideration of CCEA.
- (xxi) SLC/DLC must be held at least once in a quarter to avoid accumulation of claims.
- (xxii) The Director of Industries / District Industries Centre should ensure that all claims should be placed before the appropriate committee within 180 (One hundred Eighty) days from the date of receipt of the claims.
- (xxiii) The District Level Committee (DLC) is authorized to approve the subsidy upto a maximum limit of Rs. 5 lakhs (five lakhs) in respect of Central Capital Investment Subsidy and Rs.1 lakh (one lakh) in respect of Central Interest Subsidy. Claims of above the limit of DLC shall be considered by SLC. The constitution of DLC will be decided by the Industry Department of the State Government concerned.
- (xxiv) The Member Secretary of the SLC/DLC will intimate the unit concerned to complete all required formalities including Agreement in particular , within 15 (fifteen) days from the date of the SLC/DLC meeting of the respective claims.
- (xxv) The unit shall be required to furnish Annual Progress Report (APR) as per format to the Directorate of Industries with a copy to DIPP.
- (xxvi) In addition, the provisions under the respective Office Memorandum/ Notifications issued earlier in respect of NEIIPP,2007 shall be followed.
- (xxvii) In case of any doubt about the registration of the unit or grant of subsidy, the matter may be referred to DIPP whose decision thereon will be final.

FORMS REQUIRED TO BE SUBMITTED ALONG WITH VARIOUS SUBSIDY CLAIMS.

Particulars	Form no
1 Application form for registration under NEIIPP,2007 (all schemes under single registration)- New Unit	Form:1A
2 Registration Certificate: new unit	Form:1A.1
3 Check List for registration : new unit	Form:1A(i)
4 Application form for registration under NEIIPP,2007 (all schemes under single registration)- Existing Unit	Form:1B
5 Registration Certificate : Existing unit	Form:1B.1
6 Check list for registration : Existing unit	Form:1B(i)
7 Claim Application form for Central Capital Investment Subsidy	Form:1C
8 Statement of Investment on Plant & machinery	Form:1C(A)
9 Check list for Claiming Central Capital Investment Subsidy	Form:1C(i)
10 Certificate from Registered Chartered Accountant – for new unit	Form:1C.(B)(i)
11 Certificate from Registered Chartered Accountant – for existing unit	Form:1C.(B)(ii)
12 Affidavit	Form:1C(C)
13 Certificate from Financial Institution/Bank	Form:1C(D)
14 Enquiry Report for Central Capital Investment Subsidy	Form:1C(E)
15 Certificate for Chartered Accountant regarding sources of Finance	Form :1C.(F)
16 Certificate from Registered Architect on Civil Construction- new unit	Form:1C.G(i)
17 Certificate from Registered Architect on Civil Construction- Existing unit	Form:1C.G(ii)
18 Application form for Claiming Interest Subsidy	Form:1D
19 Certificate from Registered Chartered Accountant – for new unit	Form:1D(A)(i)
20 Certificate from Registered Chartered Accountant – for existing unit	Form:1D(A)(ii)
21 Certificate from Bank/Financial Institution	Form:1D(B)
22 Check List for Interest Subsidy	Form:1D(i)
23 Enquiry Report for Interest subsidy	Form:1D(C)
24 Application for claiming Insurance subsidy	Form:1E
25 Check list for Insurance Subsidy	Form:1E(i)
26 Certificate from Chartered Accountant	Form:1E(A)
27 Format of Certificate from Fire Insurance Policy Issuing Office	Form:1E(B)
28 Affidavit for claiming Insurance subsidy	Form:1E(C)
29 Format of undertaking for claiming Insurance subsidy	Form:1E(D)
30 Proform of Agenda note for SLC/DLC for all schemes	Monitoring Form-1
31 Proforma to be submitted to NEDFi for Disbursement of subsidy	Monitoring Form-2
32 Proforma for Agreement	Monitoring Form-3
33 Annual Progress Report (APR) to be submitted by the unit	Monitoring Form-4

APPLICATION FORM FOR REGISTRATION UNDER NEIIPP, 2007.**(For new unit)****(to be submitted in quadruplicate)**

- 1
 - a Name of the industrial unit & location :
 - (i) Village/Police Station
 - (ii) District
 - b Complete address with telephone No. :
 - (i) Factory with telephone no :
 - (ii) Registered office with telephone no :
- 2
 - a Constitution of the unit (please specify whether Proprietorial / partnership / Private Limited / Limited company / Cooperative Society) :
 - b Name/s, address(es) of the Proprietor/ partners / Directors of Board of Directors / Secretary and President of the Cooperative Society (Please attach separate sheet, if necessary) :
3. Proposed date of commencement of commercial production of unit :
4. Whether the industrial unit falls under Manufacturing sector OR Service sector :
- 5 Details of Registration with the concerned Department :
 - A. If **manufacturing sector**, please indicate:**
 - i Acknowledgement No./date of Entrepreneur Memorandum (EM) – part-I (if any) of MSME :
 - ii Acknowledgement No./date of Industrial Entrepreneur Memorandum (IEM) (if any) of DIPP. :
 - B If **Service sector**, please indicate requisite Registration/License No. from the concerned Department. (if any) :**
- 6 Particulars/Details of Fixed Capital Investment proposed (Amount in Rs) :
 - a Land :
 - b Site Development :
 - c Building :

- | | | | | |
|-----|---|---|-----------------|------------------------|
| | i. Factory building | : | | |
| | ii. Office building | : | | |
| d | Plant and Machinery/component/ items | : | | |
| e | Electrical Installation | : | | |
| f | Preliminary & pre-operative expenses | : | | |
| g | Miscellaneous fixed assets | : | | |
| | Total | : | | |
| 7. | Proposed requirement of Power/Electricity (KW/MW) | : | | |
| 8. | Annual Production Capacity proposed: | | <u>Quantity</u> | <u>Value in Rupees</u> |
| | Name of the Product(s)/Service rendered | | | |
| | (i) | : | | |
| | (ii) ..etc. | : | | |
| 9. | Raw materials | | | |
| | Name(s) of the Raw Materials used | | | |
| | (i) | : | | |
| | (ii) | : | | |
| 10. | Proposed Employment Generation in the unit in various fields of work: | | | |
| a | Managerial | : | | |
| b | Supervisory Staff | : | | |
| c | Skilled Worker | : | | |
| d | Semi Skilled worker | : | | |
| e | Un Skilled worker | : | | |
| f | Others | : | | |

11. Declaration

I/ We..... solemnly declare that the information furnished in this application is correct and true to the best of my/our knowledge and belief.

Signature _____

Place :

Full name of the applicant/ authorised signatory

Date :

Seal

ENQUIRY REPORT

Certified that I have personally visited the unit of M/s on and examined the documents in original pertaining to this application for registration and found them in order.

Further it is certified that the item of production does not fall in the negative list as defined in Annexure-I to under NEIIPP, 2007.

Recommended for grant of registration under NEIIPP, 2007.

Date: Signature of the Enquiry Officer

Place: Designation:

FOR OFFICIAL USE IN DISTRICT INDUSTRIES CENTRE (DIC) ONLY

The application of M/s along with enclosures has been scrutinized and found in order. The item of production does not fall in the negative list as defined in Annexure I of Central Capital Investment Subsidy Scheme/Central Interest Subsidy Scheme / Central Comprehensive Insurance Scheme of NEIIPP, 2007. (Please tick whichever scheme is applicable).

Recommended for grant of registration under NEIIPP, 2007.

Date: Signature of the Officer concerned

Place: Designation / Office seal

GOVERNMENT OF
OFFICE OF THE GENERAL MANAGER : DISTRICT INDUSTRIES CENTRE.....

CERTIFICATE OF REGISTRATION UNDER NEIIPP, 2007.

M/s is hereby registered under Central Capital Investment Subsidy Scheme/Central Interest Subsidy Scheme/Central Comprehensive Insurance Scheme of NEIIPP, 2007 and the common registration number allotted to it under all these Schemes is DIC/...../NEIIPP, 07/200...../
Dated

Place: Signature of the General Manager
Date: District Industries Centre

NOTE: The DIC concerned will forward a copy of this application for registration (**without enclosures**) along with the registration (**Form:1A and Form:1A.1**) certificate to:

- (1) Department of Industrial Policy and Promotion , Ministry of Commerce & Industry, Udyog Bhawan, New Delhi- 110 011.
- (2) Directorate of Industry concerned.
- (3) NEDFi, Basundhara Enclave, B K Kakati Road, Ulubari, Guwahati- 781 007.

CHECKLIST: REGISTRATION (NEW UNIT)

Certified /Attested photocopies of the documents required to be submitted by NEW UNITS along with the application form for registration under NEIIPP, 2007.

-
- 1 Constitution/Type of the unit
 - (a) In case of **Private Limited / Public Limited company:**
 - i Registration Certificate under Companies Act
 - ii Memorandum of Article of Association
 - iii Names and address of the Directors with their PAN no
 - (b) In case of **Partnership Firm:**
 - i Deed of partnership
 - ii Name and address of the Partners with their PAN no
 - iii General Power of Attorney
 - (c) In case of **Co-operative Society**
 - i Registration Certificate
 - ii Article of memorandum of Association
 - iii Resolution of the General Body Meeting for registration of the unit
 - 2 Registration No.
 - i EM Part-I, Part-II /IEM/LOI/IL (if any)
 3. Mandatory 'No objection certificate' from local body/any other authority. (e.g Pollution Control Board etc.)
 4. Sanction letter of term loan/working capital loan, if any, from Bank/Financial Institution concerned
 5. Certificate of Mandatory/Obligatory registration/approval from the concerned Department as applicable (in the case of Service sector units)
 6. Any other document that may be required as per direction of State Government/ Directorate of Industries.
-

APPLICATION FORM FOR REGISTRATION UNDER NEIIPP, 2007.
(For existing unit undertaking substantial expansion)
(to be submitted in quadruplicate)

1	a	Name of the industrial unit & location (i)Village/Police Station : (ii)District :	
	b	Complete address with telephone no. (i)Factory : (ii)Registered Office :	
2	a	Constitution of the unit (please specify whether Proprietorial / partnership / Private Limited / Limited company / Cooperative Society) :	
	b	Name(s), address(es) of the Proprietor/ partners / Directors of Board of Directors / Secretary and President of the Cooperative Society (Please attach separate Sheet, if necessary) :	
3.		Proposed date of commencement of commercial production of unit after expansion :	
4.		Whether the industrial unit falls under Manufacturing sector OR Service sector :	
5		Details of Registration with the concerned Department.	
	A	If manufacturing sector , please indicate:	
	i	PMT registration no with date /Acknowledgement No./date of Entrepreneur Memorandum (EM) – part-I /Part-II (if any) of MSME :	
	ii	Acknowledgement No./date of Entrepreneur Memorandum (IEM) (if any) of DIPP. :	
	B	If Service sector , please indicate requisite Registration/License No. from the concerned Department.(if any) :	

6		Fixed Capital Investment:		(Amount in Rs)	
	Particulars		Exiting Investment	Additional investment proposed for expansion	Total
a	Land	:			
b	Site Development	:			
c	Building				
	i. Factory building	:			
	ii. Office building	:			
d	Plant and Machinery/ component items	:			
e	Electrical Installation	:			
f	Preliminary & preoperative expanses	:			
g	Miscellaneous fixed assets	:			
	Total	:			
7.	Details of land and building		:		
A	Land		:		
a	Own land		:		
i.	Land area, Revenue village, Dag No. & Patta No.		:		
ii.	Date of Purchase		:		
iii.	Date of registration		:		
b	Land allotted by Government/ Government agency		:		
i.	Date of allotment/agreement with area of land		:		
ii.	Date of taking over possession		:		
c	Lease hold land		:		
i	Date of registration of lease deed		:		
ii.	Period of lease		:		
B	Building				
a	Own building/rented building		:		
b	In case of own building				
i.	Build up area prior to expansion		:		
ii.	Proposed built up area after expansion				
8.	Details of electricity utilization				
i.	Sanctioned load prior to expansion		:		
ii.	Connected load prior to expansion		:		
iii.	Whether requirement of additional load is essential for expansion. If so, the quantum of additional load required/applied for.		:		

9.	Production Capacity				
	Name of the Product(s)/Service rendered	Annual installed capacity prior to expansion		Proposed annual installed capacity after expansion	
		Quantity	Value in Rupees	Quantity	Value in Rupees
(i)					
(ii)					
(iii)					

10.		Raw Materials	Annual requirement prior to expansion		Proposed annual requirement after expansion	
			Quantity	Value in Rupees	Quantity	Value in Rupees
(i)						
(ii)						
(iii)						

11.		Employment Generation in the unit in various fields of work:	Prior to expansion		Total
			Prior to expansion	Proposed additional employment for expansion	
a		Managerial			
b		Supervisory Staff			
c		Skilled Worker			
d		Semi Skilled worker			
e		Un Skilled worker			
f		Others			

12. Declaration

I / We solemnly declare that the information furnished in this application for registration under the _____ -- ___ are correct and true to the best of my/our knowledge and belief.

Signature _____

Place : Full name of the applicant/ authorised signatory
Date : Seal:

ENQUIRY REPORT

Certified that I have personally visited the unit of M/s on and examined the documents in original pertaining to this application for registration for 'substantial expansion' and found them in order.

Further, it is certified that the item of production does not fall in the negative list as defined in Annexure-I to NEIIPP, 2007.

Recommended for grant of registration for substantial expansion under NEIIPP, 2007.

Date: Signature of the Enquiry Officer
Place: Designation:

FOR OFFICIAL USE IN DISTRICT INDUSTRIES CENTRE (DIC) ONLY

The application of M/s along with enclosures has been scrutinized and found in order. The item of production does not fall in the negative list as defined in Annexure I of Central Capital Investment Subsidy Scheme/Central Interest Subsidy Scheme/ Central Comprehensive Insurance Scheme of NEIIPP, 2007. (Please tick whichever scheme is applicable).

Recommended for grant of registration under NEIIPP, 2007.

Date: Signature of the Officer concerned
Place: Designation / Office seal

GOVERNMENT OF
OFFICE OF THE GENERAL MANAGER : DISTRICT INDUSTRIES CENTRE

CERTIFICATE OF REGISTRATION UNDER NEIIPP, 2007.

(For existing unit undertaking substantial expansion)

M/s is hereby registered under Central Capital Investment Subsidy Scheme, Central Interest Subsidy Scheme and Central Comprehensive Insurance Scheme of NEIIPP, 2007 and the common registration No. allotted to it under all these Schemes is DIC/...../NEIIPP, 07/200...../.... Dated

Place: Signature of the General Manager

Date: District Industries Centre....

NOTE: The DIC concerned will forward a copy of this application for registration (**without enclosures**) along with the registration (**Form:1B and Form:1B.1**) certificate to:

1. Department of Industrial Policy and Promotion , Ministry of Commerce & Industry, Udyog Bhawan, New Delhi- 110 011.
2. Directorate of Industry concerned.
3. NEDFi, Basundhara Enclave, B K Kakati Road, Ulubari, Guwahati- 781 007.

CHECKLIST : REGISTRATION (EXISTING UNIT)

CERTIFIED / ATTESTED PHOTOCOPIES OF THE DOCUMENTS TO BE SUBMITTED WITH THE APPLICATION FORM FOR REGISTRATION UNDER NEIIPP, 2007 BY EXISTING UNITS UNDERTAKING SUBSTANTIAL EXPANSION.

-
- 1 Constitution of the unit
 - a. In case of Private Limited /Public Limited company
 - (i) Registration Certificate under Companies Act
 - (ii) Memorandum of Article of Association
 - (iii) Names & address of the Directors with their PAN no.
 - b. In case of partnership Firm
 - (i) Deed of Partnership
 - (ii) General Power of Attorney
 - (ii) Names & address of the Partners with their PAN no.
 - c. In case of Co-operative Society
 - (i) Registration Certificate from the Jt. Register of Co-operative Society
 - (ii) Resolution of the General Body for registration of the unit
 - (iii) Article of memorandum of Association
 - 2 Registration
 - (i) Permanent (PMT) registration/Entrepreneurs Memorandum (EM) Part II/IEM /LOI/IL (wherever applicable)
 - (ii) Certificate of Mandatory/Obligatory registration/approval from the concerned department as applicable. (in case of service sector unit)
 3. Project Report
 4. Mandatory No objection certificate from local body/authority (e.g Pollution Control Board etc.)
 5. Term loan sanction letter from Bank/Financial Institution
 - 6 Land & Building (existing)
 - a. In case of own land

Purchase deed / gift deed/ any other document to establish the ownership.
 - b. In case of Industrial land allotted by any Government Agency
 - (i) Deed of agreement
 - (ii) Uptodate rent receipt
 - c. In case of Industrial land allotted by any Government Agency
 - (i) Deed of agreement
 - (ii) Uptodate rent receipt
 - d. In case of leasehold land from a private owner
 - (i) Registered Lease deed
 - e. In case of Government land/plot allotted by Government
 - i Allotment letter and trace map
 - ii Premium payment receipt
 7. Power sanction letter from State Electricity Board/ Competent authority
 8. NOC / Consent for operation from Pollution Control Board .
 9. List of employees with name, address and designation
 - 10 Audited Balance sheet for the last three accounting years
 - 11 Tea Board Registration Certificate (in case of Tea Factories)
 - 12 Any other documents that may be required as per directions of State Government / Directorate of Industries & Commerce
-

**APPLICATION FORM FOR CLAIMING OF CENTRAL CAPITAL INVESTMENT SUBSIDY
SCHEME UNDER NEIIPP, 2007**

PART-I: COMMON FOR BOTH MANUFACTURING AS WELL AS SERVICE SECTORS

- 1
 - a. **Name of the Industrial Unit** :
 - b. Factory address with telephone no (if any) :
 - c. Office address with telephone / mobile no (if any) :
 - d. Registered office :

- 2
 - a **Constitution of the unit** (Proprietorial / partnership / Private Limited / Limited company / Cooperative Society) :
 - b Name(s) , address(es) of the Proprietor/ Partners / Directors/ Secretary and President of the Cooperative Society. :

- 3 **Whether new unit or existing unit undergoing expansion** :
 - a **In case of New unit** :
 - i Date of commencement of commercial production/operation :
 - b **In case of Existing unit under going expansion** :
 - i Date of commencement of commercial production/operation after expansion. :

- 4 **Details of registration** :
 - a **In case of New unit**
 - i EM – part-II , No & date :
 - ii IEM No & date :
 - b **In case of existing unit undergoing expansion**
 - i Permanent (PMT) Registration/ IEM/ EM-part-II No. & date :
 - c Mandatory/Obligatory Certificate of registration/approval from the concerned department as applicable (in case of Service Sector units) :

5. **Registration No. under NEIIPP, 2007 (Form:1A/1B)**

- 6 **Fixed Capital Investment** :

(in Rs.)

Particulars	For New unit	For Existing unit undergoing expansion		
		Prior Expansion	During expansion	Total After Expansion
a. Land				
b. Site Development				
c. Building				
i. Office building				
ii. Factory building				
d. Plant and Machinery/ component/ item				
e. Electrical installation				
f. Preliminary & preoperative expenses				
g. Miscellaneous fixed assets				
Total				

7	a	Source of Finance	:	
	i.	Promoters contribution	:	
	ii	Equity	:	
	iii	Term Loan	:	
	iv	Un secured loan	:	
	v	Internal resources	:	
	vi	Any other source (please specify)	:	
		Total		

7	b	Details of Term /Working capital Loan (if any):		
		Name of Bank/Financial Institution	Amount of term/working capital loan sanctioned	Sanction letter No. & date
				Amount of Term /Working capital Loan disbursed

7	c	Details of Equity (if any):		
		Name	Amount	PAN No.
				Mode of payment

7	d	Details of Unsecured Loan (if any)		
		Name	Amount	PAN No.
				Mode of payment

[Details as per form:1C(F)]

8	Power:	:
	A In case of New units	:
	(i) Sanctioned load	:
	(ii) Connected Load	:

- (iii) Capacity of Captive Power Plant (if any) :
- B In case of existing units undergoing expansion :**
- (i) Sanctioned load prior to expansion :
- (ii) Connected Load prior to expansion :
- (iii) Sanction of additional load for expansion :
- (iv) Additional connected load for expansion :
- (v) Capacity of captive power plant (if any) :
- Details of land and Building :**
- A Land :
- (a) Own land :**
- (i) Land area, Revenue Village, Dag No. & Patta No. :
- (ii) Date of purchase :
- (iii) Date of registration :
- (b) Land allotted by Government/Government agency :**
- (i) Date of allotment/agreement :
- (ii) Date of taking over possession :
- (c) Lease hold land :**
- (i) Date of lease of land :
- (ii) Period of lease :
- B **Building :**
- (a) Own building/rented building :
- In case of own building, built up area :
- Statement of Investment in Plant & Machinery :**
- as per Form:1C(A)**

PART-II : FOR MANUFACTURING SECTOR ONLY

(Please score out this part, if not applicable)

- 11 Details of production of the unit :**
- a For New unit :**

Sl.	Name (s) of the Product (s)	Annual Installed capacity		Actual production during last year/ from the date of commercial production till date (if any)		Remarks
		Quantity	Value (in Rs)	Quantity	Value(in Rs)	

b For existing units undergoing expansion

Sl.	Name (s) of the Product (s)	Annual Installed capacity prior to expansion		Actual production during last year/ from the date of commercial production till date (if any)		Remarks
		Quantity	Value(in Rs)	Quantity	Value(in Rs)	

12 Raw Materials :

a For New unit			
Sl No	Name/s of the raw materials	Annual requirement	
		Quantity	Value(in Rs)

b For existing units undergoing expansion					
Sl No	Name/s of the raw materials	Annual requirement prior to expansion		Annual requirement after expansion	
		Quantity	Value(in Rs)	Quantity	Value(in Rs)

13 Sale of finished product(s) :

a For New unit				
Sl No	Name/s of the Product(s)	Product(s) sold during last year/ from the date of commercial production		Remarks
		Quantity	Value(in Rs)	

b For existing units undergoing expansion					
Sl No	Name/s of the Product(s)	Product(s) sold during last year/ during the year prior to expansion		Product(s) sold/service rendered during the year after expansion.	
		Quantity	Value(in Rs)	Quantity	Value(in Rs)

14 Employment generation :

Sl no	Category	For new unit	For expansion unit		
			Before expansion	After expansion	Total
1	2	3	4	5	6
i	Managerial				
ii	Supervisory				
iii	Skilled				
iv	Semi-skilled				
v	Unskilled				
vi	Others				
	Total				

PART-III : FOR SERVICE SECTORS ONLY
(Please score out this part, if not applicable)

15.	Particulars	New unit	Existing unit
A	Hotel Not below two Star Category		
(i)	Location of the Hotel		
(ii)	Category of Hotel (Please attach certificate)		
(iii)	Area in square meters		
(iv)	Total cost of the building (in Rs)		
(v)	Total cost of essential items (list to be attached) (in Rs)		
(vi)	No. of rooms and area of each type of rooms in square feet		
(vii)	Facilities/ amenities provided (please attach separate sheets, if required)		

(viii)	Whether the norms/criteria laid down by the concerned department/agency have been fulfilled.		
B.	Adventure and Leisure sports including ropeways		
(i)	Location of ropeways installation		
(ii)	Total distance from the first point to the last point (applicable to ropeways only)		
(iii)	Whether the equipments used/proposed to be used are of international standard.		
(iv)	Name & Address of the consultant engaged/proposed to be engaged in installation of Rope ways		
(v)	Whether the consultant has adequate experience of installation of ropeways. If so, give at least two locations where they have done so.		
(vi)	Total cost of civil works (in Rs)		
(vii)	Total cost of essential items installed/ to be installed (please attach separate sheet) (in Rs)		
C	Nursing Homes (with a minimum capacity of 25 Beds)		
(i)	Location of the Nursing Home and its capacity		
(ii)	Whether norms, if any, are complied with		
(iii)	Total area (square feet)		
(iv)	Cost of civil construction (in Rs)		
(v)	Total cost of essential items/ equipment (list to be attached) (in Rs)		
(vi)	Whether necessary NOC obtained from the Municipal Board/ Pollution Control Board/ other statutory organisations?		
D	Old Age Homes		
(i)	Location of the Old Age Home		
(ii)	Area (in square feet)		
(iii)	Total cost of building (Rs.)		
(iv)	Total cost of other essential items (in Rs.)		
(v)	No. of rooms		
(vi)	Total capacity of inmates in the Old Age Homes		
(vii)	No. of wheel chairs		
(viii)	No. of Televisions		
(ix)	No. of computers with internet connection		
(x)	Total number of books		

(xi)	Other entertainment equipments. Please specify		
E	Vocational Training Institute		
(i)	Name of the activity		
(ii)	Location of the training institute		
(iii)	Total area (in square feet)		
(iv)	Total cost of the building (in Rs)		
(v)	Total cost of other essential items (in Rs)		
(vi)	Details of other services/facilities/ amenities provided		
F.	Bio-technology Industry		
(i)	Location of the unit		
(ii)	Total area (in square feet)		
(iii)	Total cost of the building (in Rs.)		
(iv)	Total cost of Plant & M/c (in Rs)		
(v)	Total cost of essential items (in Rs)		
(vi)	Products		
G	Power Generating Industries		
(i)	Location of the unit		
(ii)	Production Capacity (in MW)		
(iii)	Total area of the project (in square feet)		
(iv)	Investment towards building (in Rs.)		
(v)	Investment in Dam & Reservoir, regulating system and other equipments (in Rs.)		
(vi)	Investment towards railway siding at site or merry-go-round system for transportation of raw material fuel (in Rs.)		
(vii)	Investment towards ash disposal system (in Rs.)		
(viii)	Investment towards plant and machinery and other essential equipments (in Rs)		
16.	Employment position in the unit		

Sl no	Category	For new unit	For expansion unit		
			Before expansion	After expansion	Total
1	2	3	4	5	6
(i)	Managerial				
(ii)	Supervisory				
(iii)	Skilled				
(iv)	Semi-skilled				
(v)	Unskilled				
(vi)	Others				
	Total				

PART-IV

17. Bank Account No. and name of the Bank where the subsidy amount is to be deposited.

18. I / We declare and affirm that the particular/statement furnished above , are true to my/our knowledge and belief and if any statement made herewith in connection with this claim is detected as false or misrepresentation , the amount of subsidy granted by the Government will be refunded by me/us to the Government.

Place:
Date:

Signature of the applicant/s/ Status in relation to the
unit.
Seal:

STATEMENT OF INVESTMENT ON PLANT & MACHINERY
(As required in Col - 10 of the claim application form)

1		Name of the Plant & Machinery covered by each consignment etc.
2		Name & Address of the Firm from whom purchase or with whom firm order placed.
3	a	Particulars of placing and acceptance of firm order no & date of advance paid and received
	b	Date of despatch of machinery
	i	Station from
	ii	Station to
	iii	Mode of Transportation
	iv	Particulars of despatch documents
4		Date of arrival of machinery at factory site
5	a	Suppliers bill no and date
	b	Full cost of machinery as per bills , including taxes etc and including advances (if any paid)
	c	Particulars of payment to the machinery supplier
	(i)	Date of payment
	(ii)	Mode of payment (cheque/bank draft no. & date)
	(iii)	Receipt No. & date
6	a	Name and full address of the Carrier through whom the machinery were despatched by the supplier
	b	Freight for carrying the machinery
	c	Receipt of freight from the carrier
	d	Demurrages charges paid (if any). Reasons for payment of demurrage charges to be mentioned .)
7	a	Name and address of the Insurance company with which the consignment was insured to cover risk in transit
	b	Amount Insured for
	c	Insurance premium paid (if any)
8		Total amount paid towards Cost of Machinery, Freight, Demurrage and Insurance
9		Sources of Finance (pl specify)
10		Date of erection of machinery at factory site.
11		Date of Commissioning of the Machinery
12		Any other particulars

Signature on behalf of the Unit

Certified that I have personally verified the particulars mentioned above with the original bills and vouchers and found them to be correct in all respect and the machinery mentioned in the Form: 1C(A) have already been erected/proposed to be erected for functioning in the factory of M/S

Date:
Counter signed by:

Signature & Seal of the enquiry Officer
General Manager , DIC

CHECKLIST: CLAIM

Certified / attested photocopies of the documents to be submitted along with the application form for claiming Capital Investment subsidy under NEIIPP, 2007.

-
- 1 Constitution of the unit
 - a In case of Private Limited / Public Limited company**
 - i Registration Certificate under Companies Act
 - ii Memorandum and Article of Association
 - iii Name & Address of the Director with their PAN no
 - b In case of Partnership unit**
 - i Deed of partnership
 - ii General Power of Attorney
 - iii Name & Address of the Partners with their PAN no
 - c In case of Co-operative Society**
 - i Registration Certificate from the Jt. Register of Co-operative Society
 - ii Article of Memorandum of Association
 - iii Resolution of the general Body for registration of the unit.
 - 2 **Registration**
 - a In case of proposed/new unit
Entrepreneurs Memorandum Part I & Part II/IEM /LOI/IL (as applicable)
 - b In case of existing unit undergoing expansion:
PMT regn./Entrepreneurs Memorandum Part II/IEM/LOI/IL (as applicable)
 - 3 **Land & Building**
 - a In case of own land**
 - i Purchase deed / gift deed/any other document to establish the ownership
 - ii Upto date non-incumbent certificate
 - iii Jamabandi copy and trace map
 - b In case of Industrial land allotted by any Government Agency**
 - i Deed of agreement
 - ii Up to date rent payment receipt
 - c In case of Industrial shed allotted by any Government Agency**
 - i Deed of agreement
 - ii Up to date rent payment receipt
 - d In case of leasehold land from a private owner**
Lease deed agreement
 - e In case of Government land/plot allotted by Government**
 - i Allotment letter
 - ii Premium payment receipt
 4. Statement of Plant and Machinery as per **Form :1C(A)**
 5. Certified copies of all bills, vouchers & money receipt of plant and machinery
 6. Certificate of fixed capital investment from a Chartered Accountant as per **Form: 1C(B)(i)/Form: 1C(B)(ii)**
 7. Certificate from Financial Institution/Bank , regarding disbursement ,vide **Form:1C(D)**
 8. Sanction letter from the financial Institution / Banks for term loan & working capital
 9. Certificate from a Chartered Accountant regarding Sources of Finance as per **Form:1C(F)**
 10. Certificate from Registered Architect on Civil Construction, **Form:1C(G)(i)/Form:1C(G)(ii)**

- 11 Project Report
 - 12 Power sanction letter and first bill from State Electricity Board/ Competent Authority/Competent Department.
 - 13 No objection certificate from local body/authority
 - 14 NOC/Consent for operation from Pollution Control Board
 - 15 First bill(s)/money receipt of purchase of raw material
 - 16 Challan against first sale of finished product(s)/service rendered.
 - 17 Affidavit as per **Form:1C(C)**
 - 18 List of employees with name, address and designation
 - 19 Balance sheets for last three accounting years (in case of existing units undergoing expansion).
 - 20 Tea Board registration in case of a Tea Factory
 - 21 Registration certificate under NEIIPP, 2007 issued by DIC
 - 22 PAN Card of the Directors/Proprietors/Partners
 - 23 Sales tax/VAT, Central excise clearance certificate
 - 24 Certificate of the unit having become functional/operational indicating date of commencement of function/operation from the concerned department as applicable (in case of service sector units)
 - 25 Report of NEDFi on the scrutiny of the details of the cost of project (in case of service sector units).
 - 26 Any other document that may be required as per direction of State Government /Directorate of Industries /NEDFi .
-

From No: 1C(B)(i)

**CERTIFICATE FROM THE REGISTERED CHARTERED ACCOUNTANT
(For new Unit)**

Name of the Chartered Accountant:

I/We hereby certify that M/S (name of the unit with location) has made capital investment in their unit for the period from to for manufacturing / rendering service of by the unit.

Sl no	Item/s of fixed assets	Value of Investment in Rupees
1	Cost of land including purchase, value , registration etc	
2	Cost of development of land including boundary wall , approach road , culvert / bridges , godown , labour quarter etc (pl. specify)	
3	Cost of Building a. Factory building/Nursing Home/ Hotel etc b. Office building c. Architect fees/ fees towards preparation of estimate etc	
4	Cost of plant & machinery	
5	Accessories	
6	Electrical installation	
7	Loading, unloading, transportation, erection expenditure, insurance etc	
8	Pre-operative preliminary expanses to be capitalised	
9	Miscellaneous fixed assets/essential items etc.	
	Total	

I/We have checked the books of accounts of the unit, the invoices etc, and certify that the aforesaid information is verified and certified to be true. We also certify that all the aforesaid items have been duly paid for and no credit is raised there against in the books of the unit.

Date:
Place:

Signature of the Chartered Accountant
Registration No & Seal

**CERTIFICATE FROM THE REGISTERED CHARTERED ACCOUNTANT
(For Existing unit undergoing Expansion)**

Name of the Chartered Accountant:

I/We hereby certify that M/S (name of the unit with location) has made capital investment in their unit for expansion programme from to The unit is engaged in production of and has gone into commercial production after expansion on (date).

Fig: Value in Rupee

Sl no	Item/s of fixed assets	Investment prior expansion	Additional investment made during the period from to	Total Investment	Capital
1	Cost of land including purchase value, registration etc				
2	Cost of development of land including boundary wall, approach road, culvert / bridges, godown , labour quarter etc (pl. specify)				
3	Cost of Building				
	a. Factory building/ nursing homes/ hotels etc				
	b. Office building				
	c. Architect fees/ fees towards preparation of estimate etc				
4	Cost of plant & machinery				
5	Accessories				
6	Electrical installation				
7	Loading, unloading, transportation, erection expenditure, insurance etc				
8	Pre-operative preliminary expanses to be capitalised				
9	Miscellaneous fixed assets/essential items				
Total					

We have checked the books of accounts of the unit, the invoices etc, and certify that the aforesaid information is verified and certified to be true. We also certify that all the aforesaid items have been duly paid for and no credit is raised there against in the books of the unit.

Date:
Place:

Signature of the Chartered Accountant
Registration No & Seal

AFFIDAVIT

I Shri/ Smti son/daughter/wife of Shri/Smti Aged Year by profession do hereby solemnly declare and affirm as follows:-

1. That I am a citizen of India and permanent resident of Village P.O Police Station In the district of
2. That I am proprietor / Managing partner / Managing Director / Director / President of M/S and owners of land/building/plant & machinery of the above unit, the industrial activity of which is
3. That the particulars furnished in the claim application for subsidy under the Capital Investment Subsidy Scheme/ Central Interest Subsidy Scheme under NEIIPP, 2007 are exactly the same as those submitted to the Income Tax authority in respect of the unit known as M/S situated at in the district of That no subsidy/ grant under Central / State Government/ Organisation etc have been availed by me against the items mentioned in the claim application form.
4. That the particulars furnished in the application form and /or in connection with the application for subsidy are correct and true to be best of my knowledge and that in case of any particulars are found to be false or misrepresentation/suppression of essential facts ,I shall be liable to punished under laws of the land.
5. That I Shri / Smti the deponent of the above, do hereby solemnly declare and affirm that, statement made above are true to the best of my knowledge and belief .

Identified by me:

Signature
(Advocate)

Signature
Deponent

Solemnly affirm before me by Shri/Smti being identified by Sri/Smti Advocate on this day

Magistrate
Seal

CERTIFICATE FROM FINANCIAL INSTITUTION / BANK

(The Certificate should signed by a responsible officer of Bank / Financial Institution]

Certified that M/S of have been disbursed as on this date the amount of Rs. sanctioned under letter No: dated As shown below:

1	Loan amount previously disbursed up to	Rs.
2	Total amount disbursed upto date against the items mentioned below:	
	i Land	Rs
	ii Building (excluding residential quarter)	Rs.
	iii Plant and machinery	Rs.
	iv Other assets: tools, jigs, dies and moulds and goods carrier	Rs.
	v Other essential items	Rs.
Total		Rs.

Signature of the Official from Bank/FI
Seal & Date

**ENQUIRY REPORT FOR GRANT OF CENTRAL CAPITAL INVESTMENT SUBSIDY UNDER
NEIIPP, 2007**

- 1
 - a Name & Designation of the enquiry officer :
 - b Date of receipt of the application :
 - c Date of physical verification of the unit :
- 2
 - a Name of the unit :
 - b Factory address with telephone no (if any) :
 - c Office address with telephone / mobile no (if any) :
 - d. Registered Head Office (if different from b above) :
- 3
 - a Constitution of the unit (please specify whether Proprietorial / Partnership / Private Limited / Limited company / Cooperative Society) :
 - b Name/s, address(es) of the Proprietor/ partners / Directors of Board of Directors / Secretary and President of the Cooperative Society :
 - c Date & No of Registration under the Companies Act / as Partnership firm / as Cooperative Society :
 - d Registered Head Office of the company (if registered under the companies act irrespective of Pvt or Ltd companies) :
- 4
 - a Enterprise registration :
 - i Acknowledgement of Entrepreneur Memorandum (EM) – part-I, No & date :
 - ii Acknowledgement of Entrepreneur Memorandum (EM) – part-III, No & date :
 - iii Acknowledgement of Industrial Entrepreneur Memorandum (IEM) No & date :
 - iv Permanent registration No in case of existing unit. :
 - v Tea Board Registration (if applicable) :
 - vi Factory Registration :
 - vii Certificate of the unit having become functional/operational from concerned Department (in case of service sector units) :
- 5 Registration No & date under CCISS, 2007 :
- 6 Whether the proposed unit is new or an existing unit under going expansion :
 - a In case of new unit :
 - i Proposed date of production/operation :
 - ii Date of going into commercial production :
 - b In case of existing unit :
 - i Date of going into commercial production prior to expansion :
 - ii Date of going into commercial production after expansion. :
- 7 Details of land & building of the unit :

- A Land :
- i Specify whether the land is own / lease hold / allotted by Government agency :
- ii If own land :
 - a. Specify the mode of owing such as by way of inheritance, gift or purchased etc. :
 - b. Total area :
 - c. Location :
 - d. Dag No , patta no , Revenue village and Mauza :
 - e. Date of purchase of the land with value and the date of taking possession of the land :
- iii If held under lease :
 - a. name and address of owner of the land :
 - b. Date of agreement and its area :
 - c. period of lease agreement :
- iv If the land allotted by Government / Government agency :
 - a. Name of the Agency :
 - b. Date of agreement :
 - c. Annual rent / premium paid :
 - d. Total area allotted and its location. :
- B Details of building :
- I. Whether the factory building has been constructed, if so :
 - a. Permission for construction from local authority :
 - b. Date of starting and completion of the civil and electrical works :
 - c. Total area under construction :
 - d. Total estimated cost :
- II. If factory shed has been allotted by the Government agency :
 - a. Name of the Agency :
 - b. Total area of the factory shed and open space allotted :
 - c. Annual rent fixed for the shed :
 - d. Date of agreement and date of possession of the shed. :
- III. If rented premises :
 - a. Name & address of the owner of the premise/s :
 - b. Total area & location :
 - c. Date of agreement :
 - d. Period of rent agreement :
 - e. Annual rent fixed for :
- 8 Fixed Capital Investment :

Particulars	New unit (Actual Investme nt in Rupees)	Existing unit under going expansion / modernization (actual Investment in Rupees)			
		Prior expansion/ modernization	Investment for expansion/mod ernization	Total after modernisation	Investment expansion/ modernisation
a. Land					
b. Site Development					
c. Building					
i. Office building					
ii. Factory building					
d. Plant and Machinery/Component/Item					
e. Accessories					
f. Installation and Electrification					
g. Preliminary & preoperative expanses					
h. Miscellaneous fixed assets					

Total

9	Means of Finance	:	
i	Promoters contribution	:	
ii	Equity	:	
iii	Term Loan from financial institution	:	
iv	Unsecured loan	:	
v	Internal resources	:	
vi	Any other sources, please specify	:	
	Total	:	
10	Financial assistance received from Financial Institution / Bank	:	
	a. Name of the Bank	:	
	b. Amount sanctioned by FI/Bank for fixed capital investment and date of sanction (new unit)	:	
	c. Amount sanctioned by FI/Bank for working capital and date of sanction (new unit)	:	
	d. Amount disbursed by the FI/Bank as per certificate issued by FI/Bank (new unit)	:	
	e. Amount sanctioned by FI/Bank for Fixed capital investment and date of sanction (expansion unit)	:	

- f. Amount disbursed by FI/Bank for expansion and date of first disbursement. :
- 11 Power :
- a Date and quantum of Power sanction for new units (in KW) :
- b Date of sanction and quantum of power for the existing unit – prior to expansion (in KW) :
- c Quantum of additional power sanction and date of sanction – for expansion unit (unit in KW) :
- d Total sanction and connected load after expansion in KW :
- 12 Item/s of production/ Service rendered :
- 13 Major raw materials used :
- 14 Sources of raw materials :
- 15 Whether NOC/Consent received from the Pollution Control Board :
- 16 Whether NOC obtained from local body :
- 17 Whether the unit has any franchise or marketing tie up with any unit ? :
- 18 Employment position in the unit :
- 19 Amount of Central Capital Subsidy received under NEIP, 1997/ NEIIPP,2007, if any, if so, the amount received :

Comments of the Enquiry Officer

Certified that I have examined each and every particulars furnished by the claimant..... (name of the unit) and all the annexure/ relevant documents furnished with this claim and found them to the correct and beyond all reasonable doubt. I have also personally visited the unit on (date) and verified land, building, plant & machinery /component/ items belonging to the unit whose details are given below. It is further certified that these details resemble the details furnished in the claim application for subsidy. I have also verified the prices mentioned in the application for land, building, plant and machinery/component /item with original documents and found them to be correct.

- (a) Eligible fixed Capital Investment for CCISS :
- (b) Recommended amount of CCISS :

Signature and Seal

Comments of the General Manager

Signature and Seal

**CERTIFICATE FROM CHARTERED ACCOUNTANT REGARDING SOURCES OF
FINANCE**

I/We hereby certify that M/s. (name of the unit with location) has made the following fixed capital investment amounting to Rs. in their proposed/new unit/existing unit for expansion during the period from ... tofor manufacturing/rendering service of by the unit. I/We have checked the books of accounts of the unit and found that the unit has made the fixed capital investment from the following sources:

(a)	Promoters contribution	:	Rs.
(b)	Equity	:	Rs.
(c)	Term Loan from Financial Institution/Bank	:	Rs.
(d)	Un Secured Loan	:	Rs.
(e)	Internal Resources	:	Rs.
(f)	Any other source (pl. specify)	:	Rs.
	Total	:	Rs.

Date:
Place:

Signature of the Chartered Accountant:
Registration No. & Seal.

CERTIFICATE FROM THE REGISTERED ARCHITECT ON CIVIL CONSTRUCTION
(for new unit)
(for service sector)

Name of the Architect:

I/We hereby certify that M/s.(name of the unit with location) has made investment for CIVIL CONSTRUCTION in their unit for the period from To Against the estimated cost of Rs..... for their unit. Details shown as below:

S. No.	Item/of fixed assets	Value of investment in Rupees
1.	Cost of land including purchase value, registration etc	
2.	Cost of land development	
3.	Cost of Boundary wall, culvert/bridges, retaining wall	
4.	Cost of approach road including the cost of earth filling, black tapping (whichever applicable)	
5.	Cost of construction of main building including interior and electrification	
6.	Cost of electrification	
7.	Cost of construction of godown, workers quarter etc.	
8.	Cost towards Architect fees/fees towards preparation of estimate etc	
9.	Cost towards hiring of earthmoving equipments	
10.	Cost towards painting, furnishing etc.	
11.	Cost towards labour payment, transportation, loading-unloading, installation etc.	
12.	Cost towards construction of Dam, reservoir	
13.	Cost towards construction of power house	
14.	Any other Civil construction, pl specify.	
	Total	

I/We have checked the accounts of the unit, the invoices/registers etc, and certify that the aforesaid information is verified and certified to be true. I/We also certify that all the aforesaid items have been duly paid for and no credit is raised there against in the books of the unit.

Date:
Place:

Signature of the Architect
Seal and Date:

CERTIFICATE FROM THE REGISTERED ARCHITECT ON CIVIL CONSTRUCTION
For existing unit undergoing Expansion
(Applicable for Service Sector)

Name of the Architect:

I/We hereby certify that M/s.....(name of the unit with location)
has made capital investment for CIVIL CONSTRUCTION in their unit for expansion programme from
..... to..... against the estimated cost of
Rs..... The unit is engaged inand gone into operational
functional after expansion ondate.

S.No.	Items of fixed assets	Investment prior expansion	Additional investment made during the period from.....to....	Total Capital Investment
1.	Cost of land including purchase value registration etc			
2.	Cost of land development			
3.	Cost of Boundary wall, culvert/bridges, retaining wall			
4.	Cost of approach road including the cost of earth filling, black tapping (whichever is applicable)			
5.	Cost of construction of main building interior and electrification			
6.	Cost of electrification			
7.	Cost of construction of godown, workers quarter etc.			
8.	Cost towards Architect fees/fees towards preparation of estimate etc			
9.	Cost towards hiring of earthmoving equipments			
10.	Cost towards painting, furnishing etc.			
11.	Cost towards labour payment, transportation, loading-unloading, installation etc.			
12.	Cost towards construction of Dam, reservoir			
13.	Cost towards construction of power house			
14.	Any other Civil construction, pl specify.			
	Total			

We have checked the accounts of the unit, the invoices/registers etc, and certify that the aforesaid information is verified and certified to be true. We also certify that all the aforesaid items have been duly paid for and no credit is raised there against in the books of the unit.

Date:
Place:

Signature of the Architect
Date & Seal

**APPLICATION FORM
FOR CLAIMING
CENTRAL INTEREST SUBSIDY SCHEME**

1	a	Name of the unit	:		
	b	Office address with telephone / mobile No.	:		
	c	Factory address with telephone No.	:		
	d	Indicate the Claim no (say 1 st claim, 2 nd claim etc)	:		
2.	a	Registration number under NEIIPP, 2007 along with date.	:		
	B	Any other registration number required statutorily/mandatorily.	:		
3		Constitution of the unit alongwith date (Proprietorship / partnership / Private Limited / Limited company / Cooperative Society)	:		
4.		Name/s , address(es) of the Proprietor/ partners / Directors of Board of Directors / Secretary and President (as applicable)	:		
5	a	Details of Enterprise Registration	:		
	i	Acknowledgement of Entrepreneur Memorandum (EM) – part-I , No & date	:		
	ii	Acknowledgement of Entrepreneur Memorandum (EM) – part-II , No & date	:		
	iii	Acknowledgement of Industrial Entrepreneur Memorandum (IEM) No & date	:		
	iv	Permanent registration No in case of existing unit.	:		
6.		Certificate of the unit having become functional/ operational (for Service Sector from concerned Department)	:		
7.	(a)	Name of the product (for Manufacturing Sector)	:		
	(b)	Name of the activity (for Service Sector)	:		
8		Whether the unit is new or an existing unit under going substantial expansion	:		
	A	In case of new unit	:		
	(i)	Date of commencement of production (Manufacturing Sector units)	:		
	(ii)	Date of becoming operational (Service Sector units)	:		
	B	In case of existing unit	:		
		Date of going into commercial production/becoming operational	:	Prior to expansion	to After expansion.
		(i) For Manufacturing sector units			
	(ii) For Service Sector units				

9		Capital Investment [to be supported by CA Certificate as per Form-1D(A)(i)/Form:1D(A)(ii)]		
Particulars		For new unit (Amount in Rs.)	For Existing unit undergoing expansion (Amount in Rs.)	
			Prior to Expansion	After Expansion
a.	Land & Site Development			
b.	Building			
	(i) Office building			
	(ii) Factory building			
c.	Plant and Machinery /components/ essential items.			
d.	Accessories			
e.	Miscellaneous Fixed Assets			
f.	Preliminary and preoperative expenses			
Total				
10		Increase in investment (in case of existing unit)	:	
11.	a	Annual Turnover of the Unit	:	
	i	For new unit (in Rs.)	:	
	b	For existing unit undergoing expansion	:	
	i	Annual turnover before expansion (in Rs)	:	
	ii	Annual turnover after expansion (in Rs.)	:	
12	a	Names of the raw materials utilized with quantity and value during the claim period.	:	
	B	Name of the finished product(s) alongwith quantity and value during the claim period.	:	
13		Working Capital	:	
	a	Name of the Bank branch providing working capital loan	:	
	b	Maximum limit of working capital sanctioned along with rate of interest.	:	
	C	Sanction No. & Date	:	
	d	C.C. Account No. of the Unit	:	
	e.	Total interest charged by the Bank [enclose detailed bank statement for the period, along with recommendation certificate issued by the Bank as per Form:1D(B)]	:	
	f	Total interest subsidy eligible	:	
14		Remarks, if any.	:	
15		Employment generation in various fields of work (Executive, non-executive, skilled, un-skilled etc.)	:	

15	Declaration	:	
<p>I /We hereby declare that information furnished in the application for the grant of interest under Central Interest Subsidy Scheme of NEIIPP, 2007 are correct and true to the best of my/our knowledge and belief. If any statement made therein in connection with this claim is found to be false or misrepresentation of facts, the amount of subsidy granted by the Government will be refunded by me/us. Further , it is also affirmed that the Working Capital sanctioned for the period was not diverted/ utilized for any other unit or towards any other activity and has been utilized only for the purpose for which it was sanctioned.</p>			
Place		Signature(s) of the applicant(s)	
Date		Designation	
		Seal	

From No: 1D(A)(i)

**CERTIFICATE FROM THE REGISTERED CHARTERED ACCOUNTANT
(For new Unit)
(as required in the Col – 9)**

Name of the Chartered Accountant:

I/We hereby certify that M/S (name of the unit with location) has made capital investment in their unit for the period from To for manufacturing / rendering service of By the unit.

Sl no	Item/s of fixed assets	Value of Investment in Rupees
1	Cost of land including purchase, value , registration etc	
2	Cost of development of land including boundary wall , approach road , culvert / bridges , godown , labour quarter etc (pl. specify)	
3	Cost of Building	
	a. Factory building/Nursing Home/ Hotel etc	
	b. Office building	
	c. Architect fees/ fees towards preparation of estimate etc	
4	Cost of plant & machinery	
5	Accessories	
6	Electrical installation	
7	Loading, unloading, transportation, erection expenditure, insurance etc	
8	Pre-operative preliminary expanses to be capitalised	
9	Miscellaneous fixed assets/essential items etc.	
Total		

I/We have checked the books of accounts of the unit, the invoices etc, and certify that the aforesaid information is verified and certified to be true. We also certify that all the aforesaid items have been duly paid for and no credit is raised there against in the books of the unit.

Date:
Place:

Signature of the Chartered Accountant
Registration No & Seal

**CERTIFICATE FROM THE REGISTERED CHARTERED ACCOUNTANT
(For Existing unit undergoing Expansion)
(as required in the Col – 9)**

Name of the Chartered Accountant:

I/We hereby certify that M/S (name of the unit with location) has made capital investment in their unit for expansion programme from To The unit is engaged in production of and has gone into commercial production after expansion on (date).

Sl no	Item/s of fixed assets	Investment prior expansion	Additional investment made during the period from to	Total Investment	Capital
1	Cost of land including purchase value, registration etc				
2	Cost of development of land including boundary wall, approach road, culvert / bridges, godown , labour quarter etc (pl. specify)				
3	Cost of Building a. Factory building/ nursing homes/ hotels etc b. Office building c. Architect fees/ fees towards preparation of estimate etc				
4	Cost of plant & machinery				
5	Accessories				
6	Electrical installation				
7	Loading, unloading, transportation, erection expenditure, insurance etc				
8	Pre-operative preliminary expanses to be capitalised				
9	Miscellaneous fixed assets/essential items				
Total					

We have checked the books of accounts of the unit, the invoices etc, and certify that the aforesaid information is verified and certified to be true. We also certify that all the aforesaid items have been duly paid for and no credit is raised there against in the books of the unit.

Date:
Place:

Signature of the Chartered Accountant
Registration No & Seal

Form :1D(B)

CERTIFICATE FROM THE BANK / FINANCIAL INSTITUTION

(Recommendation of the Branch Manager of Bank , at Working Capital assistance to the applicant unit under the Central Interest Subsidy Scheme , 2007)

This is the The claim for working capital during the period from To of M/S of Our Bank (name of the Bank branch) had sanctioned an amount of Rs. as working capital to the aforesaid unit vide this Bank sanctioned letter No dated

We have examined the materials furnished by the applicant unit and assessed the claim at Rs. (Rupees) which constitute @ 3% Interest Subsidy on working capital for the period from to

I therefore, recommend that the unit M/S Is eligible for grant of 3% interest subsidy of Rs. (Rupees) under the Central Interest Subsidy Scheme (CISS), 2007.

Date:

Signature of the Branch Manager
Seal

CHECKLIST: INTEREST SUBSIDY

Certified / Attested photocopies of the documents to be submitted along with the claim form for claiming Interest Subsidy under NEIIPP,2007

-
1. Constitution of the unit
 - a. In case of Private Limited / Public Limited company
 - i. Registration Certificate under Companies Act
 - ii. Memorandum of Article of Association
 - iii. Names and address of the Directors
 - b. In case of Partnership Firm:
 - i. Deed of Partnership
 - ii. Name and address of the Partners
 - c. In case of Co-operative Society
 - i. Registration Certificate
 - ii. Resolution of the General Body
 2. Registration
Entrepreneurs Memorandum Part I, Part-II /IEM/LOI/IL (if any)
 3. No objection certificate from local body/authority (if any)
 4. Term loan/working capital loan sanction letter from Bank/financial institution (if any)
 5. Certificate from Bank/Financial Institute as per **Form.1D(B)**
 6. Any other document that may be required as per direction of State Government/
Directorate of Industries and Commerce.
-

ENQUIRY REPORT

FOR GRANT OF CENTRAL INTEREST SUBSIDY ON WORKING CAPITAL LOAN

1	a	Name & Designation of the enquiry officer	:	
	b	Date of receipt of the application	:	
	c	Date of physical verification of the unit	:	
	d	Claim period		
2	a	Name of the unit	:	
	b	Office address with telephone / mobile no (if any)	:	
	c	Factory address with telephone no (if any)	:	
	d.	Registered Head Office (if different from c above)	:	
3		Constitution of the unit along with date.	:	
4		Name/s, address(es) of the Proprietor/ partners / Directors / Secretary/ President	:	
5		Registration under NEIIPP, 2007 along with date	:	
6		Enterprise registration & Date (Acknowledgement of EM Part-II, IEM Pt-II/PMT Registration No.(in case of existing unit)	:	
7.		Certificate of the unit having become functional/operational (for Service sector from concerned Department)	:	
8		Any other mandatory registration, if applicable	:	
9.		Report of NEDFi on the scrutiny of details of the cost of project (in case of Service Sector activities/industries)	:	
10.		Whether the unit is new/existing	:	
11		Date of going into commercial production or becoming operational/functional (<u>in case of new unit</u>)	:	
12		Date of commencement of commercial production or becoming operational/functional (in case of existing unit)		Prior to expansion
				After expansion
13		Details of land (whether own, leased, allotted by Government etc.)	:	
14.		Details of building (whether own, leased, allotted by Government etc.)	:	

15		Investment (To be supported by a CA Certificate)	:		(Amount in Rs.)
Particulars		New unit	Existing unit undergoing substantial expansion		
			Prior to expansion/ modernization	After Expansion	
(a)	Land & Site Development				
(b)	Building				
	i. Office building				
	ii. Factory building				
I	Plant and Machinery/ Component/ Item				
(d)	Electrification				
(e)	Accessories				
(f)	Miscellaneous fixed assets				
(g)	Preliminary preoperative expenses				
		Total			
Increase in investment (in case of existing unit) (both in terms of Rupees and percentage)					
16.		Details of employment (in case of existing unit, employment both prior and after expansion should be indicated).		:	
17.	a	Name(s) of raw material along with quantity and value during the claim period		:	
	b	Name of finished product		:	
	c	Quantity and value of finished product(s)		:	
18		Working Capital		:	
	a	Name and address of the Bank providing working capital		:	
	b	Maximum limit of working capital sanctioned along with rate of interest		:	
	c	Sanction No. & date		:	
	d	Account No. of the unit against which the loan is sanctioned		:	
	e	Total interest charged by the bank for the period from To In the account No. (to enclose bank statement for the period along with detailed break up).		:	
	f	Total interest subsidy eligible @ 3% for the period from To		:	

19	Remarks, if any.	:	
----	------------------	---	--

Certified that I have personally visited the unit and examined each and every particulars furnished by the claimant.....(name of the unit) and all the annexure/ relevant documents furnished with this claim and found them to be correct beyond all reasonable doubt.

:

Place

Signature of the Enquiry Officer

Date

Seal

**APPLICATION FORM FOR CLAIM UNDER
CENTRAL COMPREHENSIVE INSURANCE SUBSIDY SCHEME, 2007**

**(The form, duly completed, may be submitted along with
necessary documents with respective District Industries Centre)**

1	Name of the Industrial Unit	:	
2	Office address with telephone No.	:	
3	Factory address with telephone No.	:	
4	Constitution of the unit (whether proprietorship/partnership/private ltd./limited company/cooperative	:	
5	Name(s) & address(es) of the proprietor/partners/directors/secretary & president of the cooperative society.	:	
6	Date of registration under the Companies Act/the concerned Act including the Act.	:	
7	Registered Head Office of the Company	:	
8	Details of the registration of the unit with the concerned Department:	:	
	A.		If manufacturing sector , please indicate:
	i		Acknowledgement No./date of Entrepreneur Memorandum (EM) – part-I (if any) of MSME
	ii		Acknowledgement No./date of Industrial Entrepreneur Memorandum (IEM) (if any) of DIPP.
	B		If Service sector , please indicate requisite Registration/License No. from the concerned Department.
9	Date of commencement of Commercial Production (for New unit.)	:	
10	Whether the Unit was set up after 1.4.2007	:	

11	If existing unit undergoing substantial expansion, (i) what is the percentage of investment up to 31.3.2007? (ii) Date of commencement of commercial production after expansion.	:		
12	Actual capital Investment (Capitalized value)		Upto 31.3.2007	After 31.3.2007
A	Land/site development	:	<u>Rs.</u>	<u>Rs.</u>
	(a) Cost of Land	:		
	(b) Cost of Site Development	:		
	(c) Cost of Boundary Wall	:		
B	Buildings	:		
	(a) Office	:		
	(b)Factory	:		
C	Plant and Machinery	:		
D	Accessories	:		
E	Cost Of Electrical/ Installation	:		
F	Cost of Erection/ Installation	:		
G	Miscellaneous fixed assets.	:		
	Total	:		
13	Whether a Certificate from a Registered Chartered Accountant (which needs to be Enclosed) on Capital Investment is attached [Form:1E(A)]	:		
14.	Means of Finance	:		
15	Whether availed Subsidy under Central Capital Investment Subsidy Scheme, 2007	:		
16.	Whether subsidy availed on a new unit or Expanded unit	:		
17.	If Capital Subsidy is not availed/ granted specify the reason thereof	:		
18.	Details of Power Utilization	:		
	(i) Date of sanction of power and load	:		
	(ii) Connected load and date of connection	:		

	(iii)	Details of production of the new unit	:	
19.		Give briefs details of manufacturing process of the unit (attach separate sheets, if necessary)	:	
		Name of the product(s)		Installed Annual Production Capacity
				Actual Annual Production (year-wise)
20.		Fire Insurance for Fixed Assets		
	(a)	Name & Address of the Insured (To whom the policy is issued)	:	
	(b)	Date of commencement of first Fire Insurance on commission of the Unit	:	
	(c)	Period of Insurance	:	
	(d)	Fire Policy No (S).	:	
	(e)	Basis of Sum-Insured (Whether Book Value/ Market Value/ New Replacement Value)	:	
	(f)	Total Sum-Insured Break-up of Sum Insured	:	
		(a) Boundary Wall	:	
		(b) Buildings	:	
		(c) Plant & Machinery	:	
		(d) Misc. fixed Assets	:	
	(g)	Net Premium (net of all refunds received / due) paid as per the Fire Policy	:	
	(h)	Amount of Refund, if any, after Insurance of the Policy	:	
	(i)	Whether a certificate from the Policy issuing Office attached stating that the Policy was in force for the entire policy period and amount of refund availed/due [in the prescribed Format	:	

	(j)	Reimbursement of Insurance Premium availed so far under the scheme and details thereof.	:	
21.		Give Bank Account No., Name of the Bank & Branch where the subsidy Amount, if reimbursed, is to be deposited	:	

22. Declaration:

I/ We hereby solemnly declare that the information furnished in this application for the reimbursement of insurance premium under the Central Comprehensive Insurance Scheme, 2007 are correct and true to the best of my/ our knowledge and belief.

Place:

Signature of the applicant (s)

Date:

Seal:

Certificate of the Enquiry Officer

Certified that I have examined each and every documents/ particulars furnished in this claim application with all relevant documents produced before me and found to be correct beyond all reasonable doubt. I have also personally visited the unit on and verified the fixed assets belonging to the unit and found them exactly mentioned in the application form. I therefore recommended Rs. (in word) for reimbursement under the Central Comprehensive Insurance Scheme, 2007.

Signature of the Enquiry Officer

Seal:

Endorsement of the General Manager, DIC

Certified that I fully endorse the certificate of the Enquiry Officer as recorded above and I have fully satisfied myself that the particulars as verified by the enquiry officer are correct and I therefore recommended this proposal to the State level Committee for grant of reimbursement of Rs. (in word) under the scheme.

Signature of the General Manager

Seal:

CHECK LIST

Attested/certified copies of the following documents to be submitted along with this Application Form for Claim under Comprehensive Insurance Scheme:

(a) List of Documents:

(i)	A certified copy of registration Certificate of Central Comprehensive Insurance Scheme Form:1A.1/1A.2
(ii)	An Affidavit in Form- 1E(C)
(iii)	Undertaking by Form:1E(D)
(iv)	A Certificate from a Registered Chartered Accountant for Capital Investment in prescribed Form:1E(A)
(v)	A Certificate from Fire Issuing Office(s) in the Form- 1E(B)
(vi)	Copy of the policy(ies) including schedule(s) for the period for which reimbursement is sought
(vii)	Any other documents required by the concerned authority.

(b) List of Documents: For Claim for Second Policy Period onwards:

(i)	An Affidavit in Form- 1E(C)
(ii)	A Certificate from a Registered Chartered Accountant for Capital Investment in prescribed Form: 1E(A)
(iii)	A Certificate from Fire Issuing Office(s) in the Form: 1E(B)
(iv)	Copy of the policy(ies) including schedule(s) for the period for which reimbursement is sought
(v)	Any other documents required by the concerned authority.

**Certificate from the Registered Chartered Accountant
(For New Industrial Unit)**

Name of the **Chartered Accountant:** _____

I/We hereby certify that M/S _____ had made the following capital investment in their Unit _____ (name of the unit), located at _____ which went into commercial production on _____ for manufacturing of _____ by the industrial unit.

Sl. No:	ITEM OF FIXED ASSETS	UP TO 31-3-2007	FROM: 31-3-2007 UP TO	CURRENT DATE
---------	----------------------	-----------------	-----------------------	--------------

- | | | | | |
|-----|-------------------------------------|--|--|--|
| 1.0 | Cost of Land | | | |
| 1.1 | Cost of Site Development | | | |
| 1.2 | Cost of Boundary Walls | | | |
| 2.0 | Cost of Buildings (completed value) | | | |
| 3.0 | Cost of Plant & Machinery | | | |
| 3.1 | Cost of Accessories | | | |
| 3.2 | Electrical Installation | | | |
| 3.3 | Cost of Erection/Installation | | | |
| 3.4 | Miscellaneous fixed assets | | | |

We have verified the books of accounts including the invoices and payment particulars and certify that the aforesaid information are true:

Date:
Place:

Signature of the Chartered Accountant
Registration No:
Seal:

**Format of Certificate from relevant Fire Insurance Policy Issuing Office
(Use a separate form for Endorsement Policy, if any)**

(To be given on the letter pad of concerned Insurance Co.)

Certified that the following information stands true in respect of Standard Fire and Special Perils policy issued in the interest of M/s _____ for their industrial unit manufacturing _____ goods.

Policy No.	Period of Insurance:
Whether the policy has run for 365 days:	Description of risk:
Location of property:	Whether SI is on Market Value or Reinstatement Value basis:

Description of properties insured	SI on assets acquired before 31.3.2007	Premium	SI on assets acquired on or after 01.04.2007	Premium	Total (A+C)	Total premium (B+D)
	A	B	C	D	E	F
A Buildings						
(a) Factory building:						
(b) Office/Admn. Building						
(c) Residential building, if any (please specify)						
(d) Any other building (please specify)						
Boundary wall covering factory						
Plant & machinery:						
(a)						
(b)						
(c)						
Electrical installations:						
(a)						
(b)						
FFF:						
(a)						
(b)						
B Stocks/Inventory, if any						
a)						
b)						
C Add-on cover (Earthquake only), if any						
D Terrorism Loading, if any						
TOTAL :						

Discount allowed, if any:

Service tax paid:

Net premium paid:

Premium refunded, if any:

Any other relevant information:

We further confirm that no further refund of premium is due/outstanding for reduction of sum insured, deletion of perils, silent risk period, cancellation of the policy, revision of rate/tariff, fire protection, good claims experience, or due to any other reasons under the policy.

The statements made herein above are true to the best of my knowledge and belief.

Place:

Date:

Signature & Seal of the
Divisional/Branch Manager

From: 1E(C)

(To be typed in non-judicial stamp paper of Rs.15/-)
AFFIDAVIT

I, Shri/Smti _____ son/daughter/wife of _____ aged _____ years, residing at _____, District _____ and Proprietor/Director/Managing Partner of M/s _____ do hereby solemnly affirm and state as follows:

1. That all particulars furnished by me on behalf of the Industry known as M/s _____ in connection with the application for Central Comprehensive Insurance Subsidy to the Director of Industries & Commerce, _____ are correct and based on records/verified information and in case of any such particulars being subsequently found to be false and/or misrepresentation of facts, the aforesaid Company shall refund the entire amount of Central Comprehensive Insurance Subsidy received by it besides any other penal measures under the law of the land.

This is true to my knowledge.

2. That I have been paying regularly premiums against the following policies:

- a)
- b)

This is true to my knowledge.

3. That I have not surrendered the policies numbered _____ before the expiry date.

This is true to my knowledge.

4. That the details of the dues to banks/financial institutions/Govt. institutions/agencies, etc., are furnished as hereunder:

- a)
- b)

This is true to my knowledge.

5. That I state as hereunder the names of other institutions wherein I am interested as Proprietor/Partner/Director:

- a)
- b)

6. That this affidavit will be used for the purpose of obtaining the subsidy as referred to above and shall be used as a piece of evidence in any case.

Identified by me

Deponent

Advocate

Solemnly affirmed before me by the deponent, who is identified by Shri _____, Advocate, on this _____ day of 20____.

Magistrate

(To be typed in non-judicial stamp paper of Rs.15/-)

(UNDERTAKING)

I Shri/Smti _____ son/daughter/wife of _____ aged ____ years, resident of _____, of village _____ in the District of _____ Proprietor/Director/Managing Partner of _____, situated at _____, in the District of _____ have received today a sum of Rs. _____ (Rupees _____) only which sum I hereby acknowledge as Central Comprehensive Insurance Subsidy for my industries/industry carrying under the name and style of M/s _____ hereinafter referred to as the Industrial Unit and I on behalf of the Industrial Unit give the following undertaking for the said Central Comprehensive Insurance Subsidy.

1. That I on behalf of the Industry shall maintain detailed statistics/accounts of production and utilization of raw materials and finished products disposed of and shall keep such statistics/accounts open for inspection on request from the Director of Industries, Govt. _____
2. That in case any over payment is made due to wrong calculation or misinterpretation of the rule or otherwise the same amount will be refunded as and when demanded by the Director of Industries/ disbursing agencies. In default I shall be liable personally to refund the above said sum.
3. That M/s _____ have dues, as on date to Banks, Financial Institutions and other Govt. Agencies/Deptt., the details of which is furnished below.

Name & address of the Bank/FI/Govt. Agency/Deptt	Amount (Rs)
_____	_____
_____	_____

Name and signature of witness

- 1.
- 2.

(signature of the executant)

**PROFORMA FOR AGENDA NOTES TO BE SUBMITTED TO STATE LEVEL/DISTRICT
LEVEL COMMITTEE UNDER NEIIPP, 2007**

- 1 Name and address of the applicant unit :
- 2 Location of the Industrial unit :
3. Name of the manufacturing/ Service Sector :
activities as per NEIIPP, 2007
- 4 Date of registration in case of company / :
cooperative society / partnership
- a Whether new/ existing unit under going expansion :
- b In case of new unit date of commencement of :
production
- c In case of expansion unit, date of going into :
commercial production after expansion.
- 5 PMT registration No with date / :
Acknowledgement of Entrepreneur Memorandum
of part-II with date / Acknowledgement of
Industrial Entrepreneur Memorandum with date /
Industrial Licence No & Date (wherever
applicable).
- 6 Employment generated :
- 7 Item(s) of Production/ Services to be produced

Sl no	Item/s	Annual Installed Capacity	Value in Rs.
1			
2			
3			
4			

- 8 Project cost including the margin money for :
working capital
- 9 Name/s of the Financial Institution/ Bank :
- 10 Means of Finance (in Rs) :
- a. Promoter's Contribution / Equity :
- b. Loan from Bank / FI :
- c. Unsecured loan :
- d. Government Equity / margin money :
- 11 Details of fixed capital investment (in Rs) :

	For new unit (actual investment made)	Existing unit under going Total	
		Prior to expansion	During expansion
a	Land		
b	Building		
c	Plant & Machinery		
d	Electrical Installation		
e	Pre-operative preliminary expenses to be capitalized		
f	Other miscellaneous fixed assets		
Total			

12. Recommendation :
- (i) Central Capital Investment Subsidy (in Rs.) :
- (ii) Central Interest Subsidy (in Rs.) :
- (iii) Central Comprehensive Insurance Scheme (in Rs.) :

Signature of the Member Secretary
of SLC/DLC

Decision of the State level / District Level Committee meeting held on at

Signature of the Chairman of the
SLC/DLC

**PROFORMA TO BE SUBMITTED TO NEDFi BY THE SLC FOR DISBURSEMENT OF
SUBSIDY UNDER CCISS, 2007**

- 1 Name and address of the applicant unit :
2. Activity of the unit :
- 3 Location of the Industrial unit :
- 4 Date of registration in case of company / :
cooperative society / partnership
- a Whether new/ existing unit under going expansion :
- b In case of new unit date of commencement of :
production
- c In case of expansion unit, date of going into :
commercial production after expansion.
- 5 PMT registration No with date / :
Acknowledgement of Entrepreneur Memorandum
of part-II with date / Acknowledgement of
Industrial Entrepreneur Memorandum with date /
Industrial Licence No & Date.
- 6 Employment generated :
- 7 Item/s of Production/ Services
- 8 Name/s of the Financial Institution/ Bank from :
whom financial assistance has been sought by the
unit.
- 9 Means of Finance (Rs) :
- a. Promoter's Contribution / Equity :
- b. Loan from Bank / FI :
- c. Unsecured loan :
- d. Government Equity / margin money :
10. Total fixed capital investment (in Rs) :
11. Investment in Building/ Civil construction :
- 12 Investment in plant and machinery (in Rs.) :

- a. For new unit :
- b. For existing unit undergoing expansion :
13. Investment in other fixed assets/equipments (in Rs.) :
14. Total amount approved by the SLC/DLC and recommended for disbursement (Rs.) :
- (i) Central Capital Investment Subsidy (in Rs.) :
- (ii) Central Interest Subsidy (in Rs.) :
- (iii) Central Comprehensive Insurance Scheme (in Rs.) :
15. Date of SLC/DLC held long with No. under CCISS,07 :
16. Name of the Banker, address and Account no of the unit :

Signature of the Member Secretary
of SLC/DLC

AGREEMENT

An agreement made on the day of two thousand and between the Government of, represented by the Director of Industries, or his nominee (herein after called the State Government) of the **First Part**

AND

Shri/Smti Son/ daughter/wife of authorized signatory of M/S a partnership firm/ Cooperative society / proprietary concerned / company concerned registered under the Companies Act bearing registration no. dtd. / firm registration no dtd / Acknowledgement No of EM-pt-II / IEM acknowledgement No..... dtd (here in called the unit) located at for manufacturing / rendering services of of the **SECOND PART**.

Where as

1. The unit has made an application to the State Government to avail benefit under the Central Capital Investment Subsidy Scheme under NEIIPP, 2007.
2. After verification and scrutiny of claim, the Member Secretary of the State Level Committee is satisfied and placed the claim of the UNIT before the SLC/DLC in its meeting held on _____ SLC approved the claim of Central Capital Investment Subsidy Scheme (CCISS)/ Central Interest Subsidy Scheme/Central Comprehensive Insurance Scheme and recommended for disbursement from the North Eastern Development Finance Corporation (NEDFi), Guwahati, the designated disbursing agency nominated by Government of India for an amount of Rs. (Rupees) only.
3. The UNIT is required under Section 124 of the Indian Contract Act, 1872, Chapter-VIII of the Indemnity and Guarantee and u/s 126 of the Act to enter into an agreement with the Government of regarding the matter specified in the said Section of the Act.

NOW IT IS HEREBY AGREED AND DECLARED AS FOLLOWS:

1. The subsidy will be disbursed to the UNIT by NEDFi , the designated agency for disbursement.
2. The UNIT will bear all other charges as may be incidental to the purpose of registration etc.
3. The State Government shall advise NEDFi to disburse the subsidy amount to the UNIT , subject to the conditions hereinafter set forth , viz:
4.
 - (a) That the UNIT, its successor and assigns are bound to abide by the conditions of the scheme.
 - (b) That if the Government of India / State Government / Financial Institution / Bank concerned is subsequently satisfied that the subsidy granted to UNIT has been obtained by misrepresentation as to an essential fact/s, furnishing of false information or if the UNIT goes out of production within 5 (five) years after commencement of commercial production, the Central Government/State Government/NEDFi shall ask the UNIT to refund the amount,

for safety of the public fund, after giving a reasonable opportunity to the UNIT of being heard.

- (c) That the UNIT , without taking prior approval of the Union Ministry of Commerce & Industry (Department of Industrial Policy & promotion) / State Government / Financial Institution / Bank concerned , shall not change the location of the whole or any part of the UNIT or effect any substantial contraction or dispose of a substantial part of its total fixed capital investment on which subsidy has been granted, within a period of 5 (five) years after its going into commercial production.
- (d) The UNIT shall furnish Annual Progress Report (APR) to the Union Ministry of Commerce & Industry (Department of Industrial Policy and Promotion)/State Government concerned, every year, about its working for a period of continuous 5 (five) years after going into production.
- (e) That if the UNIT commits a breach of any of the covenants herein contained, it shall be lawful for the State Government/Central Government to ask for refund of the subsidy amount so disbursed and thereupon, the grant or subsidy amount so approved and disbursed shall become null and void and of no effect and all right of the UNIT on the subsidy amount shall at once cease and determine.
- (f) In case of any dispute or claim arising as a result of the subsidy amount of Rs. (Rs.....) disbursed by NEDFi and approved by SLC in its meeting held on and the agreement made on this day of Two thousand the Courts only in the State of alone shall have the jurisdiction.

IN WITNESS WHEREOF the parties here to have signed this agreement on the dates respectively mentioned against their signature.

AUTHORISED SIGNATORY OF THE UNIT

ON BEHALF OF THE GOVERNMENT OF

Company / firm with date & Seal

.....
Director of Industries ,

Witness:
 Name with father's name & address

Seal & date

- 1.
- 2.

Signature

Monitoring Form: 4

ANNUAL PROGRESS REPORT TO BE SUBMITTED BY THE UNIT IN DUPLICATE TO DIC

(One copy for DIC and another copy for Directorate of Industries and should be submitted on or before 20th April, every year)

1.	Name and address of the Unit, telephone no, e-mail address, website etc.	:	
2.	Registration no/Acknowledgement No of EM part-II/Acknowledgement No. of IEM and date	:	
3.	Date of going into commercial production	:	
4.	Amount received from NEDFi till date of submission of this return ,viz: (i) Central Capital Investment Subsidy: (ii) Central Interest Subsidy (iii) Central Comprehensive Insurance Scheme	:	
5.	Whether new/Expansion unit	:	
6.	Items of production of the unit	:	
7.	Employment generated in the Unit	:	
	a. Before getting the Subsidy	:	
	b. After getting the subsidy	:	
8.	Whether any expansion programme undertaken by the unit after getting subsidy	:	
9.	Whether the unit has fully utilized the subsidy amount for creation of additional fixed assets or utilising the fund in working capital, please specify	:	
10.	Sales of finished product during the last financial year, with quantity & value.	:	

Signature of the Authorised person
Date & Seal

(COPY)

All communications should be addressed to the
Government of India, Ministry of Industry by title NOT
by name

Telegram : **INDMINISTRY**
Telex : **031-66565**
Fax : **011-23062626**

No.10(5)/2008-DBA-II/NER
Government of India
Ministry of Commerce & Industry
(Dept. of Industrial Policy & Promotion)
Udyog Bhawan, New Delhi -110 011

Dated the 25 August, 2008

To

The Secretary (Industries)
Department of Industries,
State Government of North Eastern Region
(Arunachal Pradesh, Assam, Manipur, Meghalaya, Mizoram, Nagaland, Tripura and Sikkim)

Sub: North east Industrial and Investment promotion Policy (NEIIPP), 2007- registration under the Schemes.

Sir,

As per the provision of the Central Capital Investment Subsidy Scheme, 2007, Central Interest Subsidy Scheme, 2007 and Central Comprehensive Insurance Scheme, 2007 issued on 27.7.2007 (for manufacturing sector) and 21.9.2007 (for service sector) an industrial unit should get itself registered prior to taking effective steps.

2. It has been brought to the notice that since the above schemes were notified on 27.7.2007 /21.9.2007, the condition of registration there under came to the knowledge of industrial units only there after. Some units could not , therefore, get themselves registered under the aforesaid schemes till 27.7.2007/21.9.2007 all though they had taken effective steps prior to that.

3. Since registration of an industrial unit is a pre-requisite to claim subsidy under the above subsidy scheme, it has been decided that the industrial unit who could not get themselves registered upto 27.7.2007/21.9.2007 may, permitted to get themselves registered under the above subsidy scheme latest by 31.12.2008. In such cases, the State Level Committee may condone the delay for registration in respect of such units while considering their claims.

4. It is requested that this may be given wide publicity.

Yours faithfully,

Sd/-

(P. Bhardwaj)

Under Secretary to the Government of India

Copy to:

- (i) All Director (Industries), Directorate of Industries of NER States.
- (ii) CMD, NEDFi, Guwahati, Assam.

**GOVERNMENT OF INDIA
MINISTRY OF FINANCE
(DEPARTMENT OF REVENUE)
New Delhi, the 25th April, 2007.**

5 Vaisakha, 1929 (Saka)

Notification No. 20/2007-Central Excise

G.S.R. (E).- In exercise of the powers conferred by sub-section (1) of section 5A of the Central Excise Act, 1944 (1 of 1944), the Central Government, being satisfied that it is necessary in the public interest so to do, hereby exempts the goods specified in the First Schedule to the Central Excise Tariff Act, 1985 (5 of 1986) other than those mentioned in the Annexure and cleared from a unit located in the States of Assam or Tripura or Meghalaya or Mizoram or Manipur or Nagaland or Arunachal Pradesh or Sikkim, as the case may be, from so much of the duty of excise leviable thereon under the said Act as is equivalent to the amount of duty paid by the manufacturer of goods other than the amount of duty paid by utilization of CENVAT credit under the CENVAT Credit Rules, 2004.

2. In cases where all the goods produced by a manufacturer are eligible for exemption under this notification, the exemption contained in this notification shall be available subject to the condition that, the manufacturer first utilises whole of the CENVAT credit available to him on the last day of the month under consideration for payment of duty on goods cleared during such month and pays only the balance amount in cash.

3. The exemption contained in this notification shall be given effect to in the following manner, namely:-

- (a) the manufacturer shall submit a statement of the duty paid other than the amount of duty paid by utilisation of CENVAT credit under the CENVAT Credit Rules, 2004, to the Assistant Commissioner or the Deputy Commissioner of Central Excise, as the case may be, by the 7th of the next month in which the duty has been paid other than the amount of duty paid by utilization of CENVAT credit under the CENVAT Credit Rules, 2004;
- (b) the Assistant Commissioner of Central Excise or the Deputy Commissioner of Central Excise, as the case may be, after such verification, as may be deemed necessary, shall refund the amount of duty paid other than the amount of duty paid by utilisation of CENVAT credit under the CENVAT Credit Rules, 2004, during the month under consideration to the manufacturer by the 15th of the next month;

Provided that in cases, where the exemption contained in this notification is not applicable to some of the goods produced by a manufacturer, such refund shall not exceed the amount of duty paid less the amount of the CENVAT Credit availed of, in respect of the duty paid on the inputs used in or in relation to the manufacture of goods cleared under this notification;

- (c) if there is likely to be any delay in the verification, Assistant Commissioner of Central Excise or the Deputy Commissioner of Central excise, as the case may be, shall refund the amount on provisional basis by the 15th of the next month to the month under consideration and thereafter may adjust the amount of refund by such amount as may be necessary in the subsequent refunds admissible to the manufacturer.

4. Notwithstanding anything contained in paragraph 3,-

- (a) the manufacturer at his own option, may take credit of the amount of duty paid during the month under consideration, other than by way of utilisation of CENVAT credit under the CENVAT Credit Rules, 2004, in his account current, maintained in terms of Part V of the Excise Manual of Supplementary Instruction issued by the Central Board of Excise and Customs. Such amount credited in the account current may be utilised by the manufacturer for payment of duty, in the manner specified under rule 8 of the Central Excise Rules, 2004, in subsequent months, and such payment should be deemed to be payment in cash:

Provided that where the exemption contained in this notification is not applicable to some of the goods produced by a manufacturer, the amount of such credit shall not exceed the amount of duty paid less the amount of the CENVAT Credit availed of, in respect of the duty paid on the inputs used in or in relation to the manufacture of goods cleared under this notification.;

(b) the credit of duty paid during the month under consideration, other than by way of utilisation of CENVAT credit under the CENVAT Credit Rules, 2004, may be taken by the manufacturer in his account current, by the 7th day of the month following the month under consideration;

(c) a manufacturer who intends to avail the option under clause (a), shall exercise his option in writing for availing such option before effecting the first clearance in any financial year and such option shall be effective from the date of exercise of the option and shall not be withdrawn during the remaining part of the financial year:

Provided that, for the financial year 2007-08, a manufacturer can exercise his option on or before the 31st day of May, 2007.

(d) the manufacturer shall submit a statement of the duty paid, other than by way of utilization of CENVAT credit under the CENVAT Credit Rules, 2004, along with the refund amount which he has taken credit and the calculation particulars of such credit taken, to the Assistant Commissioner of Central Excise or the Deputy Commissioner of Central Excise, as the case may be, by the 7th day of the next month to the month under consideration;

(e) the Assistant Commissioner of Central Excise or the Deputy Commissioner of Central Excise, as the case may be, after such verification, as may be deemed necessary, shall determine the amount correctly refundable to the manufacturer and intimate the same to the manufacturer by the 15th day of the next month to the month under consideration. In case the credit taken by the manufacturer is in excess of the amount determined, the manufacturer shall, within five days from the receipt of the said intimation, reverse the said excess credit from the said account current maintained by him. In case, the credit taken by the manufacturer is less than the amount of refund determined, the manufacturer shall be eligible to take credit of the balance amount;

(f) in case the manufacturer fails to comply with the provisions of clause (a) to (e), he shall forfeit the option, to take credit of the amount of duty paid during the month under consideration, other than by way of utilisation of CENVAT credit under the CENVAT Credit Rules, 2004, in his account current on his own, as provided for in clauses (a) and (c);

(g) the amount of the credit availed irregularly or availed of in excess of the amount determined correctly refundable under clause (e) and not reversed by the manufacturer within the period specified in that clause, shall be recoverable as if it is a recovery of duty of excise erroneously refunded. In case such irregular or excess credit is utilised for payment of excise duty on clearances of excisable goods, the said goods should be considered to have been cleared without payment of duty to the extent of utilisation of such irregular or excess credit.

Explanation.-For the purposes of this notification, duty paid, by utilisation of the amount credited in the account current, shall be taken as payment of duty by way other than utilisation of CENVAT credit under the CENVAT Credit Rules, 2004.

5. The exemption contained in this notification shall apply only to the following kind of units, namely:-

(a) New Industrial units which commence commercial production on or after the 1st day of April, 2007 but not later than 31st day of March, 2017;

(b) Industrial units existing before the 1st day of April, 2007 but which have undertaken substantial expansion by way of increase by not less than 25% in the value of fixed capital investment in plant and machinery for the purposes of expansion of capacity/modernization and diversification and have commenced commercial production from such expanded capacity on or after the 1st day of April, 2007 but not later than 31st day of March, 2017.

6. The exemption contained in this notification shall apply to any of the said units for a period not exceeding ten years from the date of publication of this notification or from the date of commercial production whichever is later.

7. The exemption contained in this notification shall not apply to such goods which have been subjected to only one or more of the following processes, namely, preservation during storage, cleaning operations, packing or

repacking of such goods in a unit container or labeling or re-labelling of containers, sorting, declaration or alteration of retail sale price and have not been subjected to any other process or processes amounting to manufacture in the States of Assam or Tripura or Meghalaya or Mizoram or Manipur or Nagaland or Arunachal Pradesh or Sikkim.

[F.No. 354/18/2007-TRU]

(S.Bajaj)

Under Secretary to the Government of India

Annexure

- (i) Goods falling under Chapter 24 of the First Schedule of the Central Excise Tariff Act, 1985;
- (ii) Pan masala falling under Chapter 21 of the First Schedule of the Central Excise Tariff Act, 1985;
- (iii) Plastic carry bags of less than 20 microns as specified by the Ministry of Environment and Forests Notification No. S.O.705 (E), dated the 2nd of September, 1999 and S.O. 698(E) dated the 17th of June, 2003;
- (iv) Goods falling under Chapter 27 of the First Schedule of the Central Excise Tariff Act, 1985 and which are produced by petroleum oil or gas refineries.

**GOVERNMENT OF INDIA
MINISTRY OF FINANCE
(DEPARTMENT OF REVENUE)**

**New Delhi, the 25th April, 2007.
5 Vaisakha, 1929 (Saka)**

Notification No.21/2007-Central Excise

G.S.R. (E).- In exercise of the powers conferred by sub-section (1) of section 5A of the Central Excise Act, 1944 (1 of 1944), read with sub-section (3) of section 3 of the Additional Duties of Excise (Goods of Special Importance) Act, 1957 (58 of 1957) and sub-section (3) of section 3 of the Additional Duties of Excise (Textile and Textile Articles) Act, 1978, (40 of 1978) the Central Government, being satisfied that it is necessary in the public interest so to do, hereby further amends the following notifications of the Government of India in the Ministry of Finance (Department of Revenue) specified in column (2) of the Table hereto annexed, in the manner and to the extent specified in the corresponding entry in column (3) of the said Table, namely:-

S.No	Notification No. and date	Amendments
1	2	3
1.	32/99-Central Excise, dated the 8 th July, 1999. [G.S.R. 508(E), dated the 8 th July, 1999]	<p>In the said notification,-</p> <p>(a) in paragraph 1, (i) for clause (i), the following shall be substituted, namely:- “(i) the following goods, namely,- (a) Pan masala falling under Chapter 21 of the said First Schedule; (b) goods falling under Chapter 24 of the said First Schedule; (c) Plastic carry bags of less than 20 microns as specified by the Ministry of Environment and Forests Notification No. S.O.705(E) dated the 2nd of September, 1999 and S.O. 698(E) dated the 17th of June, 2003 manufactured by a unit; and “ (ii) after clause (ii), for the first proviso, the following proviso shall be substituted, namely:- “Provided that the exemption contained in this notification shall not be applicable to pan masala falling under Chapter 21 of the said First Schedule; goods falling under Chapter 24 of the said First Schedule; and plastic carry bags of less than 20 microns as specified by the Ministry of Environment and Forests Notification No. S.O.705 (E), dated the 2nd of September, 1999 and S.O. 698(E) dated the 17th of June, 2003.”;</p> <p>(b) in paragraph 3,</p>

S.No	Notification No. and date	Amendments
1	2	3
		<p>i) in clause (a), after the words and figures, “24th day of December, 1997”, the words and figures “but not later than the 31st day of March, 2007” shall be inserted;</p> <p>ii) in clause (b), in the end, after the words and figures, “on or after 24th day of December, 1997”, the words and figures, “but not later than the 31st of March, 2007” shall be inserted;</p> <p>and</p> <p>(c) after paragraph (4), the following paragraph shall be inserted, namely:-</p> <p>“5. The exemption contained in this notification shall not apply to such goods which have been subjected to only one or more of the following processes, namely, preservation during storage, cleaning operations, packing or repacking of such goods in a unit container or labeling or re-labelling of containers, sorting, declaration or alteration of retail sale price and have not been subjected to any other process or processes amounting to manufacture in the States of Assam or Tripura or Meghalaya or Mizoram or Manipur or Nagaland or Arunachal Pradesh or Sikkim.”;</p>
2.	33/99-Central Excise, dated the 8 th July, 1999. [G.S.R. 509(E), dated the 8 th July, 1999]	<p>In the said notification,-</p> <p>(a) in paragraph 1, in clause (a), for the words and figures “other than goods falling under Chapter 24 of the First Schedule or the Second Schedule of the Central Excise Tariff Act, 1985 (5 of 1986)”, the words and figures “other than pan masala falling under Chapter 21 of the First Schedule of the Central Excise Tariff Act, 1985 (5 of 1986); goods falling under Chapter 24 of the said First Schedule and plastic carry bags of less than 20 microns as specified by the Ministry of Environment and Forests Notification No. S.O.705 (E) dated the 2nd of September, 1999 and S.O. 698(E) dated the 17th of June, 2003 and goods falling under Chapter 27 of the said First Schedule and which are produced by petroleum oil or gas refineries,” shall be substituted;</p> <p>(b) after paragraph 1A, in the proviso, for the words and figures “goods falling under Chapter 24”, the words and figures</p>

S.No	Notification No. and date	Amendments
1	2	3
		<p>“pan masala falling under Chapter 21 of the First Schedule of the Central Excise Tariff Act, 1985 (5 of 1986); goods falling under chapter 24 of the said First Schedule; plastic carry bags of less than 20 microns as specified by the Ministry of Environment and Forests Notification No. S.O.705(E), dated the 2nd of September, 1999 and S.O. 698(E) dated the 17th of June, 2003 and goods falling under Chapter 27 of the said First Schedule and which are produced by petroleum oil or gas refineries.” shall be substituted;</p> <p>(c) in paragraph 3,</p> <p>(i) in clause (a), after the words and figures, “24th day of December, 1997”, the words and figures “ but not later than the 31st day of March, 2007” shall be inserted;</p> <p>(ii) in clause (b), in the end, after the words and figures, “on or after 24th day of December, 1997”, the words and figures, “but not later than the 31st of March, 2007” shall be inserted;</p>
3.	56/2003-Central Excise, dated the 25 th June, 2003, [G.S.R. 513(E), dated the 25 th June, 1999]	<p>In the said notification,-</p> <p>(i) after paragraph (4), the following paragraph shall be inserted, namely:-</p> <p>“5. The exemption contained in this notification shall not apply to such goods which have been subjected to only one or more of the following processes, namely, preservation during storage, cleaning operations, packing or repacking of such goods in a unit container or labeling or re-labelling of containers, sorting, declaration or alteration of retail sale price and have not been subjected to any other process or processes amounting to manufacture in the States of Assam or Tripura or Meghalaya or Mizoram or Manipur or Nagaland or Arunachal Pradesh or Sikkim.”;</p> <p>(ii) in the Annexure, for item 1, the following serial numbers and items shall be substituted, namely:-</p> <p>“ 1A. Pan masala falling under Chapter 21 of the First Schedule of the Central Excise Tariff Act, 1985 (5 of 1986);</p> <p>1B. goods falling under Chapter 24 of the said First Schedule;</p> <p>1C. plastic carry bags of less than 20 microns as specified by the Ministry of Environment and Forests Notification No. S.O.705 (E) dated the 2nd of September, 1999 and S.O. 698(E) dated the 17th of June, 2003;</p> <p>1D. goods falling under Chapter 27 of the said First Schedule and which are produced by petroleum oil or gas refineries;”</p>

4.	71/2003-Central Excise, dated the 9 th September, 2003, [G.S.R. 717(E), dated the 9 th September, 2003]	<p>In the said notification,-</p> <p>(i) after paragraph (6), the following paragraph shall be inserted, namely:- “7. The exemption contained in this notification shall not apply to such goods which have been subjected to only one or more of the following processes, namely, preservation during storage, cleaning operations, packing or repacking of such goods in a unit container or labeling or re-labelling of containers, sorting, declaration or alteration of retail sale price and have not been subjected to any other process or processes amounting to manufacture in the States of Assam or Tripura or Meghalaya or Mizoram or Manipur or Nagaland or Arunachal Pradesh or Sikkim.”;</p> <p>(ii) in the Annexure-I, for item 1, the following serial numbers and items shall be substituted, namely:- “ 1A. Pan masala falling under Chapter 21 of the First Schedule of the Central Excise Tariff Act, 1985 (5 of 1986); 1B. goods falling under Chapter 24 of the said First Schedule 1C. plastic carry bags of less than 20 microns as specified by the Ministry of Environment and Forests Notification No. S.O.705 (E) dated the 2nd of September, 1999 and S.O. 698(E) dated the 17th of June, 2003; 1D. goods falling under Chapter 27 of the said First Schedule and which are produced by petroleum oil or gas refineries;”</p>
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[F.No. 354/18/2007-TRU]

(S.Bajaj)

Under Secretary to the Government of India

Note:- (1) The principal notification No. 32/99-Central Excise, dated the 8th of July, 1999, was published in the Gazette of India, Extraordinary, vide number G.S.R. 508(E), dated the 8th of July, 1999 and was last amended by notification No. 65/2003-Central Excise, dated the 6th August, 2003, published vide number G.S.R.639(E), dated the 6th August, 2003.

(2) The principal notification No. 33/99-Central Excise, dated the 8th of July, 1999, was published in the Gazette of India, Extraordinary, vide number G.S.R. 509(E), dated the 8th of July, 1999 and was last amended by notification No. 65/2003-Central Excise, dated the 6th August, 2003, published vide number G.S.R.639(E), dated the 6th August, 2003.

(3) The principal notification No. 56/2003-Central Excise, dated the 25th June, 2003, was published in the Gazette of India, Extraordinary, vide number G.S.R. 513(E), dated the the 25th June, 2003, and was last amended by notification No. 27/2004-Central Excise, dated the 9th July, 2004 published vide number G.S.R.418(E), dated the 9th July, 2004.

(4) The principal notification No. 71/2003-Central Excise, dated the 9th September, 2003, was published in the Gazette of India, Extraordinary, vide number G.S.R. 717(E), dated the 9th September, 2003, and was last amended by notification No. 27/2004-Central Excise, dated the 9th July, 2004 published vide number G.S.R.418(E), dated the 9th July, 2004.

**Government of India
Ministry of Finance
(Department of Revenue)**

New Delhi, the 25th April, 2007

Notification No. 24/2007 - Central Excise (N.T.)

G.S.R. (E). In exercise of the powers conferred by section 37 of the Central Excise Act, 1944 (1 of 1944) and section 94 of the Finance Act, 1994 (32 of 1994), the Central Government hereby makes the following rules further to amend the CENVAT Credit Rules, 2004, namely:-

1. (1) These rules may be called the CENVAT Credit (Fourth Amendment) Rules, 2007.
(2) They shall come into force on the date of their publication in the Official Gazette.
2. In the CENVAT Credit Rules, 2004 , after rule 5, the following rule shall be inserted, namely:-

“5A- Refund of CENVAT credit to units in specified areas.- Notwithstanding anything contrary contained in these rules, where a manufacturer has cleared final products in terms of notification of the Government of India in the Ministry of Finance (Department of Revenue) No.20/2007-Central Excise, dated the 25th April, 2007 and is unable to utilize the CENVAT credit of duty taken on inputs required for manufacture of final products specified in the said notification, other than final products which are exempt or subject to nil rate of duty, for payment of duties of excise on said final products, then the Central Government may allow the refund of such credit subject to such procedure, conditions and limitations, as may be specified by notification.

Explanation: For the purposes of this rule, “duty” means the duties specified in sub-rule (1) of rule 3 of these rules.”

(Rahul Nangare)
Under Secretary to Government of India
[F.No.267/45/2007-CX.8]

**Government of India
Ministry of Finance
(Department of Revenue)**

New Delhi, the 25th April, 2007

Notification No. 25/2007 - Central Excise (N.T.)

In exercise of the powers conferred by rule 5A of the CENVAT Credit Rules, 2004, the Central Government hereby prescribes the following procedure for claiming refund of unutilised CENVAT credit, subject to the conditions prescribed in notification of the Government of India in the Ministry of Finance (Department of Revenue) No. 20/2007-Central Excise, dated the 25th April, 2007,-

- (a) the manufacturer shall file a declaration with the Assistant Commissioner of Central Excise or the Deputy Commissioner of Central Excise, as the case may be, having jurisdiction over the factory of manufacture describing the finished goods proposed to be manufactured along with their rate of duty leviable and manufacturing or processing formula with particular reference to quantity or proportion in which the materials are actually used as well as the quality. The declaration shall also contain the tariff classification, rate of duty paid or payable on the materials so used, both in words and figures, in relation to the finished goods to be manufactured and cleared. However, if any particulars given in the declaration have undergone any changes, the revised declaration shall be filed by the manufacturer;
- (b) the manufacturer shall submit a statement containing details namely quantity of opening balance of inputs, quantity of inputs received during the month, value thereof, duty paid or payable thereon, amount of Cenvat Credit taken, quantity of inputs used during the month, quantity of final products manufactured, quantity of inputs lying in stock at the end of the month, amount of Cenvat credit utilised for payment of duty on the final product and amount of Cenvat credit lying in balance to the Assistant Commissioner or Deputy Commissioner of Central Excise, as the case may be, by the 7th day of the next month for claiming refund;
- (c) the Assistant Commissioner or Deputy Commissioner of Central Excise, as the case may be, after such verification, as may be deemed necessary, may refund the balance amount of unutilised credit, if any, at the end of the month under consideration to the manufacturer within a period of 3 months from the date of receipt of the statement; and
- (d) if there is likely to be any delay in the verification, the Assistant Commissioner or Deputy Commissioner of Central Excise, as the case may be, shall refund 80% of the amount on provisional basis by the 30th day of the month following the month under consideration.

**(Rahul Nangare)
Under Secretary to Government of India
[F.No.267/45/2007-CX.8]**

**GOVERNMENT OF INDIA
MINISTRY OF FINANCE
(DEPARTMENT OF REVENUE)**

New Delhi, the 27th March, 2008.

NOTIFICATION No. 20/2008-Central Excise

G.S.R. (E).- In exercise of the powers conferred by sub-section (1) of section 5A of the Central Excise Act, 1944 (1 of 1944), the Central Government, being satisfied that it is necessary in the public interest so to do, hereby makes the following amendments in the notification of the Government of India in the Ministry of Finance (Department of Revenue), No. 20/2007-Central Excise, dated the 25th April, 2007 which was published in the Gazette of India, Extraordinary, vide number G.S.R.307(E) dated the 25th April, 2007, namely:-

In the said notification,-

(I) in the preamble, for the words and figures, "to the amount of duty paid by the manufacturer of goods other than the amount of duty paid by utilization of CENVAT credit under the CENVAT Credit Rules, 2004", the words "to the duty payable on value addition undertaken in the manufacture of the said goods by the said unit" shall be substituted;

(II) for paragraphs 2, 3 and 4, the following shall be substituted, namely:-

'2A. The duty payable on value addition shall be equivalent to the amount calculated as a percentage of the total duty payable on the said excisable goods of the description specified in column (3) of the Table below (hereinafter referred to as the said Table) and falling within the Chapter of the said First Schedule as are given in the corresponding entry in column (2) of the said Table, at the rates specified in the corresponding entry in column (4) of the said Table:

TABLE

S.No.	Chapter of the First Schedule	Description of goods	Rate
(1)	(2)	(3)	(4)
1.	29	All goods	29
2.	30	All goods	56
3.	33	All goods	56
4.	34	All goods	38
5.	38	All goods	34
6.	39	All goods	26
7.	40	Tyres, tubes and flaps	41
8.	72 or 73	All goods	39
9.	74	All goods	15
10.	76	All goods	36
11.	85	Electric motors and generators, electric generating sets and parts thereof	31
12.	Any chapter	Goods other than those mentioned above	36:

Provided that where the duty payable on value addition exceeds the duty paid by the manufacturer on the said goods, other than the amount paid by utilization of CENVAT credit during the month, the duty payable on value addition, shall be deemed to be equal to the duty so paid other than by CENVAT credit.

2B. In cases where all the goods produced by a manufacturer are eligible for exemption under this notification, the exemption contained in this notification shall be subject to the condition that the manufacturer first utilizes

whole of the CENVAT credit available to him on the last day of the month under consideration for payment of duty on goods cleared during such month and pays only the balance amount in cash.

2C. The exemption contained in this notification shall be given effect to in the following manner, namely:-

(a) the manufacturer shall submit a statement of the total duty paid and that paid by utilization of CENVAT credit, on each category of goods specified in the said Table and cleared under this notification, to the Assistant Commissioner of Central Excise or Deputy Commissioner of Central Excise, as the case may be, by the 7th of the next month in which the duty has been paid;

(b) the Assistant Commissioner of Central Excise or the Deputy Commissioner of Central Excise, as the case may be, after such verification as may be deemed necessary, shall refund the duty payable on value addition, computed in the manner as specified in paragraph 2A above to the manufacturer by the 15th of the month following the one in which the statement as at clause (a) above has been submitted.

2D. Notwithstanding anything contained in sub-paragraph 2C,-

(a) the manufacturer at his own option, may take credit of the amount calculated in the manner specified in paragraph 2A in his account current, maintained in terms of the Excise Manual of Supplementary Instructions issued by the Central Board of Excise and Customs. Such amount credited in the account current may be utilized by the manufacturer for payment of duty, in the manner specified under rule 8 of the Central Excise Rules, 2004, in subsequent months, and such payment shall be deemed to be payment in cash;

(b) the credit of the refund amount may be taken by the manufacturer in his account current, by the 7th of the month following the month under consideration;

(c) a manufacturer who intends to avail the option under clause (a) shall exercise his option in writing for availing such option before effecting the first clearance in any financial year and such option shall be effective from the date of exercise of the option and shall not be withdrawn during the remaining part of the financial year;

(d) the manufacturer shall submit a statement of the total duty payable as well as the duty paid by utilization of CENVAT credit or otherwise and the credit taken as per clause (a), on each category of goods manufactured and cleared under the notification and specified in the said Table, to the Assistant Commissioner of Central Excise or Deputy Commissioner of Central Excise, as the case may be, by the 15th of the month in which the credit has been so taken;

(e) the Assistant Commissioner of Central Excise or the Deputy Commissioner of Central Excise, as the case may be, after such verification, as may be deemed necessary, shall determine the amount correctly refundable to the manufacturer and intimate to the manufacturer by the 15th day of the next month to the month in which the statement under clause (d) has been submitted. In case the credit taken by the manufacturer is in excess of the amount determined, the manufacturer shall, within five days from the receipt of the intimation, reverse the said excess credit from the account current maintained by him. In case, the credit taken by the manufacturer is less than the amount of refund determined, the manufacturer shall be eligible to take credit of the balance amount;

(f) in case the manufacturer fails to comply with the provisions of clauses (a) to (e), he shall forfeit the option, to take credit of the amount calculated in the manner specified in sub-paragraph 2A in his account current on his own, as provided for in clauses (a) to (c);

(g) the amount of the credit availed irregularly or availed of in excess of the amount determined correctly refundable under clause (e) and not reversed by the manufacturer within the period specified therein, shall be recoverable as if it is a recovery of duty of excise erroneously refunded. In case such irregular or excess credit is utilised for payment of excise duty on clearances of excisable goods, the said goods shall be considered to have been cleared without payment of duty to the extent of utilisation of such irregular or excess credit.

Explanation.-For the purposes of this paragraph, duty paid by utilisation of the amount credited in the account current, shall be taken as payment of duty by way other than utilisation of CENVAT credit under the CENVAT Credit Rules, 2004.

3. (1) Notwithstanding anything contained in paragraph 2A, the manufacturer shall have the option not to avail the rates specified in the said Table and apply to the Commissioner of Central Excise or the Commissioner of Customs and Central Excise, as the case may be, having jurisdiction over the manufacturing unit of the manufacturer for fixation of a special rate representing the actual value addition in respect of any goods manufactured and cleared under this notification, if the manufacturer finds that four-fifths of the ratio of actual value addition in the production or manufacture of the said goods to the value of the said goods, is more than the rate specified in the said Table expressed as a percentage. For the said purpose, the manufacturer may, within sixty days from the beginning of a financial year, make an application in writing to the Commissioner of Central Excise or the Commissioner of Customs and Central Excise, as the case may be, for determination of such special rate, stating all relevant facts including the proportion in which the materials or components are used in the production or manufacture of goods:

Provided that the Commissioner of Central Excise or the Commissioner of Customs and Central Excise may, if he is satisfied that the manufacturer was prevented by sufficient cause from making the application within the aforesaid time, allow such manufacturer to make the application within a further period of thirty days:

Provided further that the manufacturer supports his claim for a special rate with a certificate from his statutory auditor containing an estimate of value addition in the case of goods for which a claim is made, based on the audited balance sheet of the unit, for the preceding financial year;

(2) On receipt of the application referred to in sub-paragraph (1), the Commissioner of Central Excise or Commissioner of Customs and Central Excise, as the case may be, after making or causing to be made such inquiry as he deems fit, shall fix the special rate within a period of six months of such application;

(3) Where the manufacturer desires that he may be granted refund provisionally till the time the special rate is fixed, he may, while making the application, apply to the Commissioner of Central Excise or the Commissioner of Customs and Central Excise, as the case may be, in writing for grant of provisional refund at the rate specified in column (4) of the said Table for the goods of description specified in column (3) of the said Table and falling in Chapter of the First Schedule of the Central Excise Tariff Act, 1985 (5 of 1986) as in corresponding entry in column (2) of the said Table, and on finalization of the special rate, necessary adjustments be made in the subsequent refunds admissible to the manufacturer in the month following the fixation of such special rate.

(4) Where the Central Government considers it necessary so to do, it may-

(a) revoke the special rate or amount of refund as determined under sub-paragraph (2) by the Commissioner of Central Excise or the Commissioner of Customs and Central Excise, as the case may be, or

(b) direct the Commissioner of Central Excise or the Commissioner of Customs and Central Excise, as the case may be, to withdraw the rate so fixed.

Explanation: For the purpose of this paragraph, the actual value addition in respect of said goods shall be calculated on the basis of the financial records of the preceding financial year, taking into account the following:

(i) Sale value of the said goods excluding excise duty, Value Added Tax and other indirect taxes, if any, paid on the goods;

(ii) Less: Cost of raw materials and packing material consumed in the said goods;

(iii) Less: Cost of fuel consumed if eligible for input credit under CENVAT Credit Rules, 2004;

(iv) Plus: Value of said goods available as inventory in the unit but not cleared, at the end of the financial year;

(v) Less: Value of said goods available as inventory in the unit but not cleared, at the end of the financial year preceding that under consideration.

Special rate would be the ratio of actual value addition in the production or manufacture of the said goods to the sale value of the said goods excluding excise duty, Value Added Tax and other indirect taxes, if any, paid on the goods.

(5) The manufacturer shall be entitled to refund at the special rate fixed under sub-paragraph (2) in respect of all clearances of excisable goods manufactured and cleared under this notification with effect from the date

on which the application referred to at sub-paragraph (1) was filed with the Commissioner of Central Excise or Commissioner of Central Excise and Customs, as the case may be.

(6) Where a special rate is fixed under sub-paragraph (2), the refund payable in a month shall be equivalent to the amount calculated as a percentage of the total duty payable on such excisable goods, at the rate so fixed: Provided that the refund shall not exceed the amount of duty paid on such goods, other than by utilization of CENVAT credit.'

2. This notification shall come into force with effect from the 1st day of April, 2008.

[F.No. 334/1/2008-TRU]

(S.Bajaj)

Under Secretary to the Government of India

Note:- The principal notification No. 20/2007-Central Excise, dated 25th April, 2007 was published in the Gazette of India, Extraordinary, vide number G.S.R.307(E), dated the 25th April, 2007.

**GOVERNMENT OF INDIA
MINISTRY OF FINANCE
(DEPARTMENT OF REVENUE)**

New Delhi, the 10th June, 2008.

NOTIFICATION No. 31/2008-Central Excise

G.S.R. (E).- In exercise of the powers conferred by sub-section (1) of section 5A of the Central Excise Act, 1944 (1 of 1944), read with sub-section (3) of section 3 of the Additional Duties of Excise (Goods of Special Importance) Act, 1957 (58 of 1957) and sub-section (3) of section 3 of the Additional Duties of Excise (Textile and Textile Articles) Act, 1978 (40 of 1978), the Central Government, being satisfied that it is necessary in the public interest so to do, hereby makes the following further amendments in the notification of the Government of India in the Ministry of Finance (Department of Revenue), No. 32/99-Central Excise, dated the 8th July, 1999 which was published in the Gazette of India, Extraordinary, Part II, Section 3, Sub-section (i) vide number G.S.R. 508 (E), dated the 8th July, 1999, namely:-

In the said notification,-

(i) in paragraph 2A,-

(a) after the words, brackets and figure “corresponding entry in column (2) of the said Table,” the words, brackets and figure “when manufactured starting from inputs specified in the corresponding entry in column (5) of the said Table in the same factory,” shall be inserted;

(b) for the Table, the following Table shall be substituted, namely:-

TABLE

S.No.	Chapter of the First Schedule	Description of goods	Rate	Description of inputs for manufacture of goods in column (3)
(1)	(2)	(3)	(4)	(5)
1.	29	All goods	29	Any goods
2.	30	All goods	56	Any goods
3.	33	All goods	56	Any goods
4.	34	All goods	38	Any goods
5.	38	All goods	34	Any goods
6.	39	All goods	26	Any goods
7.	40	Tyres, tubes and flaps	41	Any goods
8.	72 or 73	All goods	39	Any goods, other than iron ore
9.	74	All goods	15	Any goods
10.	76	All goods	36	Any goods
11.	85	Electric motors and generators, electric generating sets and parts thereof	31	Any goods

12.	25	Cement or cement clinker	75	Limestone and gypsum
13.	17 or 35	Modified starch/glucose	75	Maize
14.	18	Cocoa butter or powder	75	Cocoa beans
15.	72 or 73	Iron and steel products	75	Iron ore
16.	Any chapter	Goods other than those mentioned above in S.Nos.1 to 15	36	Any goods

(ii) In paragraph 2.1, -

(a) for sub-paragraph (1), the following shall be substituted, namely:-

“(1) Notwithstanding anything contained in paragraph 2A, the manufacturer shall have the option not to avail the rates specified in the said Table and apply to the Commissioner of Central Excise or the Commissioner of Customs and Central Excise, as the case may be, having jurisdiction over the manufacturing unit of the manufacturer for fixation of a special rate representing the actual value addition in respect of any goods manufactured and cleared under this notification, if the manufacturer finds that the actual value addition in the production or manufacture of the said goods is at least 115 per cent of the rate specified in the said Table and for the said purpose, the manufacturer may make an application in writing to the Commissioner of Central Excise or the Commissioner of Customs and Central Excise, as the case may be, not later than the 30th day of September in a financial year for determination of such special rate, stating all relevant facts including the proportion in which the material or components are used in the production or manufacture of goods:

Provided that the Commissioner of Central Excise or the Commissioner of Customs and Central Excise, as the case may be, may, if he is satisfied that the manufacturer was prevented by sufficient cause from making the application within the aforesaid time, allow such manufacturer to make the application within a further period of thirty days:

Provided further that the manufacturer supports his claim for a special rate with a certificate from his statutory Auditor containing a calculation of value addition in the case of goods for which a claim is made, based on the audited balance sheet of the unit for the preceding financial year:

Provided also that a manufacturer that commences commercial production on or after the 1st day of April, 2008 may file an application in writing to the Commissioner of Central Excise or the Commissioner of Customs and Central Excise, as the case may be, for the fixation of a special rate not later than the 30th day of September of the financial year subsequent to the year in which it commences production.

(1A) Nothing contained in sub-paragraph (1) shall apply to a unit manufacturing goods falling under Serial Nos. 12, 13, 14 or 15 of the Table.”

(b) in sub-paragraph (2), for the words “six months”, the words “three months” shall be substituted;

(c) for sub-paragraph (5), the following sub-paragraph shall be substituted, namely:-

“(5) The manufacturer shall be entitled to refund at the special rate fixed under sub-paragraph (2) in respect of all clearances of excisable goods manufactured and cleared under this notification with effect from the 1st day of April of the year in which the application referred to at sub-paragraph (1) was filed with the Commissioner of Central Excise or Commissioner of Central Excise and Customs, as the case may be:

Provided that in cases where the application referred to in sub-paragraph (1) had already been filed prior to the 10th day of June, 2008, the manufacturer shall be entitled to refund at the special rate fixed under

sub-paragraph (2) in respect of all clearances of excisable goods manufactured and cleared under this notification with effect from the 1st day of April, 2008.”

(d) after sub-paragraph (5), the following shall be inserted, namely:-

“(5A) A manufacturer who commences commercial production on or after the 1st day of April, 2008, shall be entitled to refund at the special rate fixed under sub-paragraph (2) against his first application in respect of all clearances of excisable goods manufactured and cleared under this notification with effect from the date of commencement of such commercial production and the difference between the refund payable at such special rate and the actual refund paid to him from the date of commencement of commercial production till the date of fixation of special rate, during the period shall be refunded to him.”

(iii) after paragraph 2.1, the following shall be inserted, namely:-

“2.2 (1) In case the total amount of refund paid or payable to a manufacturer in respect of goods cleared from a unit during a financial year is less than the total duty paid by him on the said goods, other than the amount paid by utilization of CENVAT credit, for the year, the differential amount, if any, shall be refunded to him subject to the condition that the total refund made to him during the year, including the aforesaid differential amount, does not exceed the total duty payable on value addition whether at the rate specified in the Table or at the special rate fixed under paragraph 2.1.

(2) The Assistant Commissioner of Central Excise or the Deputy Commissioner of Central Excise, as the case may be, shall refund the differential amount, if any, to the manufacturer not later than the 15th day of May in the subsequent financial year. “

[F. No. 334/1/2008-TRU]
(Unmesh Wagh)

Under Secretary to the Government of India.

Note:- The principal notification No. 32/99-Central Excise, dated the 8th July, 1999 was published in the Gazette of India, Extraordinary, Part II, Section 3, Sub-section (i) vide number G.S.R. 508(E), dated the 8th July, 1999 and was last amended vide notification no. 17/2008-Central Excise, dated the 27th March, 2008 vide number G.S.R. 222(E), dated the 27th March, 2008.

**GOVERNMENT OF INDIA
MINISTRY OF FINANCE
(DEPARTMENT OF REVENUE)**

New Delhi, the 10th June, 2008.

NOTIFICATION No. 32/2008-Central Excise

G.S.R (E).- In exercise of the powers conferred by sub-section (1) of section 5A of the Central Excise Act, 1944 (1 of 1944), read with sub-section (3) of section 3 of the Additional Duties of Excise (Goods of Special Importance) Act, 1957 (58 of 1957) and sub-section (3) of section 3 of the Additional Duties of Excise (Textile and Textile Articles) Act, 1978 (40 of 1978), the Central Government, being satisfied that it is necessary in the public interest so to do, hereby makes the following amendments in the notification of the Government of India in the Ministry of Finance (Department of Revenue), No. 33/99-Central Excise, dated the 8th July, 1999 which was published in the Gazette of India, Extraordinary, Part II, Section 3, Sub-section (i) vide number G.S.R. 509(E), dated the 8th July, 1999 namely:-

In the said notification,-

- (i) in paragraph 2A,-
- (a) after the words, brackets and figure “corresponding entry in column (2) of the said Table,” the words, brackets and figure “when manufactured starting from inputs specified in the corresponding entry in column (5) of the said Table in the same factory,” shall be inserted;
- (b) for the Table, the following Table shall be substituted, namely:-

TABLE

S. No.	Chapter of the First Schedule	Description of goods	Rate	Description of inputs for manufacture of goods in column (3)
(1)	(2)	(3)	(4)	(5)
1.	29	All goods	29	Any goods
2.	30	All goods	56	Any goods
3.	33	All goods	56	Any goods
4.	34	All goods	38	Any goods
5.	38	All goods	34	Any goods
6.	39	All goods	26	Any goods
7.	40	Tyres, tubes and flaps	41	Any goods
8.	72 or 73	All goods	39	Any goods, other than iron ore
9.	74	All goods	15	Any goods
10.	76	All goods	36	Any goods
11.	85	Electric motors and generators, electric generating sets and parts thereof	31	Any goods
12.	25	Cement or cement clinker	75	Limestone and gypsum
13.	17 or 35	Modified starch/glucose	75	Maize
14.	18	Cocoa butter or powder	75	Cocoa beans
15.	72 or 73	Iron and steel products	75	Iron ore
16.	Any chapter	Goods other than those mentioned above in S.Nos.1 to 15	36	Any goods

(ii) In paragraph 2.1, -

(a) for sub-paragraph (1), the following shall be substituted, namely:-

“(1) Notwithstanding anything contained in paragraph 2A, the manufacturer shall have the option not to avail the rates specified in the said Table and apply to the Commissioner of Central Excise or the Commissioner of Customs and Central Excise, as the case may be, having jurisdiction over the manufacturing unit of the manufacturer for fixation of a special rate representing the actual value addition in respect of any goods manufactured and cleared under this notification, if the manufacturer finds that the actual value addition in the production or manufacture of the said goods is at least 115 per cent of the rate specified in the said Table and for the said purpose, the manufacturer may make an application in writing to the Commissioner of Central Excise or the Commissioner of Customs and Central Excise, as the case may be, not later than the 30th day of September in a financial year for determination of such special rate, stating all relevant facts including the proportion in which the material or components are used in the production or manufacture of goods:

Provided that the Commissioner of Central Excise or the Commissioner of Customs and Central Excise, as the case may be, may, if he is satisfied that the manufacturer was prevented by sufficient cause from making the application within the aforesaid time, allow such manufacturer to make the application within a further period of thirty days:

Provided further that the manufacturer supports his claim for a special rate with a certificate from his statutory Auditor containing a calculation of value addition in the case of goods for which a claim is made, based on the audited balance sheet of the unit for the preceding financial year:

Provided also that a manufacturer that commences commercial production on or after the 1st day of April, 2008 may file an application in writing to the Commissioner of Central Excise or the Commissioner of Customs and Central Excise, as the case may be, for the fixation of a special rate not later than the 30th day of September of the financial year subsequent to the year in which it commences production.

(1A) Nothing contained in sub-paragraph (1) shall apply to a unit manufacturing goods falling under Serial Nos. 12, 13, 14 or 15 of the Table.”

(b) in sub-paragraph (2), for the words “six months”, the words “three months” shall be substituted;

(c) for sub-paragraph (5), the following sub-paragraph shall be substituted, namely:-

“(5) The manufacturer shall be entitled to refund at the special rate fixed under sub-paragraph (2) in respect of all clearances of excisable goods manufactured and cleared under this notification with effect from the 1st day of April of the year in which the application referred to at sub-paragraph (1) was filed with the Commissioner of Central Excise or Commissioner of Central Excise and Customs, as the case may be:

Provided that in cases where the application referred to in sub-paragraph (1) had already been filed prior to the 10th day of June, 2008, the manufacturer shall be entitled to refund at the special rate fixed under sub-paragraph (2) in respect of all clearances of excisable goods manufactured and cleared under this notification with effect from the 1st day of April, 2008.”

(d) after sub-paragraph (5), the following shall be inserted, namely:-

“(5A) A manufacturer who commences commercial production on or after the 1st day of April, 2008, shall be entitled to refund at the special rate fixed under sub-paragraph (2) against his first application in respect of all clearances of excisable goods manufactured and cleared under this notification with effect from the date of

commencement of such commercial production and the difference between the refund payable at such special rate and the actual refund paid to him from the date of commencement of commercial production till the date of fixation of special rate, during the period shall be refunded to him.”

(iii) after paragraph 2.1, the following shall be inserted, namely:-

“2.2 (1) In case the total amount of refund paid or payable to a manufacturer in respect of goods cleared from a unit during a financial year is less than the total duty paid by him on the said goods, other than the amount paid by utilization of CENVAT credit, for the year, the differential amount, if any, shall be refunded to him subject to the condition that the total refund made to him during the year, including the aforesaid differential amount, does not exceed the total duty payable on value addition whether at the rate specified in the Table or at the special rate fixed under paragraph 2.1.

(2) The Assistant Commissioner of Central Excise or the Deputy Commissioner of Central Excise, as the case may be, shall refund the differential amount, if any, to the manufacturer not later than the 15th day of May in the subsequent financial year. “

[F. No. 334/1/2008-TRU]
(Unmesh Wagh)

Under Secretary to the Government of India.

Note:- The principal notification No. 33/99-Central Excise, dated the 8th July, 1999 was published in the Gazette of India, Extraordinary, Part II, Section 3, Sub-section (i) vide number G.S.R. 509(E), dated the 8th July, 1999 and was last amended vide notification no. 18/2008-Central Excise, dated the 27th March, 2008 vide number G.S.R. 223(E), dated the 27th March, 2008.

**GOVERNMENT OF INDIA
MINISTRY OF FINANCE
(DEPARTMENT OF REVENUE)**

New Delhi, the 10th June, 2008.

NOTIFICATION No. 38 /2008-Central Excise

G.S.R. (E).- In exercise of the powers conferred by sub-section (1) of section 5A of the Central Excise Act, 1944 (1 of 1944), the Central Government, being satisfied that it is necessary in the public interest so to do, hereby makes the following further amendments in the notification of the Government of India in the Ministry of Finance (Department of Revenue), No. 20/2007-Central Excise, dated the 25th April, 2007 which was published in the Gazette of India, Extraordinary, Part II, Section 3, Sub-section (i) vide number G.S.R.307(E), dated the 25th April, 2007, namely:-

In the said notification,-

- (i) in paragraph 2A,-
- (a) after the words, brackets and figure “corresponding entry in column (2) of the said Table,” the words, brackets and figure “when manufactured starting from inputs specified in the corresponding entry in column (5) of the said Table in the same factory,” shall be inserted;
- (b) for the Table, the following Table shall be substituted, namely:-

TABLE

S. No.	Chapter of the First Schedule	Description of goods	Rate	Description of inputs for manufacture of goods in column (3)
(1)	(2)	(3)	(4)	(5)
1.	29	All goods	29	Any goods
2.	30	All goods	56	Any goods
3.	33	All goods	56	Any goods
4.	34	All goods	38	Any goods
5.	38	All goods	34	Any goods
6.	39	All goods	26	Any goods
7.	40	Tyres, tubes and flaps	41	Any goods
8.	72 or 73	All goods	39	Any goods, other than iron ore
9.	74	All goods	15	Any goods
10.	76	All goods	36	Any goods
11.	85	Electric motors and generators, electric generating sets and parts thereof	31	Any goods
12.	25	Cement or cement clinker	75	Limestone and gypsum
13.	17 or 35	Modified starch/glucose	75	Maize
14.	18	Cocoa butter or powder	75	Cocoa beans
15.	72 or 73	Iron and steel products	75	Iron ore
16.	Any chapter	Goods other than those mentioned above in S.Nos.1 to 15	36	Any goods

(ii) In paragraph 3, -

(a) for sub-para (1), the following shall be substituted, namely :-

“(1) Notwithstanding anything contained in paragraph 2A, the manufacturer shall have the option not to avail the rates specified in the said Table and apply to the Commissioner of Central Excise or the Commissioner of Customs and Central Excise, as the case may be, having jurisdiction over the manufacturing unit of the manufacturer for fixation of a special rate representing the actual value addition in respect of any goods manufactured and cleared under this notification, if the manufacturer finds that the actual value addition in the production or manufacture of the said goods is at least 115 per cent of the rate specified in the said Table and for the said purpose, the manufacturer may make an application in writing to the Commissioner of Central Excise or the Commissioner of Customs and Central Excise, as the case may be, not later than the 30th day of September in a financial year for determination of such special rate, stating all relevant facts including the proportion in which the material or components are used in the production or manufacture of goods:

Provided that the Commissioner of Central Excise or the Commissioner of Customs and Central Excise, as the case may be, may, if he is satisfied that the manufacturer was prevented by sufficient cause from making the application within the aforesaid time, allow such manufacturer to make the application within a further period of thirty days:

Provided further that the manufacturer supports his claim for a special rate with a certificate from his statutory Auditor containing a calculation of value addition in the case of goods for which a claim is made, based on the audited balance sheet of the unit for the preceding financial year:

Provided also that a manufacturer that commences commercial production on or after the 1st day of April, 2008 may file an application in writing to the Commissioner of Central Excise or the Commissioner of Customs and Central Excise, as the case may be, for the fixation of a special rate not later than the 30th day of September of the financial year subsequent to the year in which it commences production.

(1A) Nothing contained in sub-para (1) shall apply to a unit manufacturing goods falling under Serial Nos. 12, 13, 14 or 15 of the Table.”

(b) in sub-para (2), for the words “six months”, the words “three months” shall be substituted;

(c) for sub-para (5), the following sub para shall be substituted, namely:-

“(5) The manufacturer shall be entitled to refund at the special rate fixed under sub-paragraph (2) in respect of all clearances of excisable goods manufactured and cleared under this notification with effect from the 1st day of April of the year in which the application referred to at sub-paragraph (1) was filed with the Commissioner of Central Excise or Commissioner of Central Excise and Customs, as the case may be:

Provided that in cases where the application referred to in sub-paragraph (1) had already been filed prior to the 10th day of June, 2008, the manufacturer shall be entitled to refund at the special rate fixed under sub-paragraph (2) in respect of all clearances of excisable goods manufactured and cleared under this notification with effect from the 1st day of April, 2008.”

(d) after sub-para (5), the following shall be inserted, namely:-

“(5A) A manufacturer who commences commercial production on or after the 1st day of April, 2008, shall be entitled to refund at the special rate fixed under sub-paragraph (2) against his first application in respect of all clearances of excisable goods manufactured and cleared under this notification with effect from the date of commencement of such commercial production and the difference between the refund payable at such special rate and the actual refund paid to him from the date of commencement of commercial production till the date of fixation of special rate, shall be refunded to him.”

(iii) after paragraph 3, the following shall be inserted, namely:-

“4. (1) In case the total amount of refund paid or payable to a manufacturer in respect of goods cleared from a unit during a financial year is less than the total duty paid by him on the said goods, other than the amount paid by utilization of CENVAT credit, for the year, the differential amount, if any, shall be refunded to him subject to the condition that the total refund made to him during the year, including the aforesaid differential amount, does not exceed the total duty payable on value addition whether at the rate specified in the Table or at the special rate fixed under paragraph 3.

(2) The Assistant Commissioner of Central Excise or the Deputy Commissioner of Central Excise, as the case may be, shall refund the differential amount, if any, to the manufacturer not later than the 15th day of May in the subsequent financial year. “

[F. No. 334/1/2008-TRU]
(Unmesh Wagh)

Under Secretary to the Government of India.

Note:- The principal notification No. 20/2007-Central Excise, dated the 25th April, 2007 was published in the Gazette of India, Extraordinary, Part II, Section 3, Sub-section (i) vide number G.S.R.307(E), dated the 25th April, 2007 and was last amended vide notification no.20/2008-Central Excise, dated the 27th March, 2008 vide number G.S.R. 225(E), dated the 27th March, 2008.

**MINIMUM REQUIREMENT FOR 2 STARS AND ABOVE CATEGORIES OF HOTELS
(Based on circulars of Ministry of Tourism, Government of India.)**

N: necessary, D: Desirable

Facilities & Services	2*	3*	4*	5* / 5* deluxe	Comments
Primarily transient , full time operations, 7 days a week in season	N	N	N	N	Max 10% of rooms for commercial use in Hotel block or as per local law. At least one room equipped for the physically challenged.
Establishment to have all necessary trading licences	N	N	N	N	
Establishment to have public liability insurance	D	D	D	D	
24 hrs lifts for building higher than ground plus two floors	N	N	N	N	Mandatory for new hotels. Local laws may require a relaxation of this condition. Easy access for Physically challenged persons.
Bedrooms , Bathrooms , Public areas and kitchens fully serviced daily	N	N	N	N	
All floor surfaces clean and in good repair	N	N	N	N	Floor may be of any type.
Guest Rooms					
Minimum 10 lettable rooms. All rooms with outside window/ventilation	N	N	N	N	
Minimum size of bedroom excluding bathroom in sq ft.	120	140	140	200	Single occupancy room may be 20 sq ft less.
Air-conditioning	25 %	50 %	100 %	100%	Air-conditioning/ heating depends on climatic conditions & architecture. Room temperature should be between 20 & 28 deg. C. For 4*,5* and 5* deluxe (the % is , of the total no of rooms.)
A clean change of bed and bath linen daily & between check-in	N	N	N	N	Hotels may have a guest triggered system. For 1* & 2* on alternate days. Definitely required between each check-in.
Minimum bed width for single (90cm) and double beds (135 cm)	N	N	N	N	
Mattress Minimum 10 cm thick	D	N	N	N	Coir foam or spring. Foam covered if cotton.
Minimum bedding 2 sheets , pillow & case , blanket , mattress protector/bed cover	N	N	N	N	Blankets available in air-conditioned rooms and as per seasonal requirement in Non-AC rooms. Mattress protector is desirable in 1* & 2* and necessary for all others.

Sufficient lighting. 1 per bed	N	N	N	N	
A 5 amp earthed power socket	N	N	N	N	
A bedside table & drawer	N	N	N	N	1 per two twins and two for a double bed
TV with remoter- cable if available		N	N	N	
A writing surface with sufficient lighting		N	N	N	
Chairs	N	N	N	N	Preferably one per bedding
Ward robe with minimum 4 clothes hangers per bedding	N	N	N	N	In 1* & 2* these may be without doors
Shelves and drawer space	N	N	N	N	
A waste paper basket	N	N	N	N	
Opaque curtains or screening at all windows	N	N	N	N	
Drinking water plus 1 glass tumbler per guest	N	N	N	N	Water treated with UV + filtration is necessary
A mirror, at least half length (3 ft)	N	N	N	N	
A stationery folder containing stationery and envelops	D	N	N	N	
A “do not disturb” notice		N	N	N	
Night spread / bedcover with nightly turndown service		N	N	N	
In room safe				N	
Mini bar/fridge				N	Contents must conform to local laws.
Iron & Ironing board on request			N	N	
Suites			N	N	2% of room block with a minimum of 1.
Bathrooms					
Percentage of rooms with dedicated (private) bathrooms with room.	75 %	75 %	All	All	Dedicated bath rooms need not be “attached” but must have private access.
Minimum size of bath room in sq ft	30	36	36	45	25% of bathrooms in 1* & 2* to be western style WC.
Communal bathrooms on same floor as rooms of 1* & 2*. Access not through Public areas, kitchen etc.	N	N	NA	NA	All bathrooms , showers stalls lockable. Toilet are to have sanitary bin with lid.
1 bath towel and 1 hand towel to be provided per guest.	N	N	N	N	If no attached/dedicated bath, to provide in room.
One WC brush per toilet seat	N				
Guest toiletries to be provided. Minimum 1 new soap per guest.	N	N	N	N	Where bath room is not attached, toiletries provided in room.
A clothes-hook in each bath/shower room	N	N	N	N	
A sanitary bin	N	N	N	N	In communal bathrooms , these must have a cover.
Floors and walls to have non-porous surfaces	N	N	N	N	
Hot & cold running water available 24 hrs.	D	D	N	N	
Shower closet	N	N	N	N	Where bath-tubs are offered , a shower with shower curtains will suffice.
Bath tubs			D	D	In 4* plus hotels , some rooms should offer this option to guests.

Water saving taps/showers				N	
Energy saving lighting	N	N	N	N	In public areas.
Bottled toiletry products	D	D	N	N	
Hairdryers	D	D	N	N	Where not provided in bath room, must be available on request.
Public areas					
A lounge or seating in the lobby area	N	N	N	N	Size would depend on check in pattern.
Reception facility or means to call attention	N	N	N	N	Manned minimum 16 hrs a day. Call service 24 hrs.
Accommodation, F&B and other tariffs available	N	N	N	N	
Heating and cooling to be provided in enclosed public rooms.			N	N	
Public rest rooms for ladies and gents with soap and clean towels, a washbasin with running hot and cold water, a mirror, a sanitary bin with lid in unisex & ladies toilet	N	N	N	N	In 1* & 2* hotels, this may be unisex. (4* & above should have facility for physically challenged persons.)
Ramps with anti-slip floors and handrails at the entrance. Minimum door width should be 32 inch to allow wheelchair access and other facilities for the physically challenged.	D	D	N	N	Wheel chair access with suitable table in atleast one restaurant.
Public restrooms to have low height urinal (24 inch maxm.)	N	N	N	N	
Facilities for aurally / visually handicapped	D	D	N	N	
Food & beverage					
Dining room serving breakfast & dinner	N	N	N	N	Meal times to be displayed. Service start by 7 am and finish no earlier than 11 pm. Minimum one hour per meal service. Breakfast may be continental. 1 * hotel without dinning room must offer service in rooms. A separate dinning room is not required where there is a restaurant offering this facility.
Multi cuisine restaurant on premises	D	N	N	N	
Speciality restaurant		D	N	N	May be relaxed for 4* hotels located at rural/hilly areas and pilgrim centers.
24 hrs coffee shop		D	D	N	
Full service of all 3 meals in Dinning room	D	N	N	N	
A cooked breakfast be available	D	N	N	N	
Room service of full meals			N	N	In 1* this is ne4cessary if no dinning room. 3* must offer light (pre plated) meals.
Room service of alcoholic beverages			N	N	If permitted by local law.
Crockery & Glassware unchipped	N	N	N	N	Plastic ware accepted in pool area.
Cutlery to be at least stainless steel	N	N	N	N	Plastic ware accepted in pool

Silverware				N	Necessary in 5* D hotels speciality restaurants only.
Bar			N	N	If permitted by local law.
Kitchen					
Refrigerator with deep freeze	N	N	N	N	Capacity based on size of F&B services.
Segregated storage of meat , fish and vegetables	N	N	N	N	Meat & fish in freezers. Vegetables must be separate.
Tiled wall , non slip floor	N	N	N	N	
Head covering for production staff	N	N	N	N	
Daily germicidal cleaning of floors	N	N	N	N	
Clean utensils	N	N	N	N	
Six monthly medical check for production staff	N	N	N	N	
All food grade equipment, containers	N	N	N	N	
Ventilation system	N	N	N	N	
First-aid-training for all kitchen staff	N	N	N	N	
Drinking water	N	N	N	N	Water treated with UV + filtration is acceptable.
Garbage to be segregated, wet and dry	N	N	N	N	To encourage recycling
Wet garbage are to be air-conditioned for 3* to 5* Deluxe category		N	N	N	
Receiving and stores to be clean and distinct from garbage area	N	N	N	N	
Staff quality					
Staff Uniform for front of the house	N	N	N	N	Uniform to be clean and in good repair.
Front office staff English speaking		N	N	N	This may be relaxed out side the metros/sub metros.
Percentage of staff with minimum one year certificate course from Government recognized catering/hotel institute.	15 %	20 %	25 %	30%	This may be relaxed for hotels in rural , pilgrimage and hill areas.
Staff Welfare facilities					
Staff rest room	D	N	N	N	
Staff locker rooms	D	N	N	N	
Toilet facilities	N	N	N	N	
Dining area	D	D	N	N	
Guest Services					
Valet (pressing) services to be available	N	N	N	N	
Laundry & dry cleaning service to be provided	D	D	N	N	Service can be next day. In resort destination, hill, rural & pilgrimage areas dry cleaning services may be relaxed.
Paid transportation on call	D	N	N	N	Guest should be able to travel from Hotel.
Shoe cleaning service		N	N	N	May be charged. Shoe cleaning machines are acceptable in corridors for 4*, 5*/5D*.

Ice (from drinking water) on demand	D	N	N	N	Ice machines access able to guests are acceptable. May be placed in corridors for 4*, 5* & 5D.
Acceptance of common credit cards	D	N	N	N	
Assistance with luggage on request	N	N	N	N	
A public telephone on premises. Unit charges made known.	D	N	N	N	There should be at least one telephone no higher than 24 inch from floor level in 5/5D.
Wake-up call service on request	N	N	N	N	
Message for guests to be recorded and delivered.	N	N	N	N	A prominently displayed message board will suffice for 1* & 2*.
Name, address and telephone numbers of doctors with front desk	N	N	N	N	Doctors on call in 3,4,5,5* deluxe.
Stamp & mailing facilities	D	N	N	N	
News paper available	N	N	N	N	This may be I lounge for 1*, 2* and 3* hotels.
Access to travel desk facilities	N	N	N	N	This need not be on premise for 1* to 3* hotels.
Left luggage facilities	D	N	N	N	This must be lockable room/ 24 hrs staffed area.
Provision for emergency supplies- toiletries / first aid kit.	D	N	N	N	This may be chargeable item.
Health / fitness facilities	D	D	D	N	Indian system of treatments should also be preferably offered.
Beauty saloon and barber shop			D	N	
Florist			D	D	
Shop / Kiosk	D	N	N	N	Newsstand , toiletries , novelties, games in resorts.
Money changing facilities	D	D	N	N	
Bookshop	D	D	N	N	
Safety & security					
Staff trained in firefighting drill	N	N	N	N	Quarterly drill or as per law.
Security arrangements for all hotel entrance	N	N	N	N	
Each bedroom door fitted with lock and key, view port / peephole & internal securing device		N	N	N	A safety chain/ wishbone latch is acceptable in place of view port / peephole.
Safe keeping facilities available	N	N	N	N	
Smoke detectors	N	N	N	N	These can be battery operated
Fire & emergency procedure notices displayed in rooms behind doors.	N	N	N	N	
Fire and emergency alarms should have visual & audible signals.	N	N	N	N	
First aid kits with over the counter medicines with front desk.	N	N	N	N	
Communication facilities					
A telephone for incoming & outgoing calls in the room.	N	N	N	N	4* plus should have direct dial and STD/ISD facilities. 1*, 2* and 3* may go through exchange.

PC available for guest use with internet access	D	N	N	N	This can be paid service. Upto 3* , PC can be in executive offices. Internet subject to local access being available.
E-mail service	D	N	N	N	Subject to local internet access being available.
Fax & Photocopy service	N	N	N	N	
In room internet connection/data port	D	D	D	N	Subject to local internet access being available
Others					
Business Centre	D	D	N	N	This should be a dedicated area. In resort destinations, tourist and pilgrimage centers this may be relaxed.
Swimming pool		D	D	N	This can be relaxed for hill destinations.
Parking facilities	D	N	N	N	Exclusively earmarked accessible parking , nearest to the entrance for physically challenged persons.
Conference facilities	D	D	D	N	Should be adequate in relation to the no of rooms & banquet/ convention hall capacities.

Classification of Hotels/Resorts normally given by a Committee called by “The Hotel & Restaurant Approval & Classification Committee” under ministry of Tourism , Government of India.

Address:

Member Secretary (HRACC)/ Hotel and Restaurant Division.

Department of Tourism , Government of India

C-1 Hutments, Dalhousie Road , New Delhi – 110 011.

**MINISTRY OF ENVIRONMENT AND FORESTS
NOTIFICATION
New Delhi, the 2nd September, 1999**

S. 0. 705 (E-).-Whereas draft rules in exercise of the powers conferred by clause (viii) of sub section (2) of section 3 read with section 25 of the Environment (Protection) Act, 1986 (29 of 1986) was published in the Gazette vide S.O. 980 (E) dated 20th November, 1998 entitled Recycled Plastics Usage Rules, 1998 inviting objections from the public within 60 days from the date of the publication of the said notification and whereas all objections received were duly considered;

Now, therefore, in exercise of the powers conferred by clause (viii) of sub-section (2) of section 3 read with section 25 of the Environment (Protect 1 on) Act. 1986, the Central Government hereby notifies the rules for the manufacture and use of recycled plastics carry bags and containers;

1 Short title and commencement:

- (a) These rules may be called the Recycled Plastics Manufacture and Usage Rules. 1999.
- (b) They, shall come into force on the date of their publication in the Official Gazette.

2. Definitions:- In these rules unless the context requires,

- (a) "Act" means the Environment (Protection) Act, 1986:
- (b) "Foodstuffs" means ready-to-eat food and food products. fast food, processed and cooked food in liquid, powder, solid or semi-solid form:
- (c) "Vendor" means person who sells foodstuffs as defined above packaged and stored in plastic carry bags and containers.

3. Prescribed Authority, —

- (a) The prescribed authority for enforcement of the provisions of these rules related to manufacture and recycling shall be the State Pollution Control Boards in respect of States and the Pollution Control Committees in respect of Union Territories;
- (b) The prescribed authority for enforcement of the provisions of these rules related to the use, collection, segregation, transportation and disposal shall be the District Collector/Deputy Commissioner of the concerned district where no Such Authority has been constituted by the State Government/Union Territory administration under any law regarding non-biodegradable garbage.

4. Prohibition of usage of carry bags -or containers made of recycled plastics, — No vendor shall use carry bags or containers made of recycled plastics for storing, carrying, dispensing, or packaging of foodstuffs.

5. Conditions of Manufacture of carry bags and containers, made of plastics,— Subject to the provisions of rule 4, any person may manufacture carry bags or containers made of plastics if the following conditions are satisfied, namely-

- (a) Carry bags and containers made of virgin plastic shall be in natural shade or white;
- (b) Carry bags and containers made of recycled plastic and used for purposes other than storing and packaging foodstuffs shall be manufactured using pigments colourants as per IS:9833:1981 entitled "List of pigments and colourants for use in plastics in contact with foodstuffs, pharmaceuticals and drinking water".

6. Recycling,— Recycling of plastics shall be undertaken strictly in accordance with the Bureau of Indian Standards specification: IS 14534: 1998 entitled "The Guidelines for Recycling of Plastics".

7. Marking/codification,— Manufacturers of recycled plastic carry bags having printing facilities shall code/mark carry bags and containers as per Bureau of Indian Standard Specification: IS 14534: 1998 entitled "The Guidelines for Recycling of Plastics" and the end product made out of recycled plastics shall be marked as

“recycled” along with the indication of the percentage of use of recycled material. Other manufacturers, who do not have printing facilities, shall comply with the condition within one year of publication of these rules. Manufacturers shall print on each packet of carry bags as to whether these are made of “recycled material” or of “virgin plastic”.

8. **Thickness of Carry bags,**— The minimum thickness of carry bags made of virgin plastics or recycled plastics shall not be less than 20 microns.

9. **Self regulation by certain persons,**— Without prejudice to the provisions contained in rule 3, the Plastics Industry Association, through their member units, shall undertake self-regulatory measures.

[File No. 15(4)/96-HSMD]
V. RAJAGOPALAN, Jt. Secy

Ministry of Environment and Forests
NOTIFICATION
New Delhi, the 17th June, 2003

S.O. 698 (E).- Whereas certain draft rules to amend the Recycled Plastics Manufacture and Usage Rules, 1999 made by the Central Government in exercise of the powers conferred by clause (viii) of sub-section (2) of section 3 read section 25 of the Environment (Protection) Act, 1986 (29 of 1986) were published in the Gazette of India, Extraordinary, Part II, Section 3, Sub-section (ii) *vide* S. O. 685 (E) dated the 1st July, 2002, inviting objections and suggestions from all persons likely to be affected thereby within a period of sixty days from the date of publication of the said draft;

And whereas copies of the Gazette containing the said draft rules were made available to the public 11th July, 2002;

And whereas objections and suggestions received within the aforesaid period have been duly considered by the Central Government;

Now, therefore, in exercise of the powers conferred by section 6 read with clause (viii) of sub-section (2) of section 3 and section 25 of Environment (Protection) Act 1986, (29 of 1986) the Central Government hereby makes the following rules to amend the Recycled Plastics Manufacture and Usage Rules, 1999, namely :-

1. (1) These rules may be called the Recycled Plastics Manufacture and Usage (Amendment) Rules, 2003.

(2) They shall come into force on the date of their publication in the Official Gazette.

2. In the Recycled Plastics Manufacture, and Usage Rules, 1999, (herein after referred to as the said rules), in rule 1, for sub-rule (1), the following sub-rule shall be substituted, namely :-

“(1) These rules may be called the Plastics Manufacture, Sale and Usage Rules, 1999.”

3. For rule 2 of the said rules, the following rules shall be substituted, namely:-

“2. **Application.**— The provisions of rules 4 and 8 shall not apply to the manufacture of carry bags exclusively for export purpose, against an order for export received by the owner or occupier of the concerned manufacturing unit;

3. Definitions – In these rules, unless the context otherwise requires, -

(a) “Act” means the Environment (Protection) Act, 1986 (29 of 1986);

(b) “carry bags” means plastic bags which have a self carrying feature commonly known as vest type bags or any other feature used to carry commodities such as “D” punched bags; as illustrated in the Annexure to these rules;

(c) “commodities” includes articles such as vegetables, fruits, pharmaceuticals and the like;

- (d) “container” means flexible or rigid containers made of virgin plastics or recycled plastics with or without lid used to store, carry or dispense commodities;
- (e) “food-stuffs” means ready to eat food articles and food products, fast food, processed or cooked food in liquid, powder, solid or semi-solid form;
- (f) “registration” means registration of units manufacturing carry-bags and containers made of virgin or recycled plastics with the concerned State Pollution Control Board or Pollution Control Committee as the case may be;
- (g) “vendor” means a person who sells food stuffs packed or stored in plastic carry bags or containers.”.

4. For rule 4 of the said rules, the following rule shall be substituted, namely: -

“ 4. Restriction on manufacture, sale, distribution and use of virgin and recycled plastic carry bags and recycled plastic containers. -

(1) No person shall manufacture, stock, distribute or sell carry bags made of virgin or recycled plastic bags which are less than 8 x 12 inches {20 x 30 cms} in size and which do not conform to the minimum thickness specified in rule 8.

(2) No vendor shall use carry bags made of recycled plastic for storing, carrying, dispensing or packaging of foodstuffs.

(3) No vendor shall use containers made of recycled plastics for storing, carrying, dispensing or packaging of foodstuffs”

Explanation. - For the purposes of this rule, the minimum weight of 50 carry bags made of virgin or recycled plastics shall be 105 gms. plus or minus 5% variation and the carry bags of larger sizes shall be of proportionate increase in weight”

5. In rule 7 of the said rules, for the opening words “Manufacturers of”, the words and figures “Subject to the provision of rule 4 and 5, manufacturers of“ shall be substituted.

6. After rule 9 of the said rules, the following rule, annexure and forms shall be added, namely:-

“ 10. Grant of Registration for Manufactures - (1) Every occupier manufacturing carry bags or containers of virgin plastic or recycled plastic or both shall make an application in Form 1 appended to these rules to the State Pollution Control Board or Pollution Control Committee of the union territory concerned for grant of registration or renewal of registration for his unit within four months from the date of publication of the Recycled Plastics Manufacture and Usage (Amendments) Rules 2003 in the official gazette.

(2) On or after the commencement of the Recycled Plastics Manufacture and Usage (Amendments) Rules 2003, no person shall manufacture carry bags or containers irrespective of its size or weight unless the occupier of the unit has registered the unit with the State Pollution Control Board/ Pollution Control Committee prior to the commencement of production;

(3) The State Pollution Control Board or Pollution Control Committee shall not issue and renew a registration certificate of a unit unless that unit meets the norms prescribed under rules 5,6,7 and 8 of these rules and also possess a valid consent under the Water (Prevention and Control of Pollution) Act, 1974 (6 of 1974) and the Air

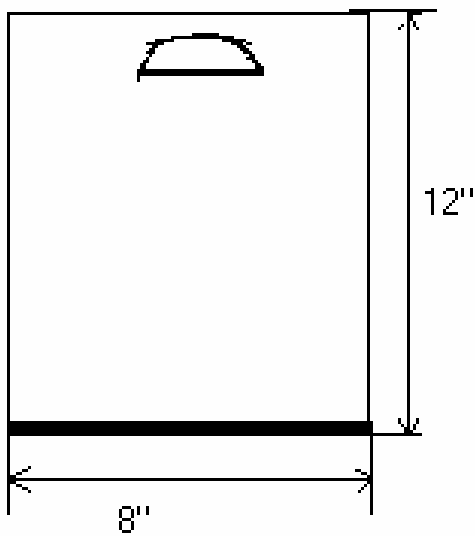
(Prevention and Control of Pollution) Act, 1981 (14 of 1981) as per the requirements laid down by the State Pollution Control Board or Pollution Control Committee.

(4) Every State Pollution Control Board or State Pollution Control Committee shall grant registration within thirty days of receipt of application complete in all respects.

(5) The registration granted under this rule shall, unless revoked suspended or cancelled earlier, be valid for a period of three years.

(6) Every application for renewal of registration shall be made in the Form 1 appended to these rules at least sixty days before the expiry of the validity of registration

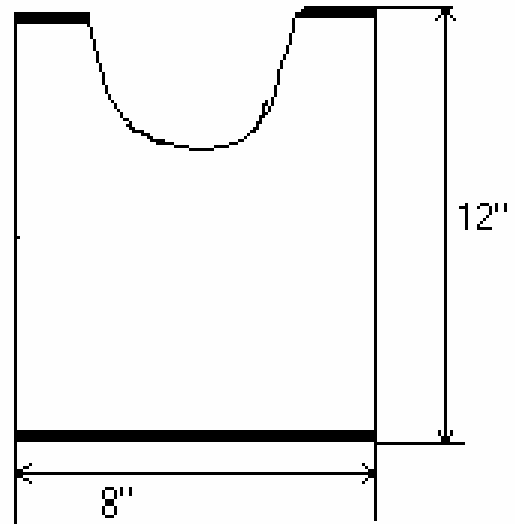
ANNEXURE
[See rule 2 (b)]



“D” Punched Bag

Shape of bags

Figure I



Vest Type Bag

Figure II

FORM - I

[See rules 10(1) and 10(4)]

APPLICATION FOR REGISTRATION OF A UNIT FOR MANUFACTURE OF PLASTIC CARRY BAGS AND CONTAINERS

From:

.....

.....(Name and full address of the occupier)

To

The Member Secretary,

..... Pollution Control Board/Pollution Control Committee

.....

.....

Sir,

I/We hereby apply for registration under rule 10 of the Plastics Manufacture, Sale and Usage Rules, 1999.

**PART – A
GENERAL**

- 1.(a) Name of the unit and location of activity
- (b) Address of the unit
- (c) Registration required for manufacturing of :
 - (i) Carry bag virgin
 - (ii) Carry bag recycled
 - (iii) Containers virgin
 - (iv) Container recycled
- (d) Manufacturing capacity
- (e) In case of renewal of Registration previous
Registration number and date
- 2.(a) Is the unit registered with DCSSI or Department of
Industries of the State Government/Union Territory
Administration?
- (b) If yes, attach a copy.
- 3.(a) Total capital invested on the project
Year of commencement of production
- (b)
- 4 (a) List and quantum of products and by-products
- (b) List and quantum of raw materials used
- 5 Furnish a flow diagram of manufacturing process
showing input and output in terms of products and
waste generated including for captive power
generation and de-mineralized water
- 6 Minimum sizes of carry bags to be manufactured.
(in any case it should not be less than 8” x 12”)
7. Status of compliance with rules 5,6,7 and 8

PART – B**PERTAINING TO LIQUID EFFLUENT AND GASEOUS EMISSIONS**

8. (a) Does the unit have a valid consent under the Water (Prevention and control of Pollution) Act, 1974 (6 of 1974)
If yes, attach a copy
- (b) Does the unit have a valid consent under the Air (Prevention and Control of Pollution) Act, 1981 (14 of 1981)
If yes, attach a copy

PART – C**PERTAINING TO WASTE**

9. Solid Wastes:
- (a) Total quantum of generation
(b) Mode of storage within the plant
(c) Provision made for disposal

Place :

Signature

Designation

Date :

FORM II

[See rule 10(3)]

CERTIFICATE OF REGISTRATION FOR THE MANUFACTURE OF PLASTIC CARRY BAGS AND CONTAINERS

File No. :.....

Dated:.....

To

.....
.....
.....

Ref: Your application No..... dated.....seeking registration for manufacturing of carry bags and containers

TheState Pollution Control Board or Pollution Control Committee after examining the application, hereby certifies that..... (Name & Address of the Unit) has been registered as a unit manufacturing

This certificate of registration shall be valid for a period of three years unless revoked or suspended.

The certificate is granted subject to the following conditions:

- (i)
- (ii)
- (iii)

Date:

Place:

(Member Secretary)
State Pollution Control Board/
Pollution Control Committee

Note.- The principal rules were published in the Gazette of India *vide* S.O. 705 (E), dated, the 2nd September,1999.

[F.No. 17-2/2001- HSMD (Pt-1)]
Dr. V. Rajagopalan, Jt. Secretary

**DEFINITION AND SOME CONDITIONS TO BE FOLLOWED FOR SETTING UP OF
NURSING HOMES UNDER
THE ASSAM HEALTH ESTABLISHMENT ACT,1993
AND
RULES THEREUNDER.**

Some Salient features and Conditions

Section 2:

Definitions:

(b) “Nursing home” or “Hospital” or “Research Institute” means any premises or establishment used or intended to be used for the reception and admission of persons suffering from illness, injury or infirmity of body and providing of treatment and nursing for them and includes a maternity home or psychiatric care .

(c) “Health Establishment” means a nursing home a research – institute , a hospital , a maternity home , a physical therapy establishment , a clinical laboratory or an establishment analogous to any of them.

Section 3:

Health Establishment not to be established or maintained without registration and licence:

3 (a): No person shall establish or maintain a health establishment without registration and a licence granted thereof this Act:

Provided that a health establishment already in existence prior to commencement of this Act shall have to be registered and a licence shall be obtained thereof under this Act.

3(b) If any Health Establishment is found functioning without a licence granted under this section at any point of time , the Inspecting Officer shall be competent to seal the Health Establishment forthwith and without any notice.

Section 9 (3)(f)

Application for Registration & Licence:

(3) The Health Authority shall reject an application if it is satisfied that,

(f) The Health Establishment is proposed to be established within a radius of five kilometers from any existing government hospital in case of Guwahati metropolitan district and within a radius of three kilometers of any government hospitals in any district:

Provided that in regard to private health Establishment providing Super specialty care, the above condition shall not apply.

THE ASSAM HEALTH ESTABLISHMENT RULES, 1995

Conditions:

1. Health Establishment has to maintain Central Drug Store, Diet Section (if any), Equipment and Linen Stores.
2. Health establishments are to be situated in healthy surroundings away from public drainage system, sewerage and factories emitting poisonous gases.
3. Such establishment must have free access of air.
4. Each of the unit must maintain cleanliness and hygienic conditions.
5. There should be separate identities between Indoor & Outdoor section.
6. The floor and wall of the Indoor section be clean , glazed and without any crack/fifsures , so that no dust or water accumulation take place.
7. Each of the section must be properly ventilated and should have separate rooms for attending medical person/s and para medical staff.
8. Toilets, attached to each section are to be neat and clean and serviceable at all time.
9. Pure potable water supply must be ensured.
10. Food & drugs should be stored in ideal conditions and such conditions must satisfy the relevant Act and Rules.
11. Continuous power supply should be ensured and generator of appropriate capacity depending on requirement of the establishment must be made available. A certificate from the ASEB is to be submitted showing the installation of the generator as per need.
12. Size and other requirements including aseptic condition in the OT/Labour room should be as per need of the Institution. Regular acceptic test in such cases should be conducted.
13. Recovery room and other necessary rooms should be provided with such conditions that may satisfy the inspection team as well as licencing authority.
14. Adequate arrangement shall be made for proper disposal of garbage , bio-medical waste, other chemicals wastes and such other materials, equipments and appliances which have become unfit for further use, in accordance with the procedure prescribed for the purpose as per the provisions of the relevant laws/ rules in force in this regard.
15. All these requirements are independent of the conditions as required to be fulfilled under the Municipal Act and Pollution Control Board.
16. Health establishment shall have Clinical Laboratory with requisite infrastructure for necessary laboratory examinations for diagnosis and treatment of different ailments.
17. Health establishment fulfils the criteria as regards to specialized Doctors for different disciplines recognized by Medical Council of India with at least three resident Doctors upto 30 beds and proportionate nursing staff, equipment and other staff. Provided that the number of Doctors, nurses, equipments and other staffs shall be increased proportionately by the health establishment having more than 30 beds.
18. The health establishment shall have adequate parking place for vehicles of doctors, patients & visitors.
19. The Health Establishment also provide Ambulance and make adequate arrangements for fire fighting as may be specified by the Director of Fire Services.
20. Electrical Installations shall have to be certified by the Chief Electrical Adviser.

Requirement of special equipments

21. Equipments for Diagnostic Laboratory and other health institutions must be provided with all necessary instruments and appliances, which are of high quality standard and preferably latest developed technique.

22. The instrument requirements will be as per the nature of the institutions. The addition to the equipments of the institutions must have high quality glass, ware and chemicals of high quality.
23. Own arrangement for obtaining distilled water by installation of distillation plants in the case of diagnostic laboratories is preferable.
24. Bunsen burner with source of gas are also to be available whenever is necessary.